

# Exclusion Zones of Instant Runoff Voting



**Kiran Tomlinson**  
Microsoft Research

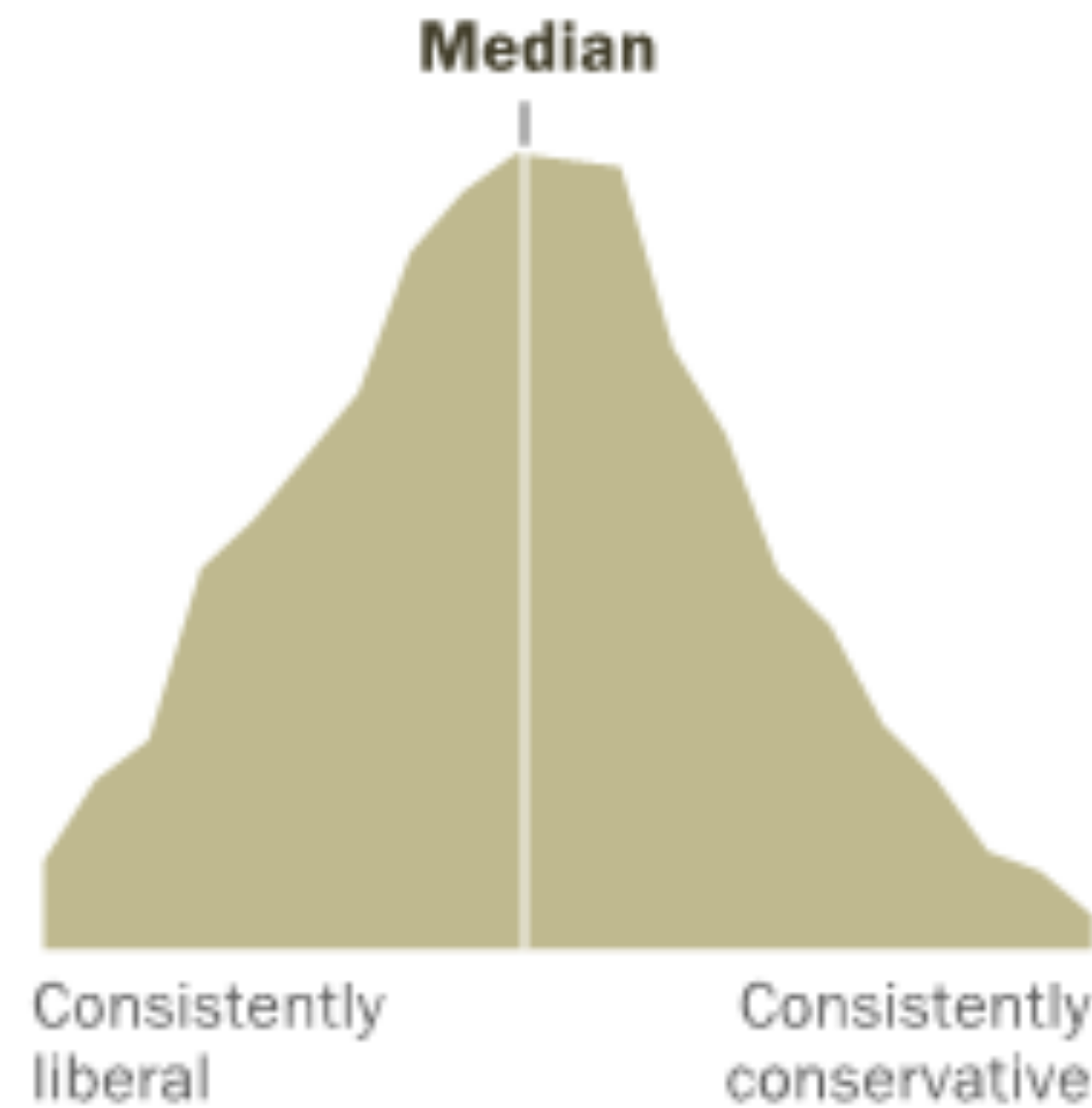


**Johan Ugander**  
Yale University

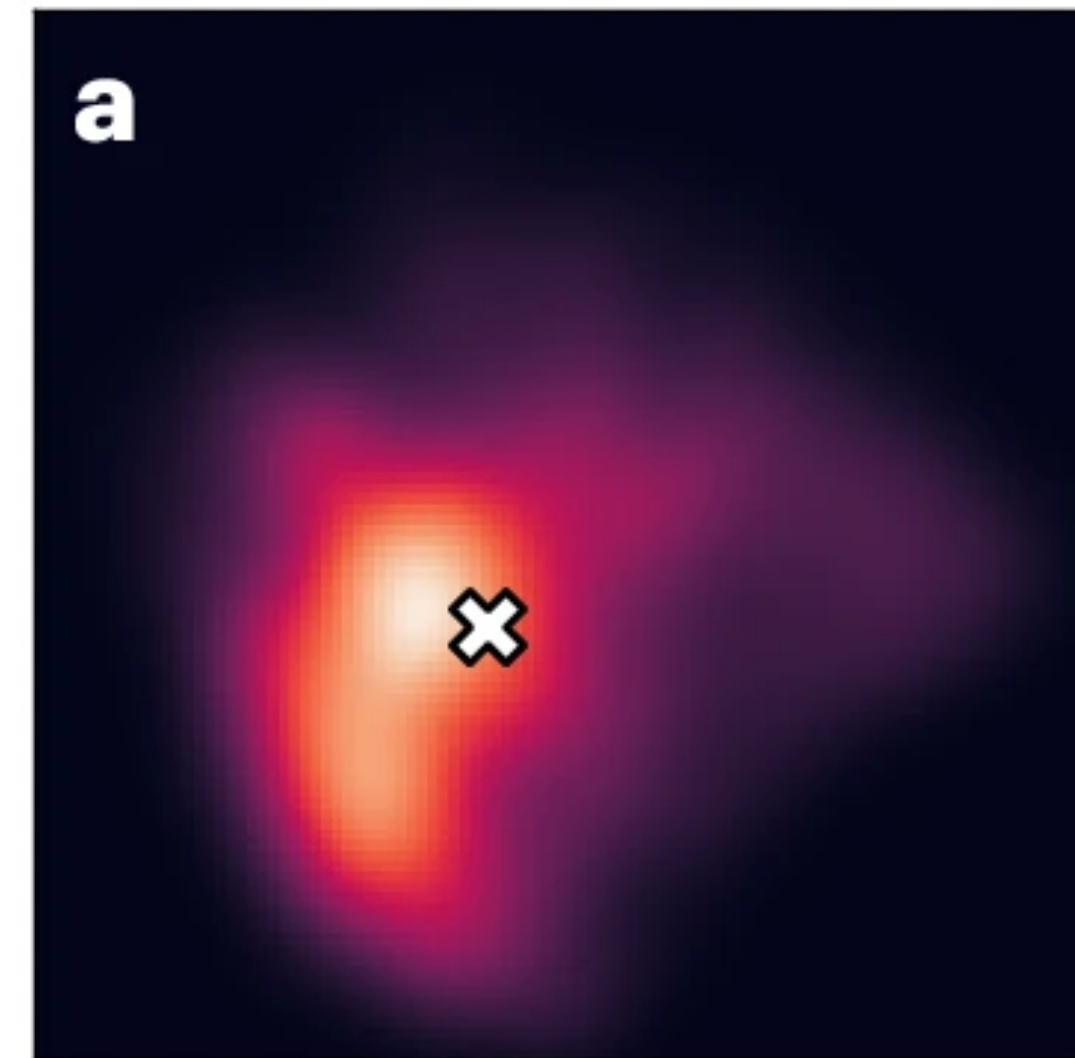


**Jon Kleinberg**  
Cornell University

# Given a distribution of voters in a metric space, what regions in the space does a voting algorithm favor?



Pew Research Center, 2004

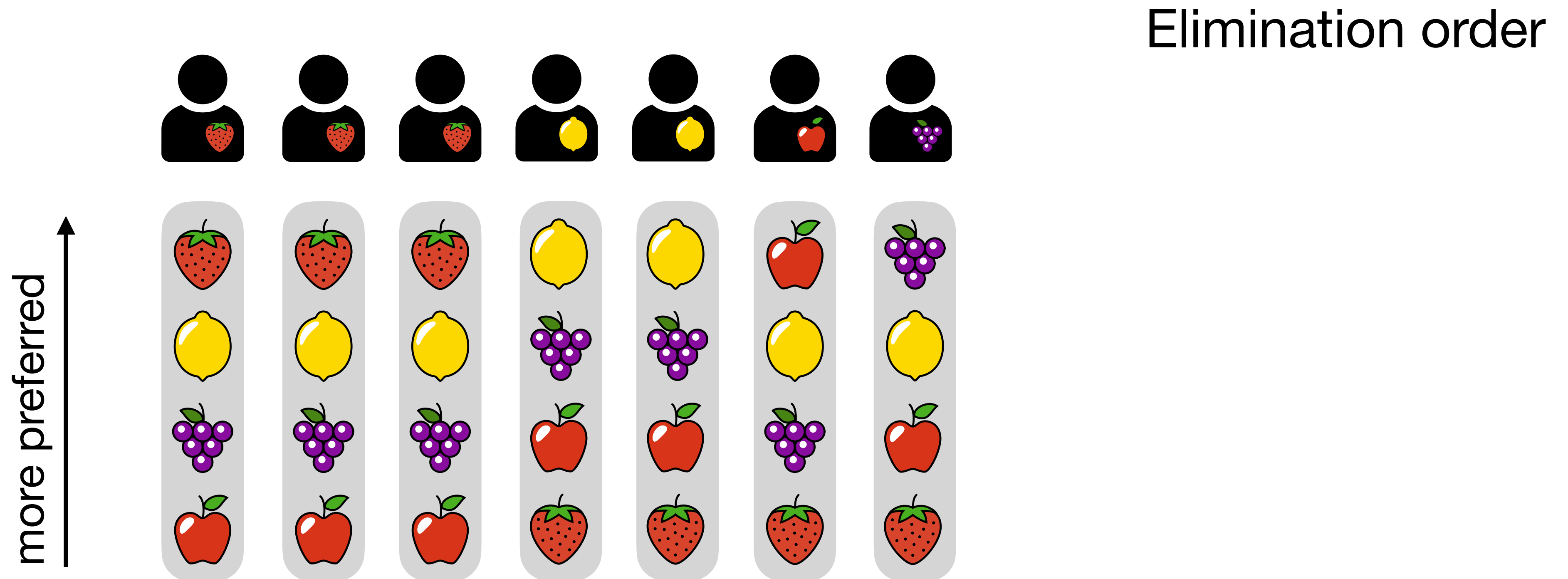


Ojer et al, *Nature Human Behavior*, 2025

*E.g., will a given voting algorithm tend to elect moderates?*

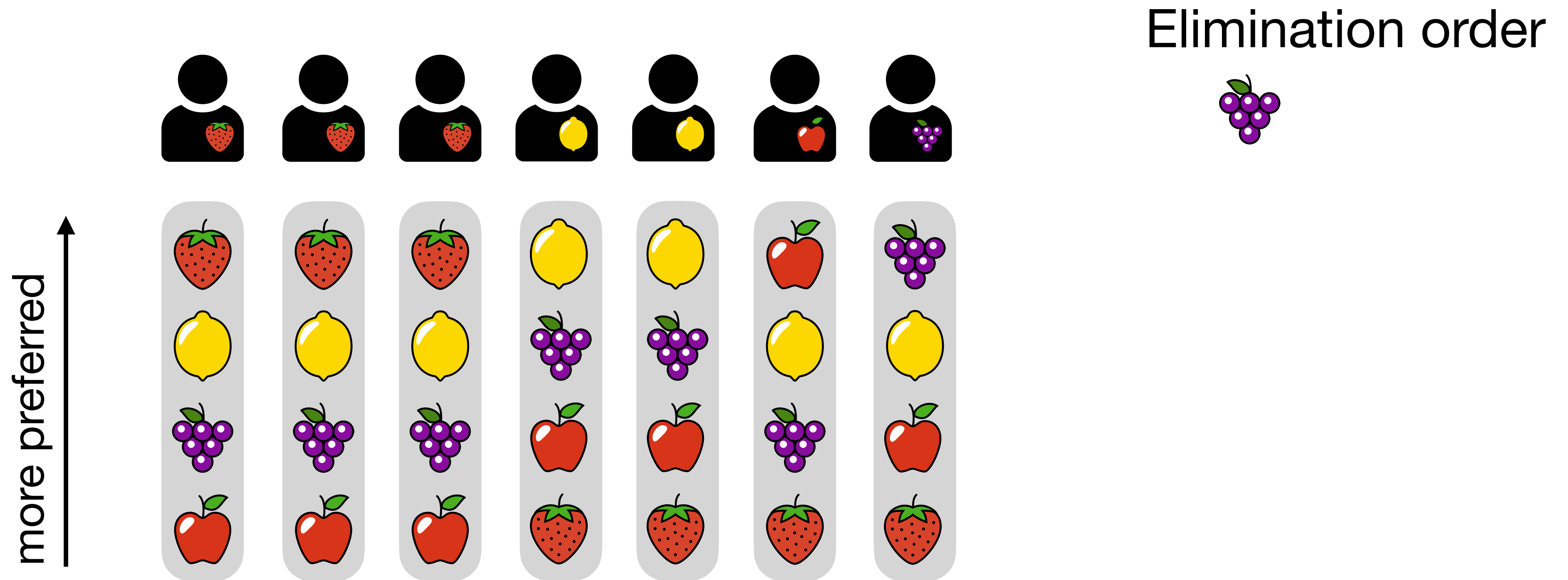
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repeatedly eliminate the candidate with fewest first-place votes



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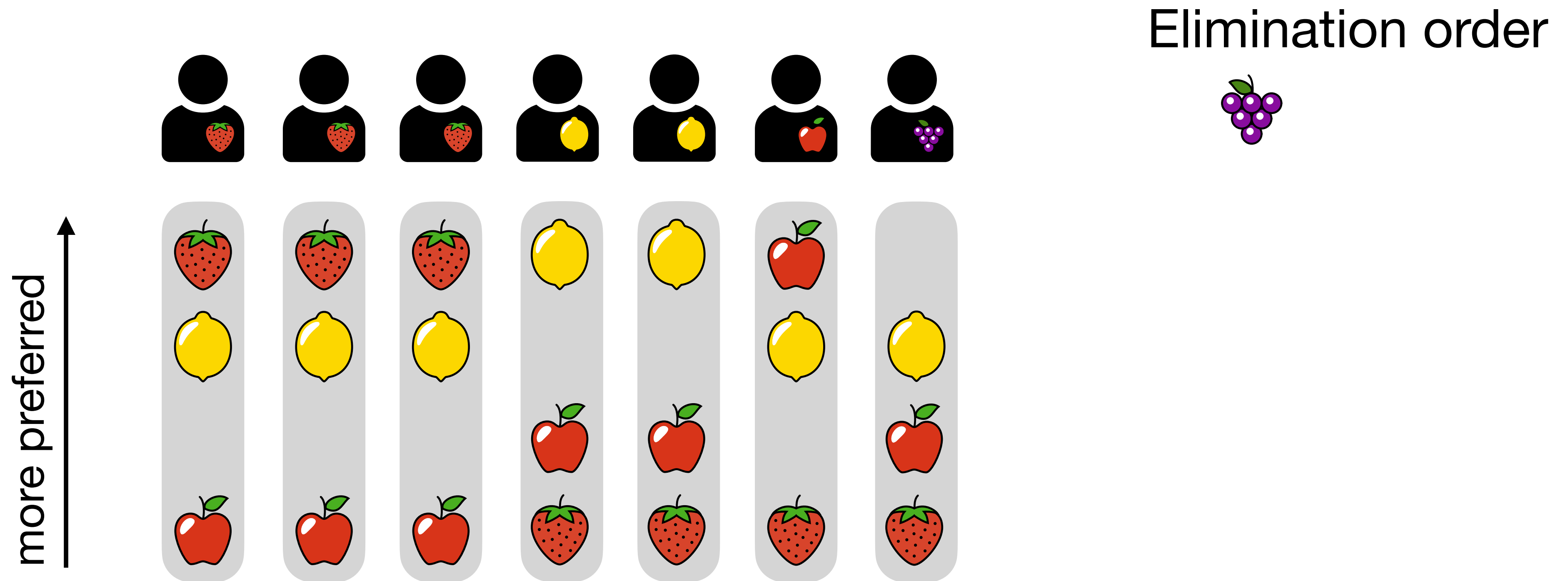
repeatedly eliminate the candidate with fewest first-place votes





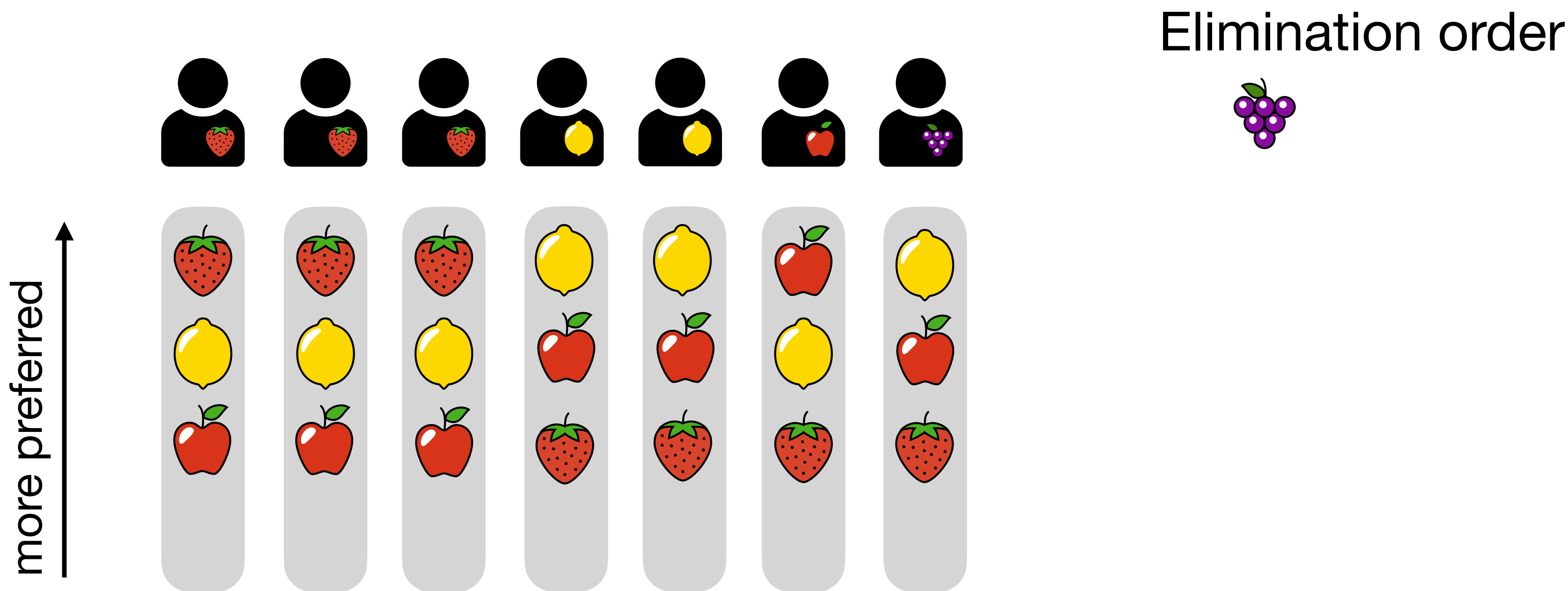
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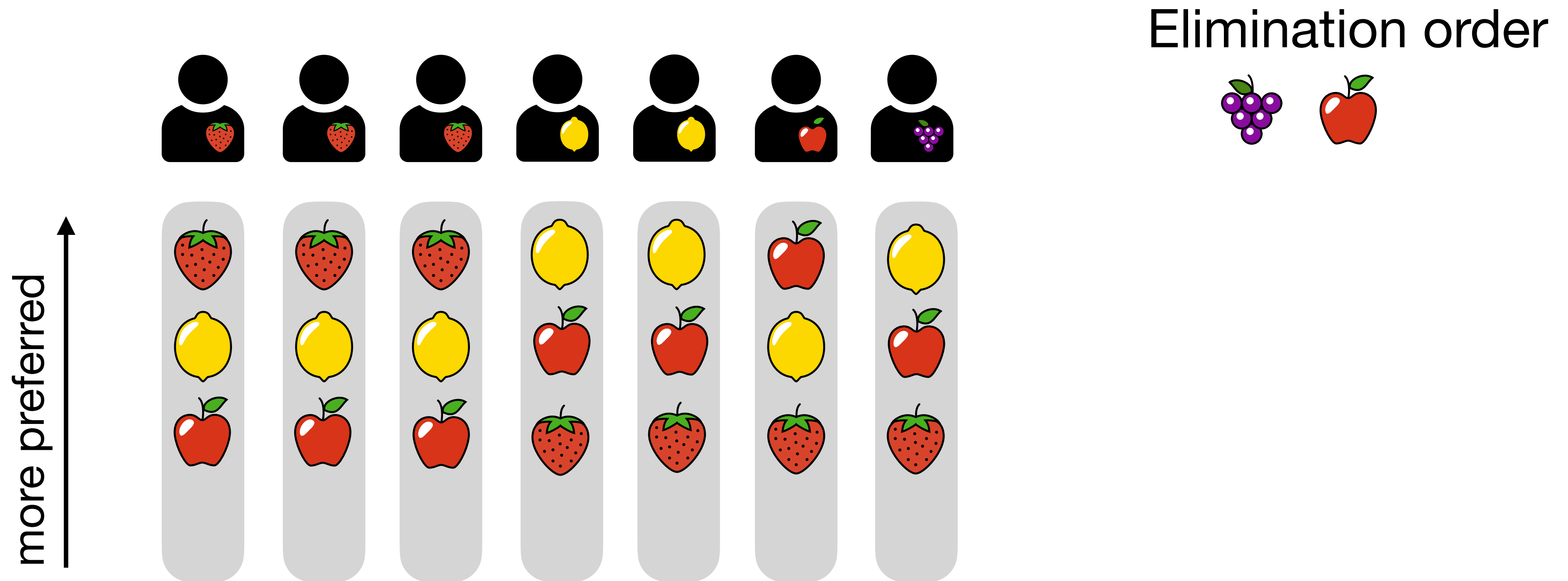
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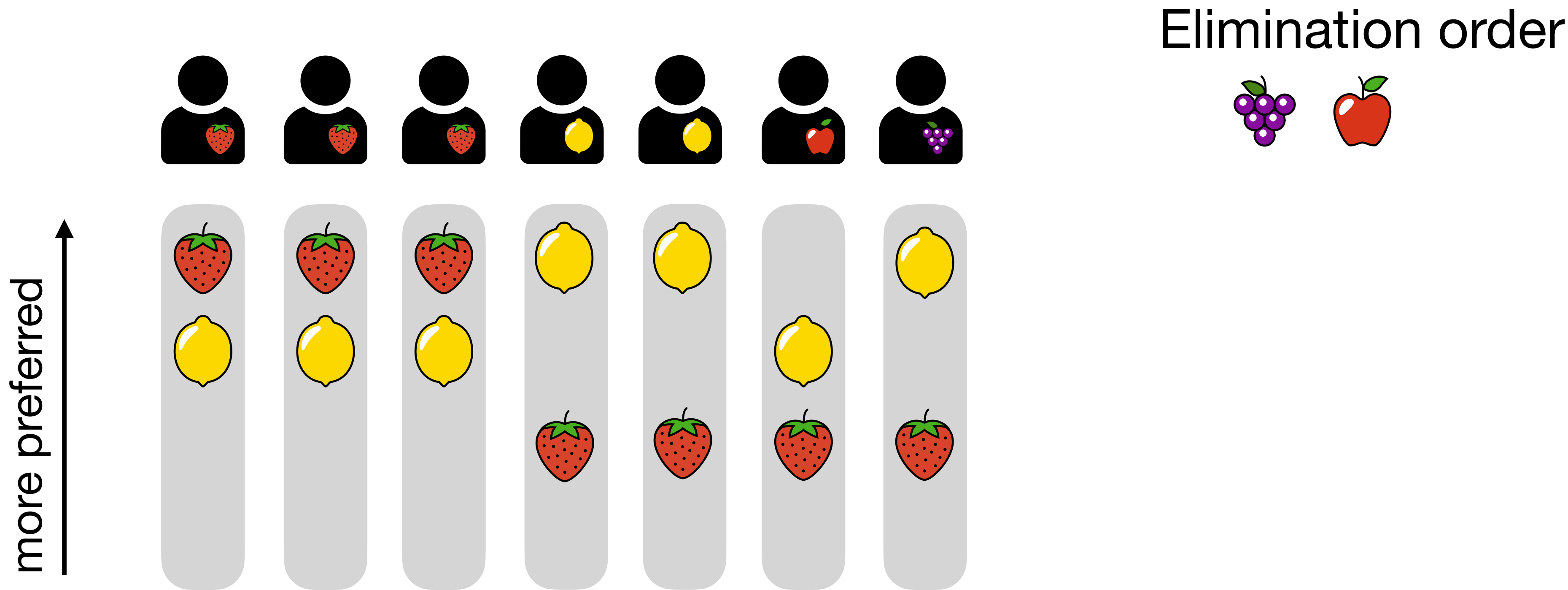
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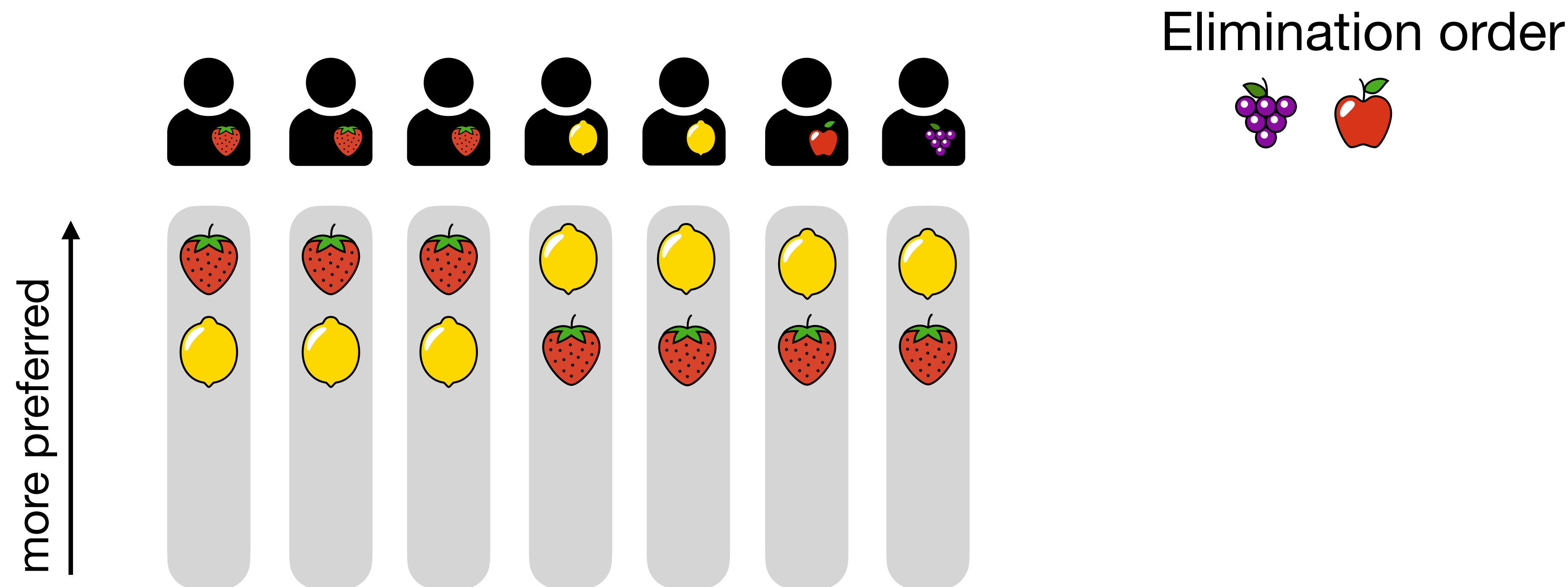
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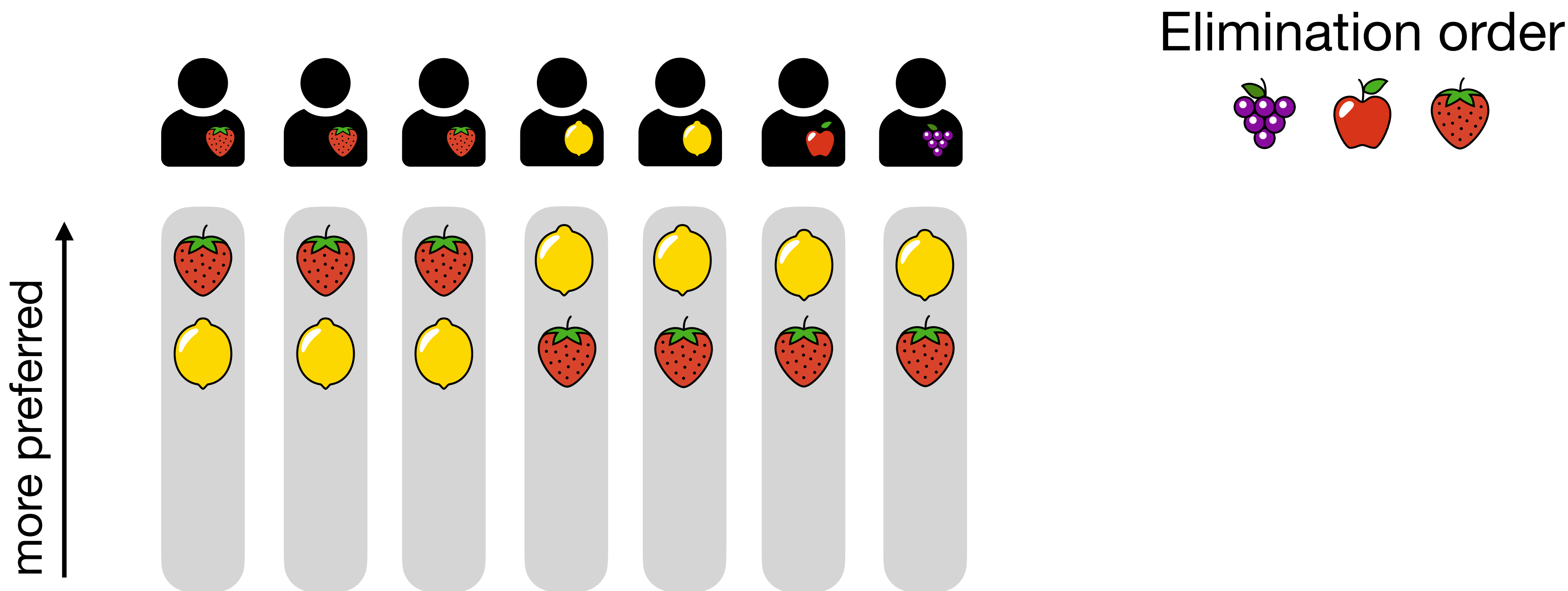
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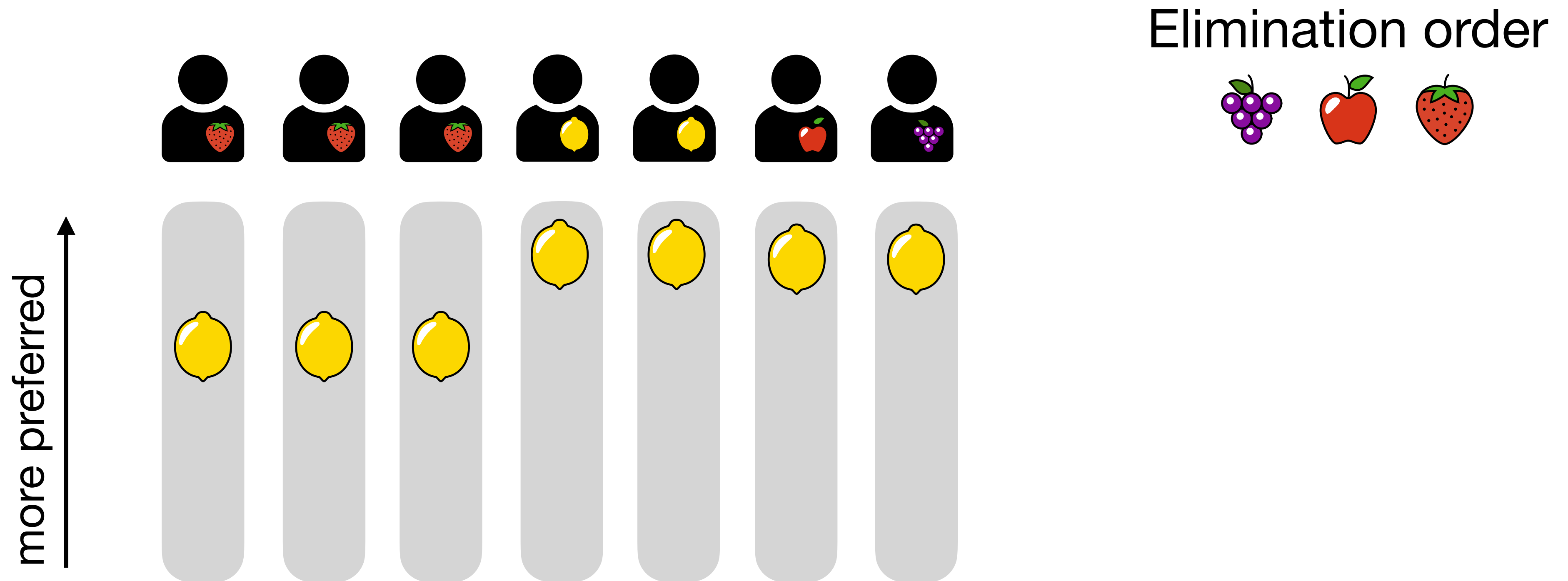
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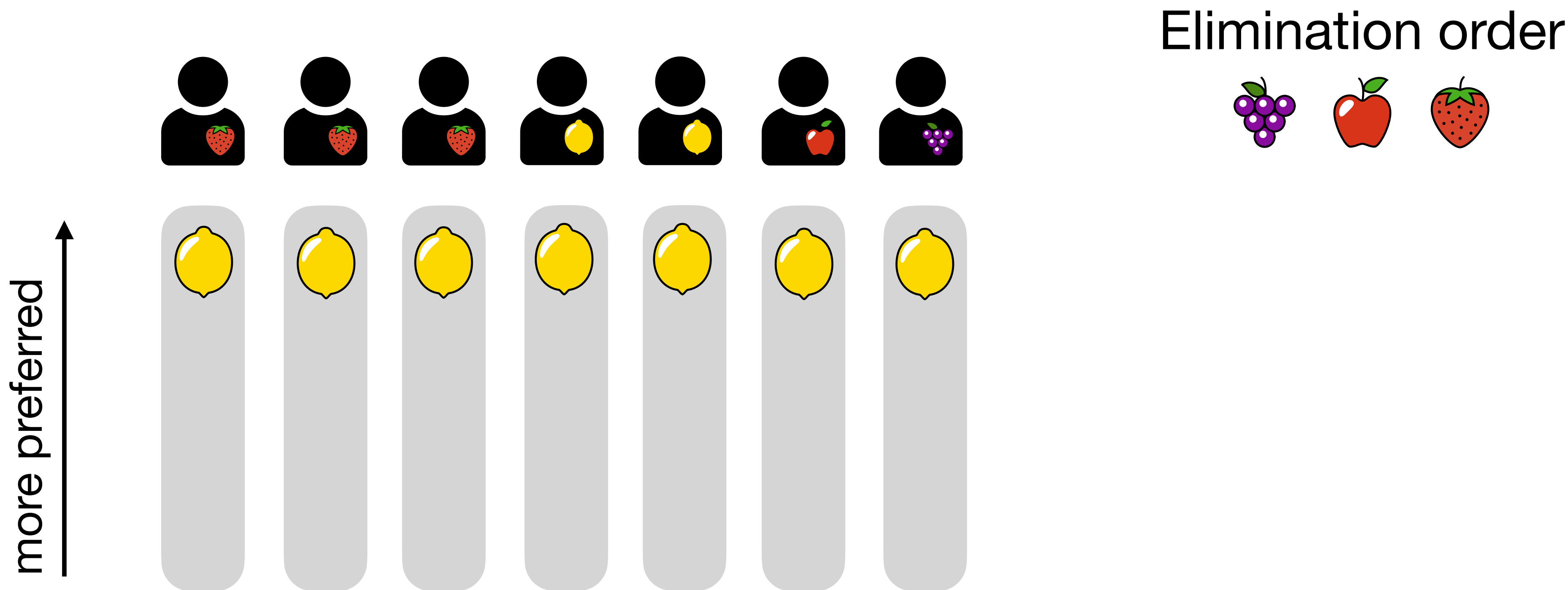
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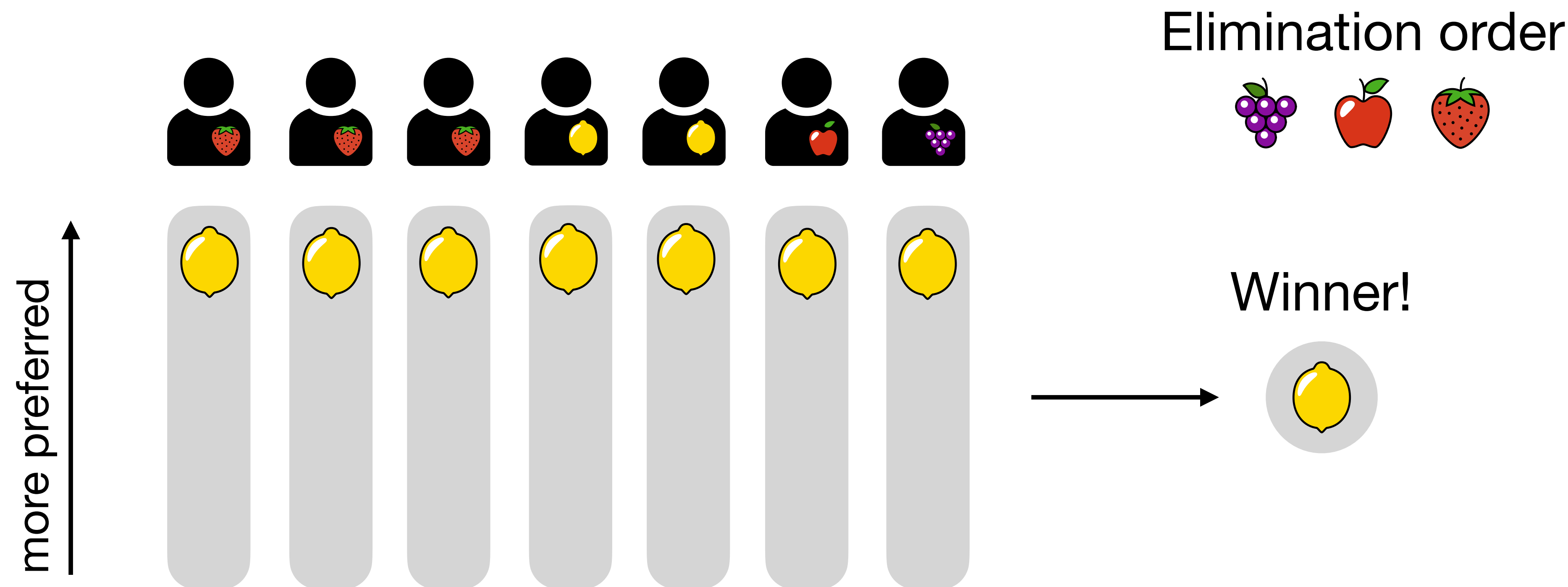
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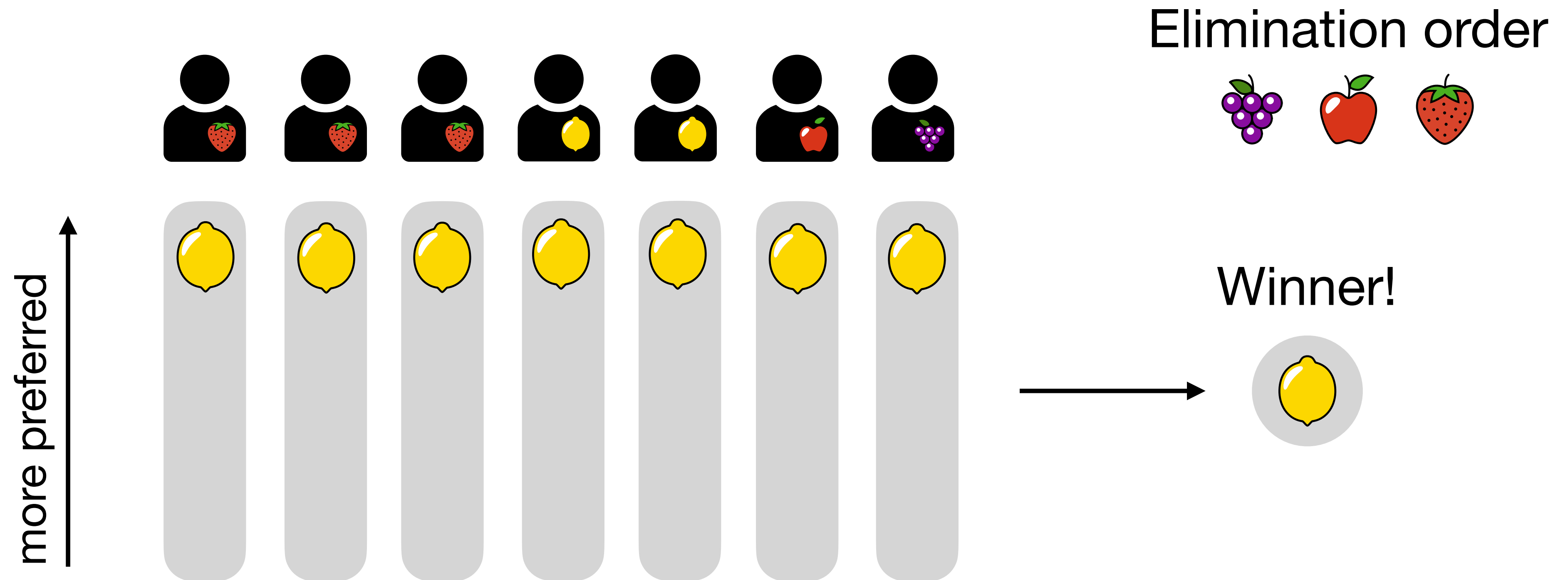
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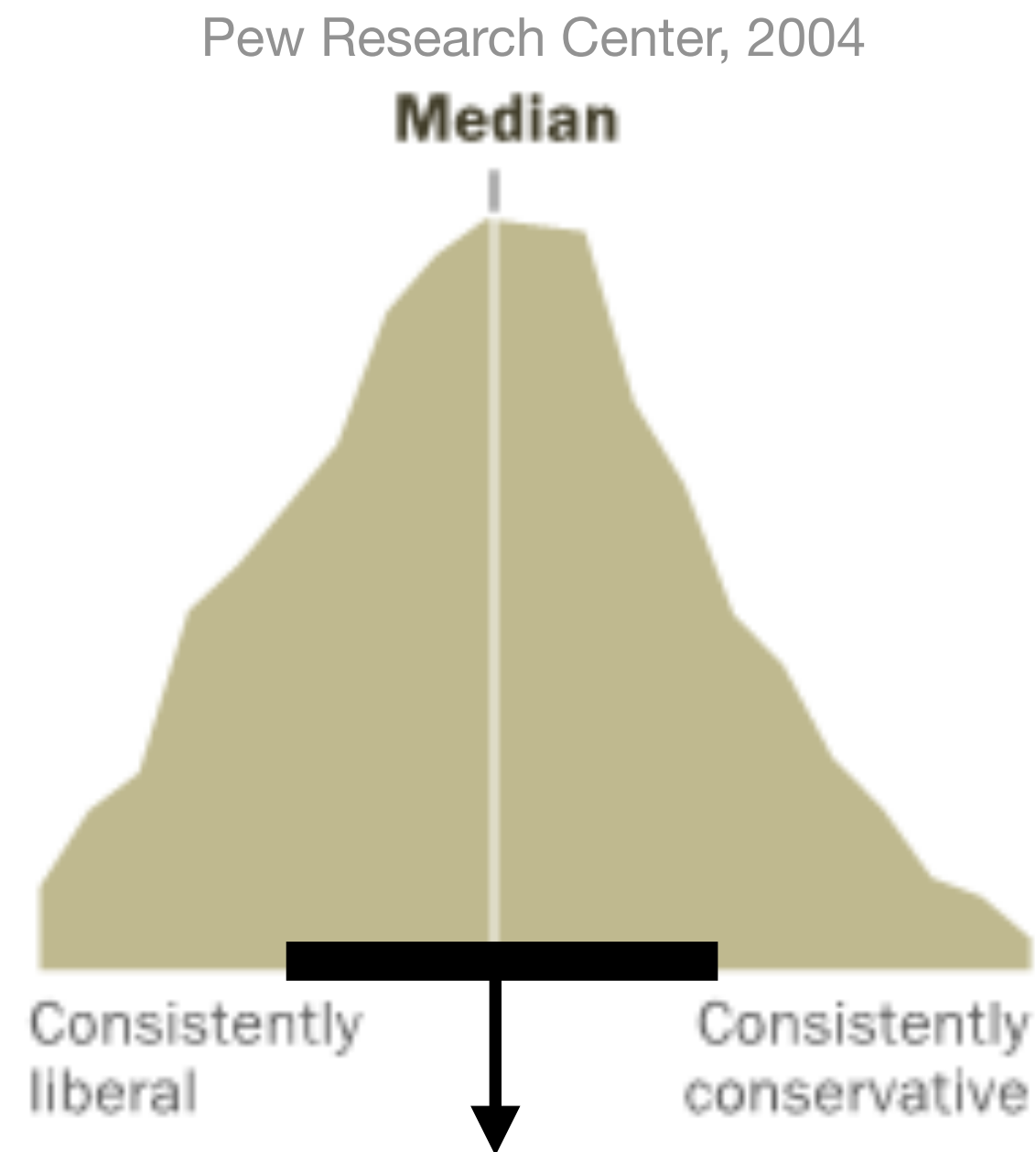
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**repeatedly eliminate the candidate with fewest first-place votes**



a.k.a. RCV, STV, AV, Hare method, preferential voting

# Two years ago....



IRV always favors  
candidates from here

The Thirty-Eighth AAAI Conference on Artificial Intelligence (AAAI-24)

## The Moderating Effect of Instant Runoff Voting

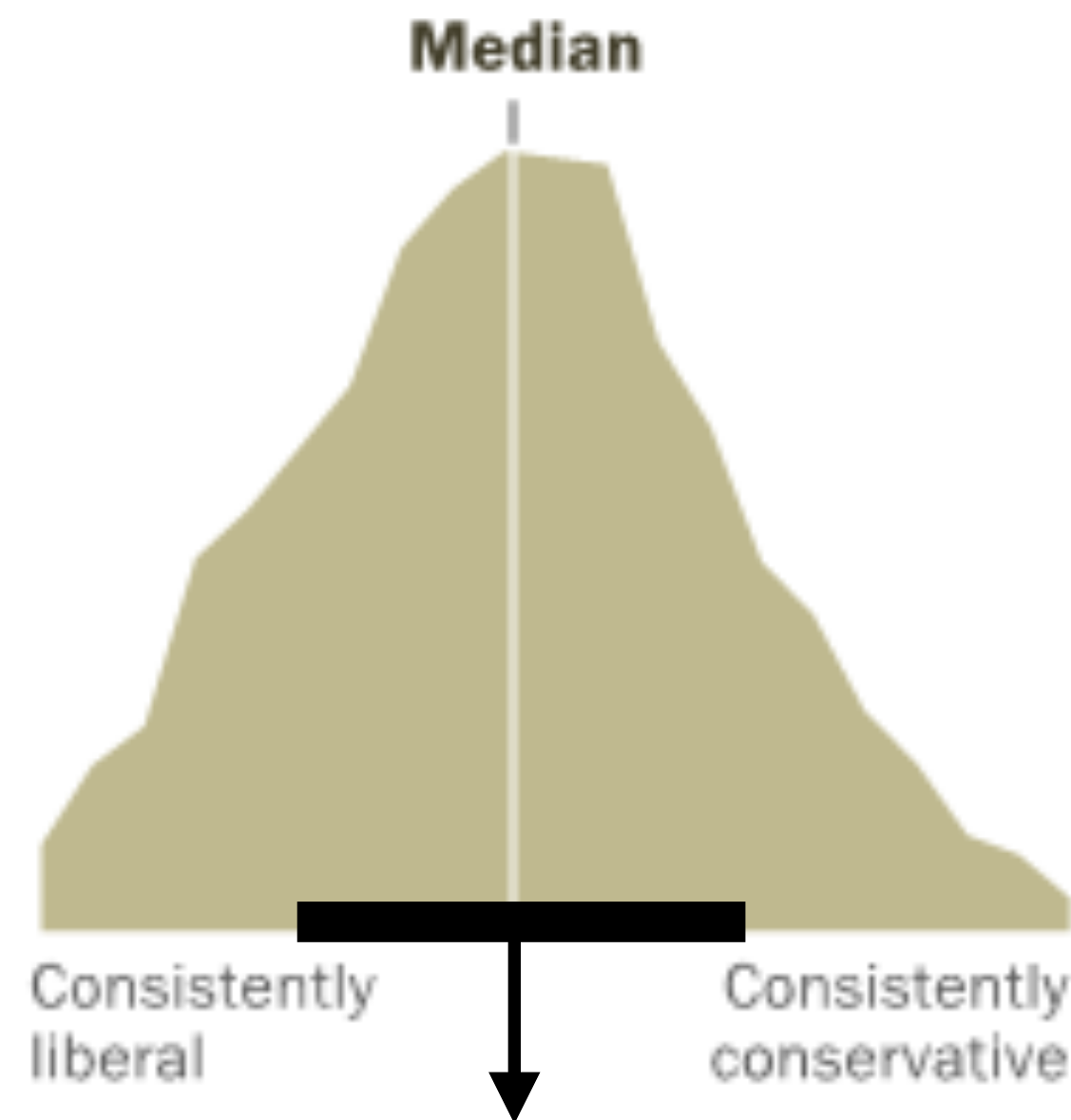
Kiran Tomlinson<sup>1</sup>, Johan Ugander<sup>2</sup>, Jon Kleinberg<sup>1</sup>

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### Definition

Given a distribution of voters in a metric space  $M$ , a set  $S \subseteq M$  is an **exclusion zone** of a voting system if the winner is guaranteed to come from  $S$  (unless no candidates come from  $S$ ).

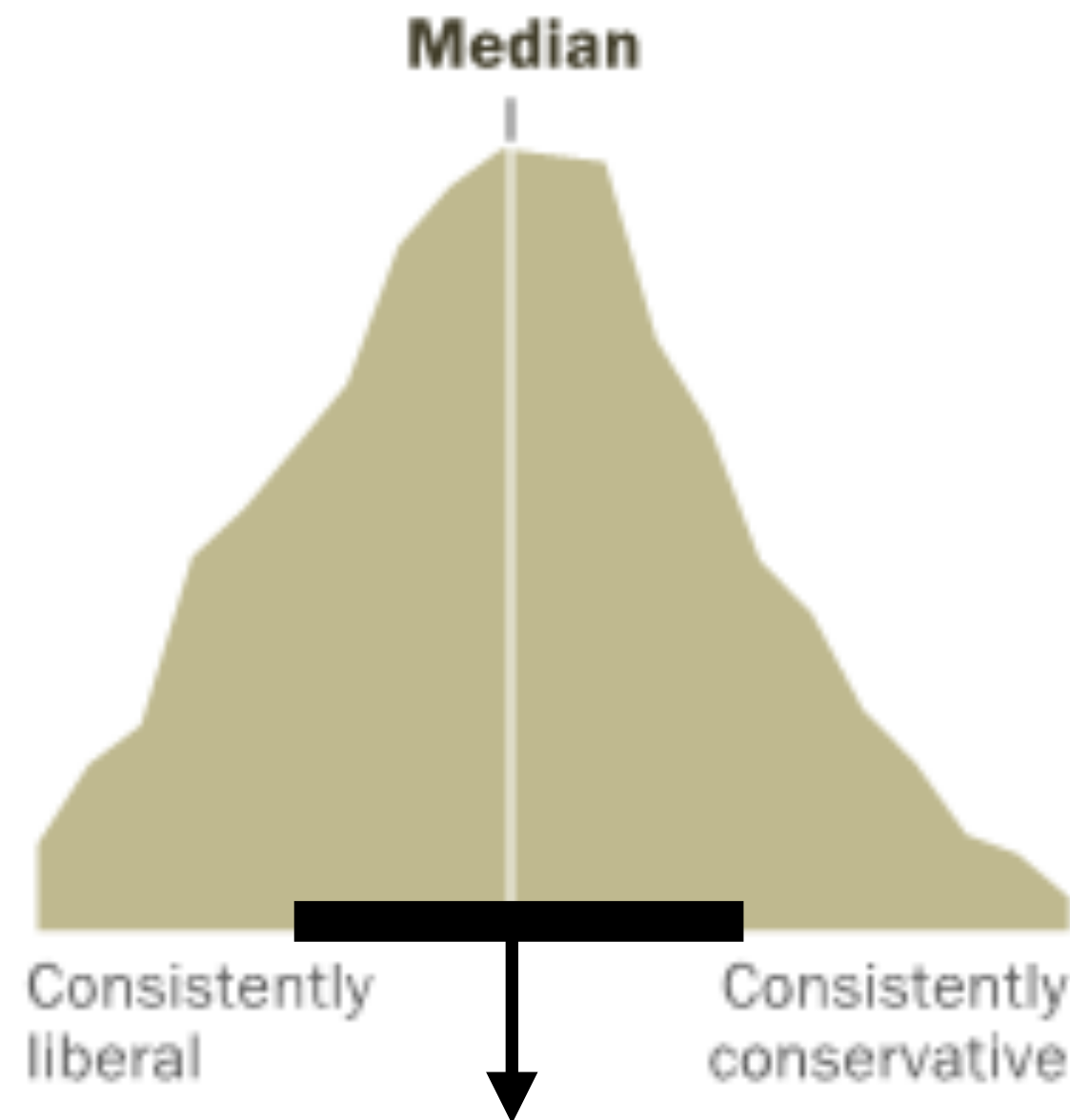


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With uniform 1-Euclidean voters,  $[1/6, 5/6]$  is an exclusion zone of IRV (and the smallest one).

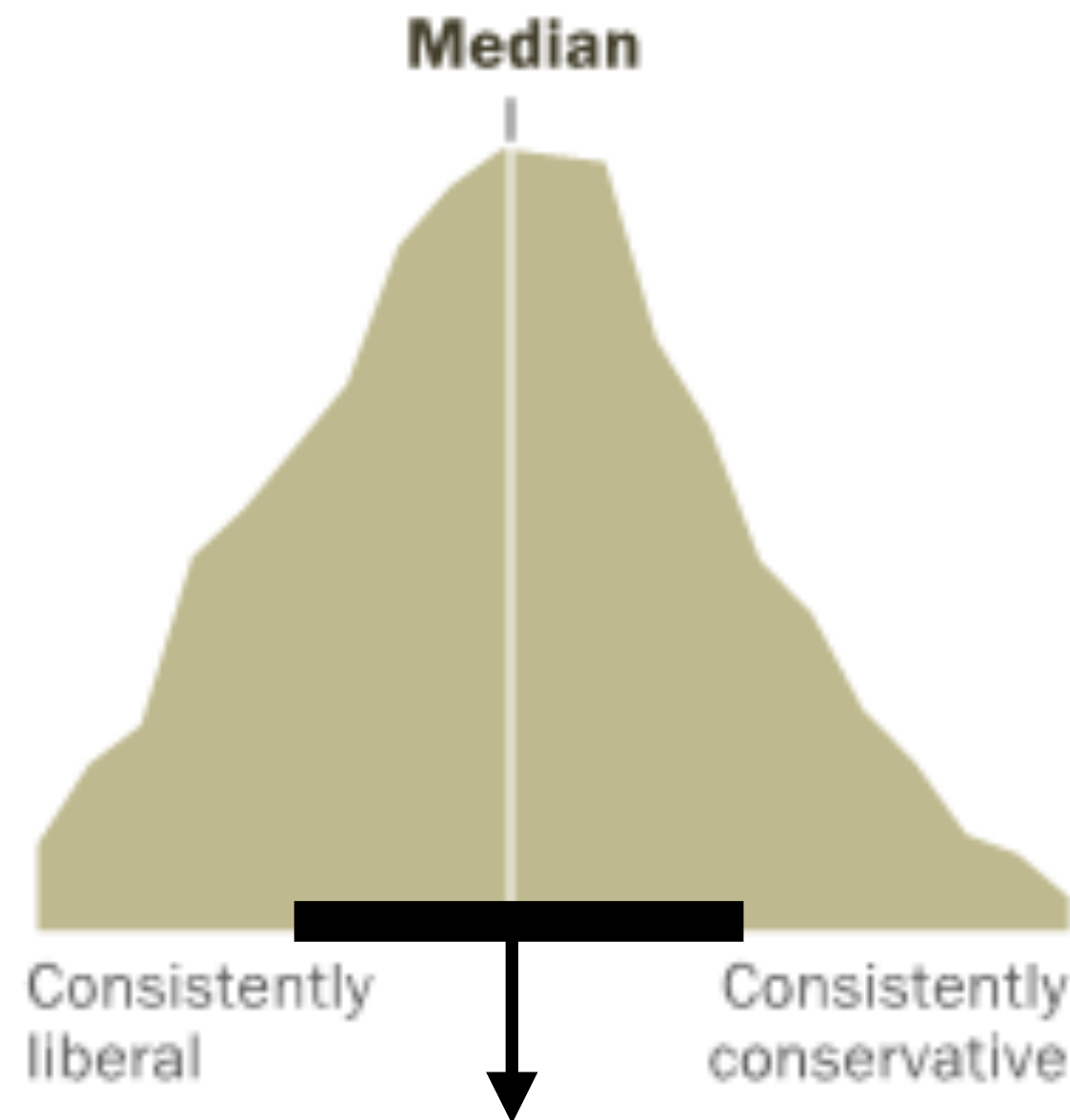
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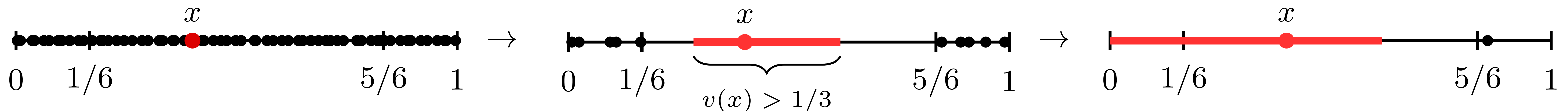
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*Proof.*



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# The space of all Condorcet method exclusion zones in 1d

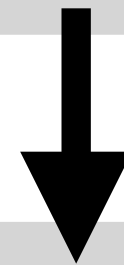
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With 1-Euclidean preferences, the candidate closest to the median voter is the Condorcet winner.

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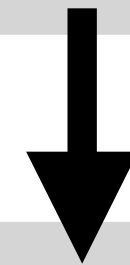
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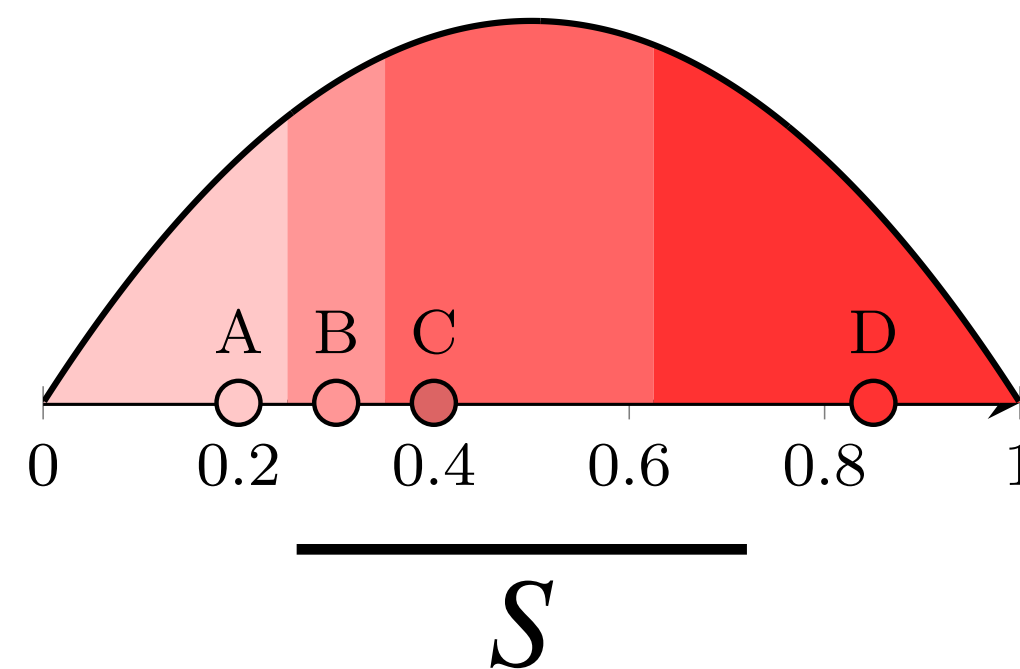
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e.g.,



$[0, 1]$

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$(c, 1 - c)$

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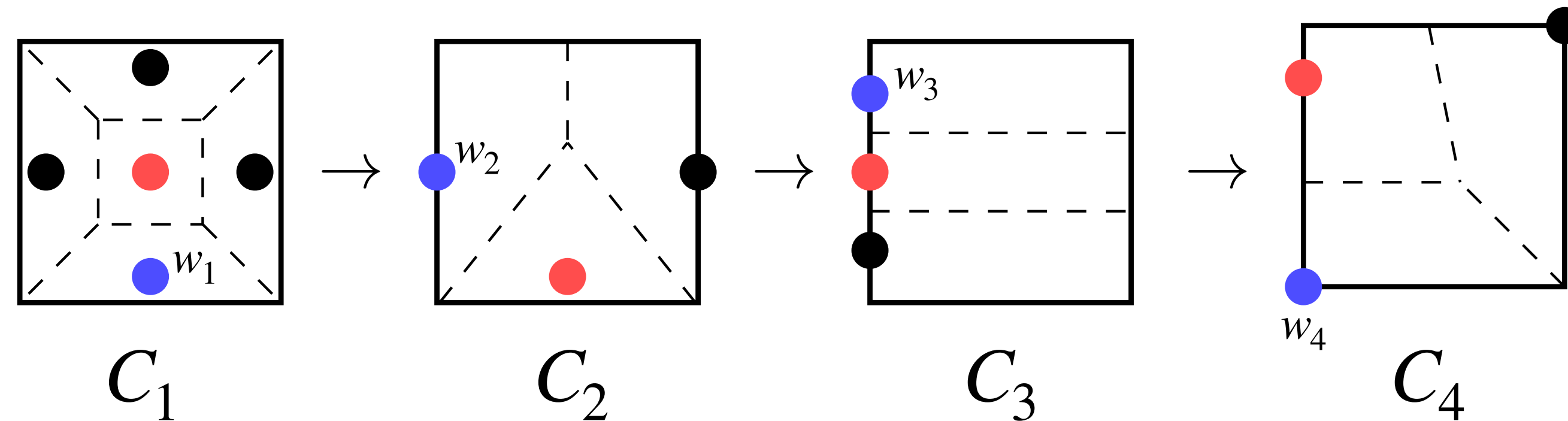
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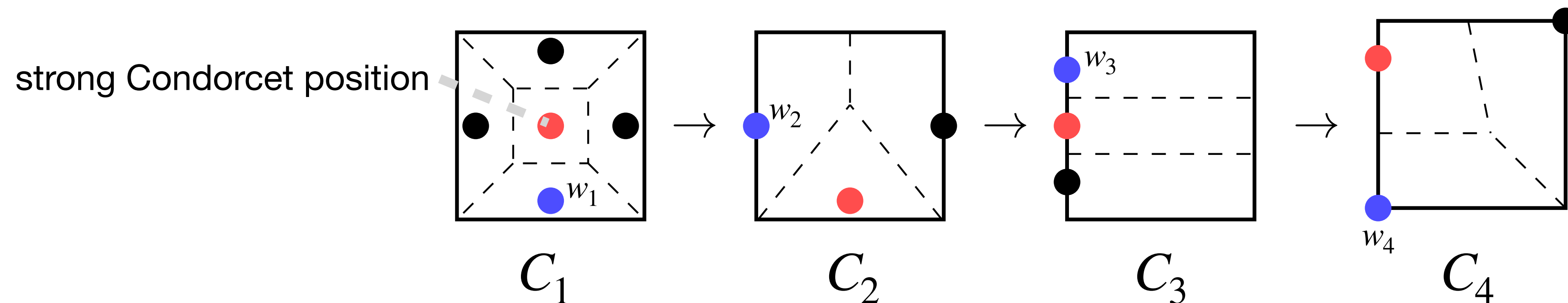


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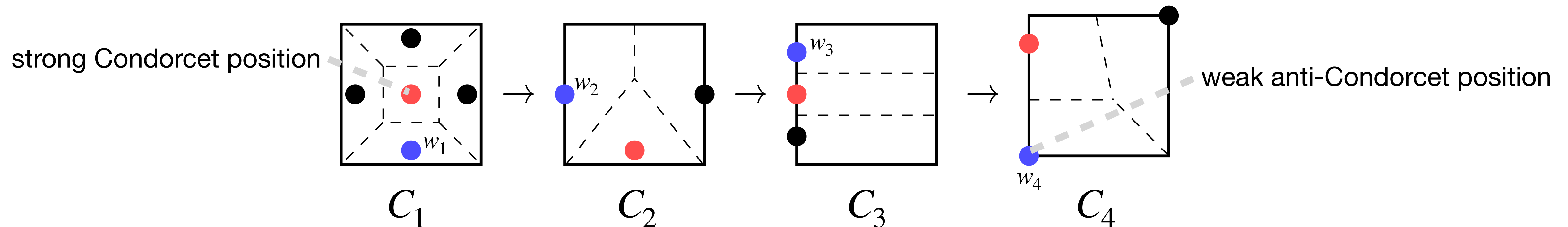


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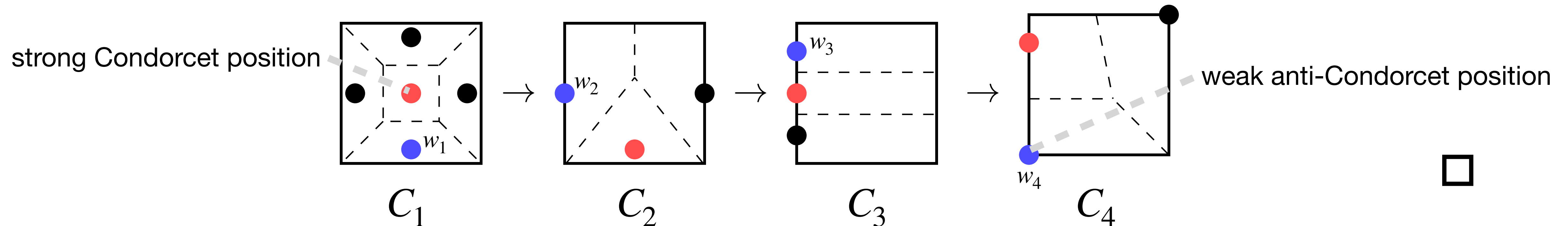


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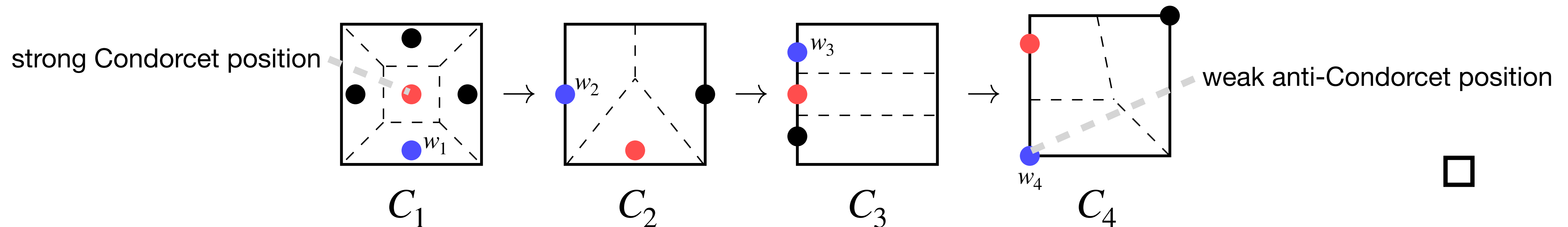


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## Theorem

Every  $d$ -dimensional hyperrectangle ( $d \geq 2$ ) with uniform  $L_1$  or  $L_2$  voters has no nontrivial IRV exclusion zone.

**... So does IRV only have exclusion zones in one dimension?**

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The shaded region is an IRV exclusion zone with uniform  $L_1$  voters over this shape:



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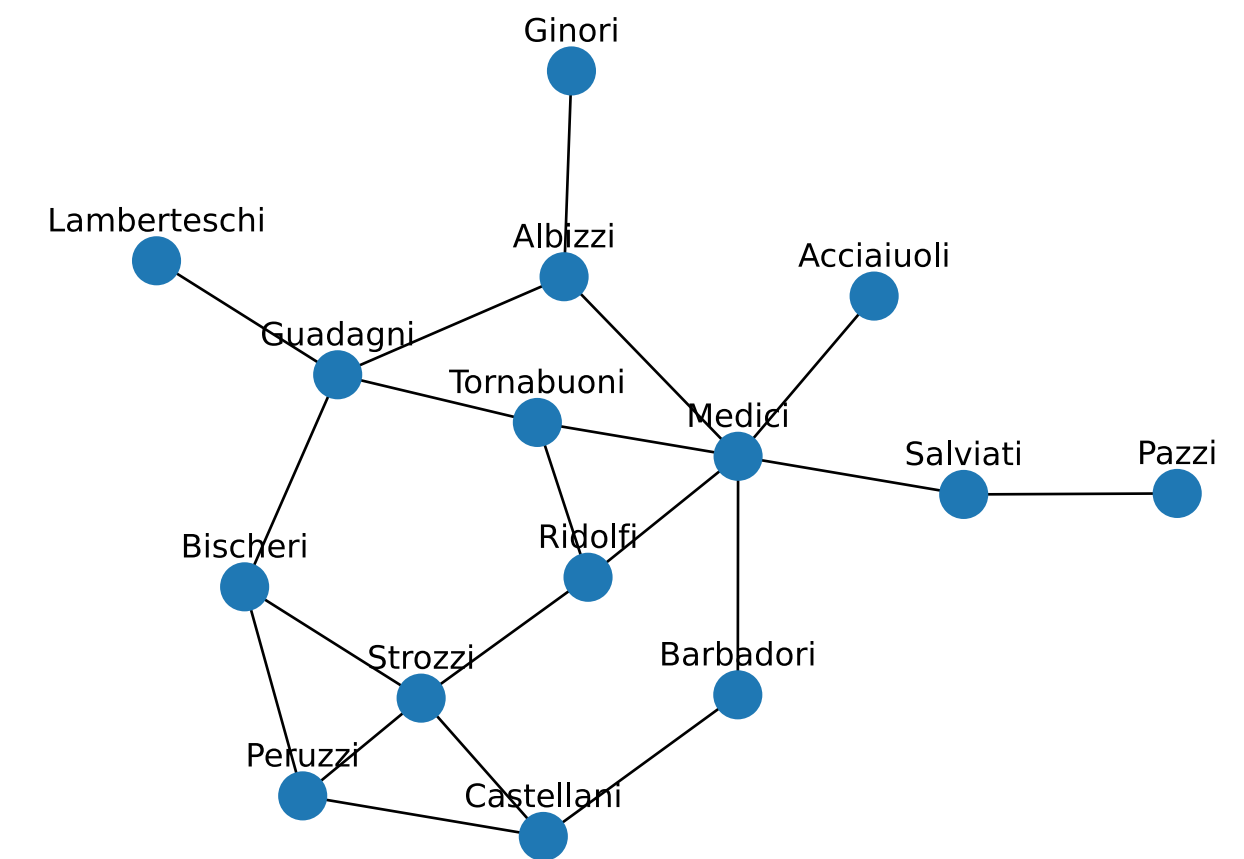


*hyperrectangles have too many symmetries*

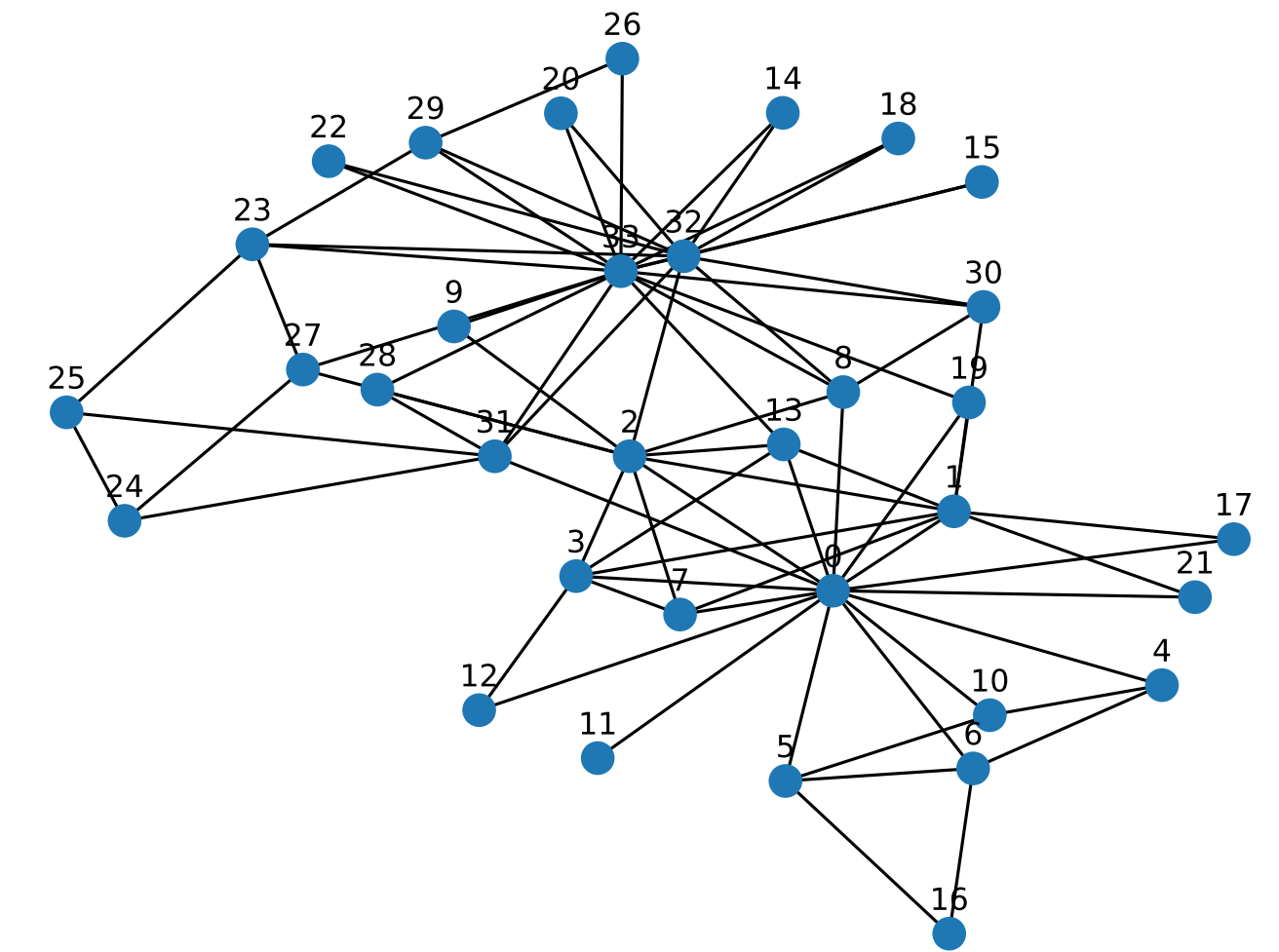


# Voting with the graph metric

- Nodes = voters
- Some subset of voters run for office
- Voters prefer closer candidates
- Resolve ties with Split-IRV (vote share 1 evenly split among equidistant candidates)



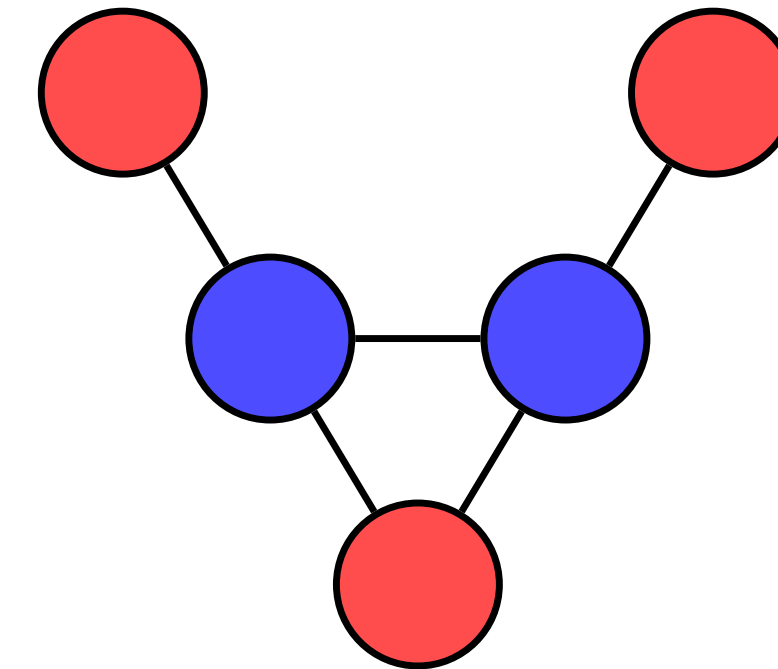
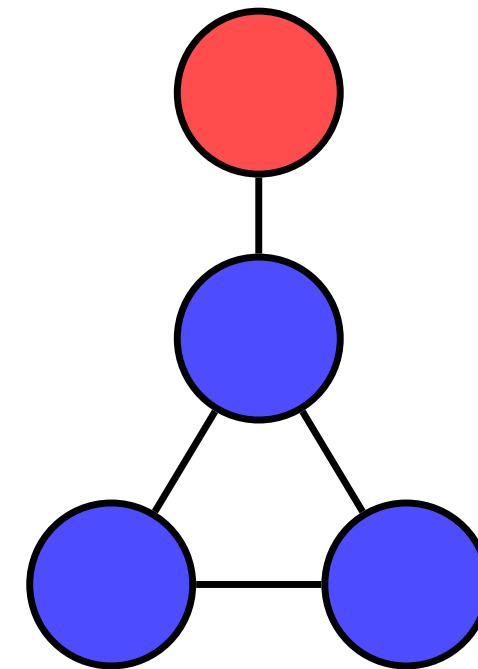
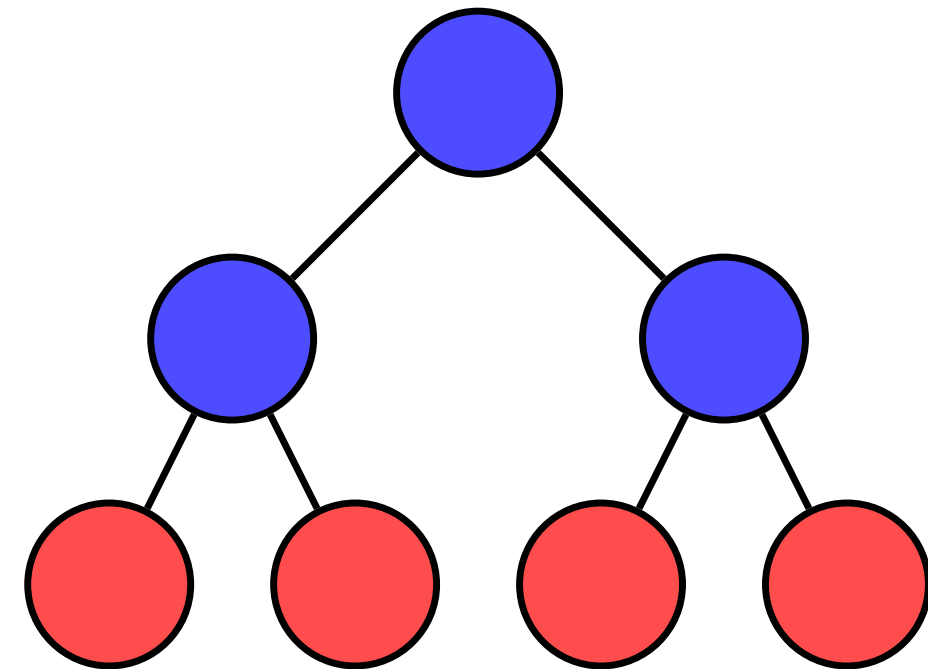
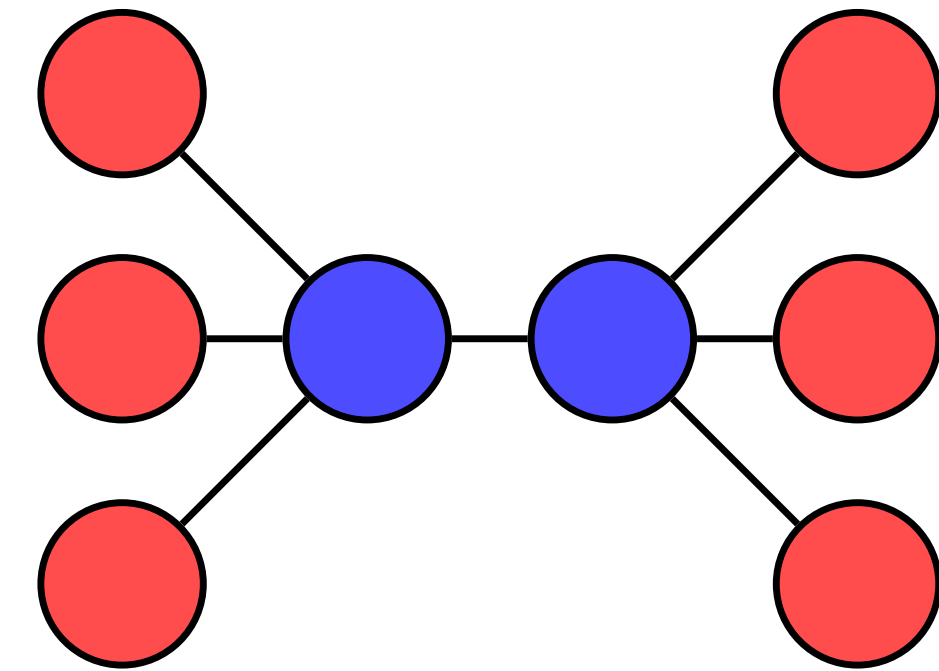
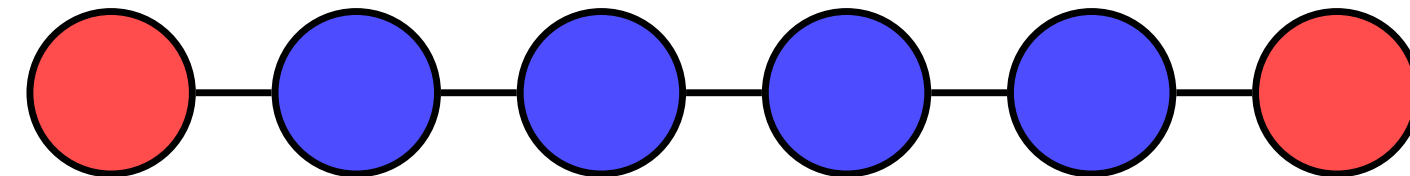
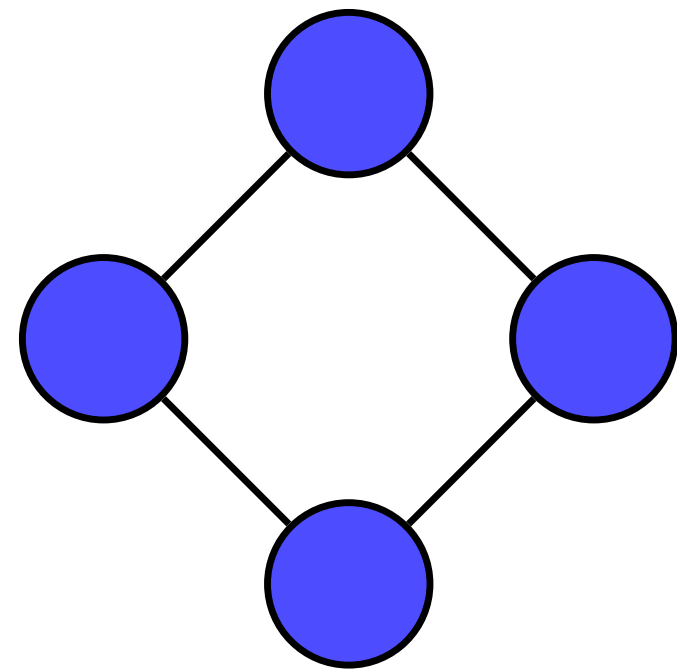
*15th century Florentine marriages*



*Zachary's karate club*

# IRV exclusion zones in graphs

minimal exclusion zone



# Finding IRV exclusion zones in graphs

## **IRV-Exclusion**

Given a graph  $G$  and a set of nodes  $S$ , is  $S$  an IRV exclusion zone of  $G$ ?

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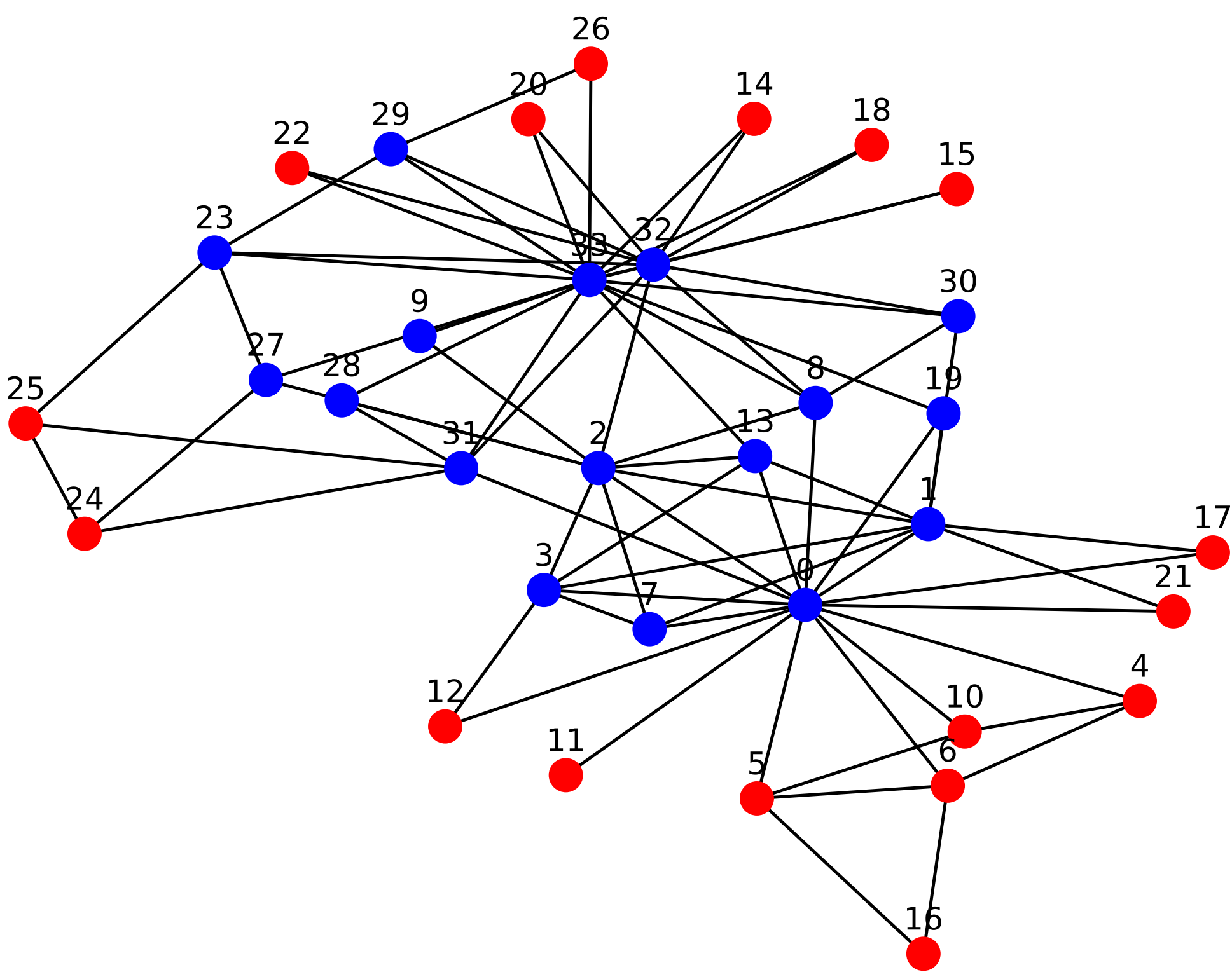
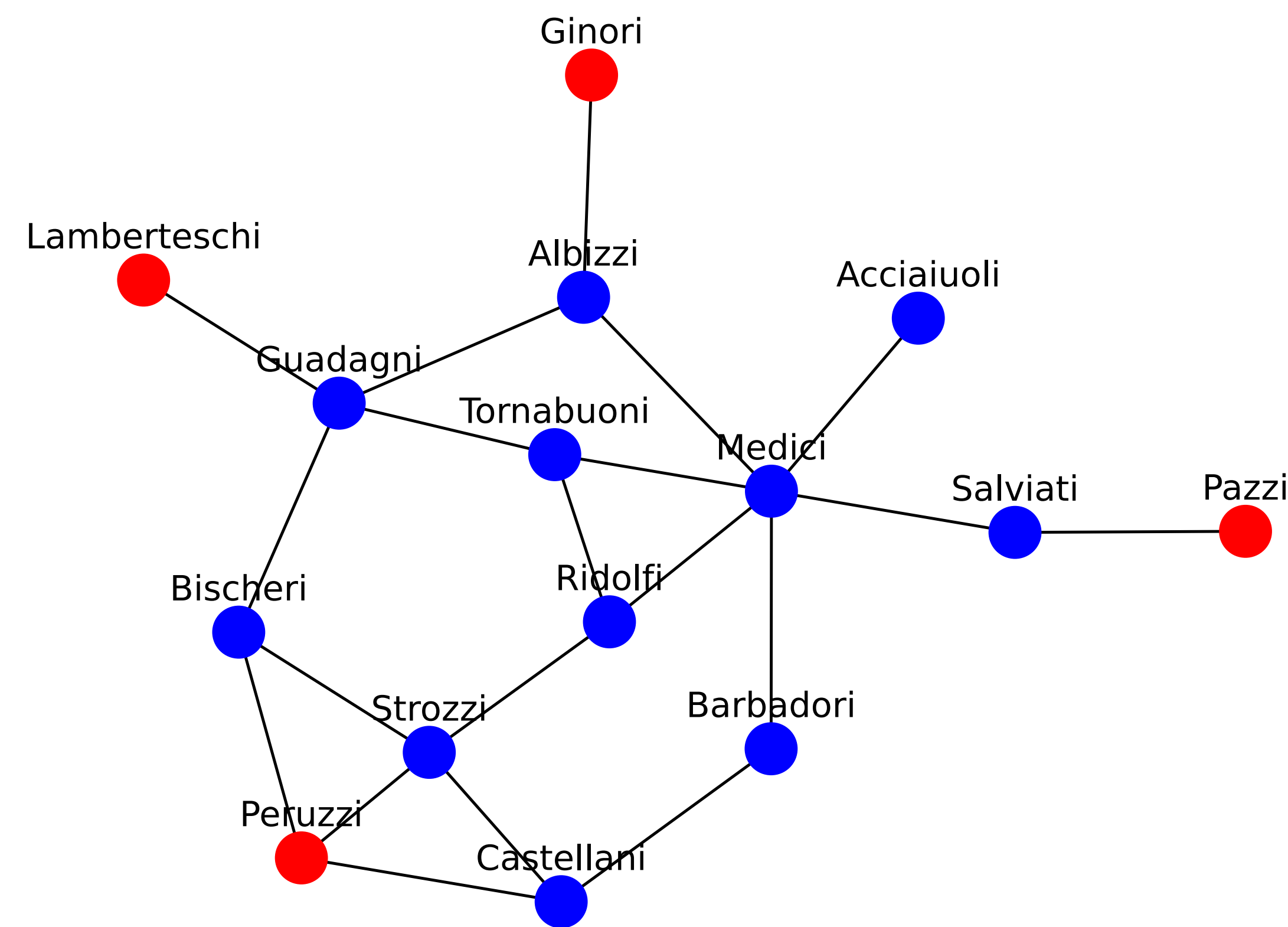
## Theorem

Let  $G$  be a graph with  $n$  nodes and  $m$  edges. For any  $\epsilon, \delta \in (0,1)$ , there is a randomized algorithm returning a set  $S$  in time  $O((n^3 + n^2m)\log(1/\delta)/\epsilon^2)$  s.t.

1.  $S$  is a subset of the minimal IRV exclusion zone of  $G$  and
2.  $S$  is a  $(1 - \epsilon)$ -approximate IRV exclusion zone of  $G$  w.p. at least  $1 - \delta$ .

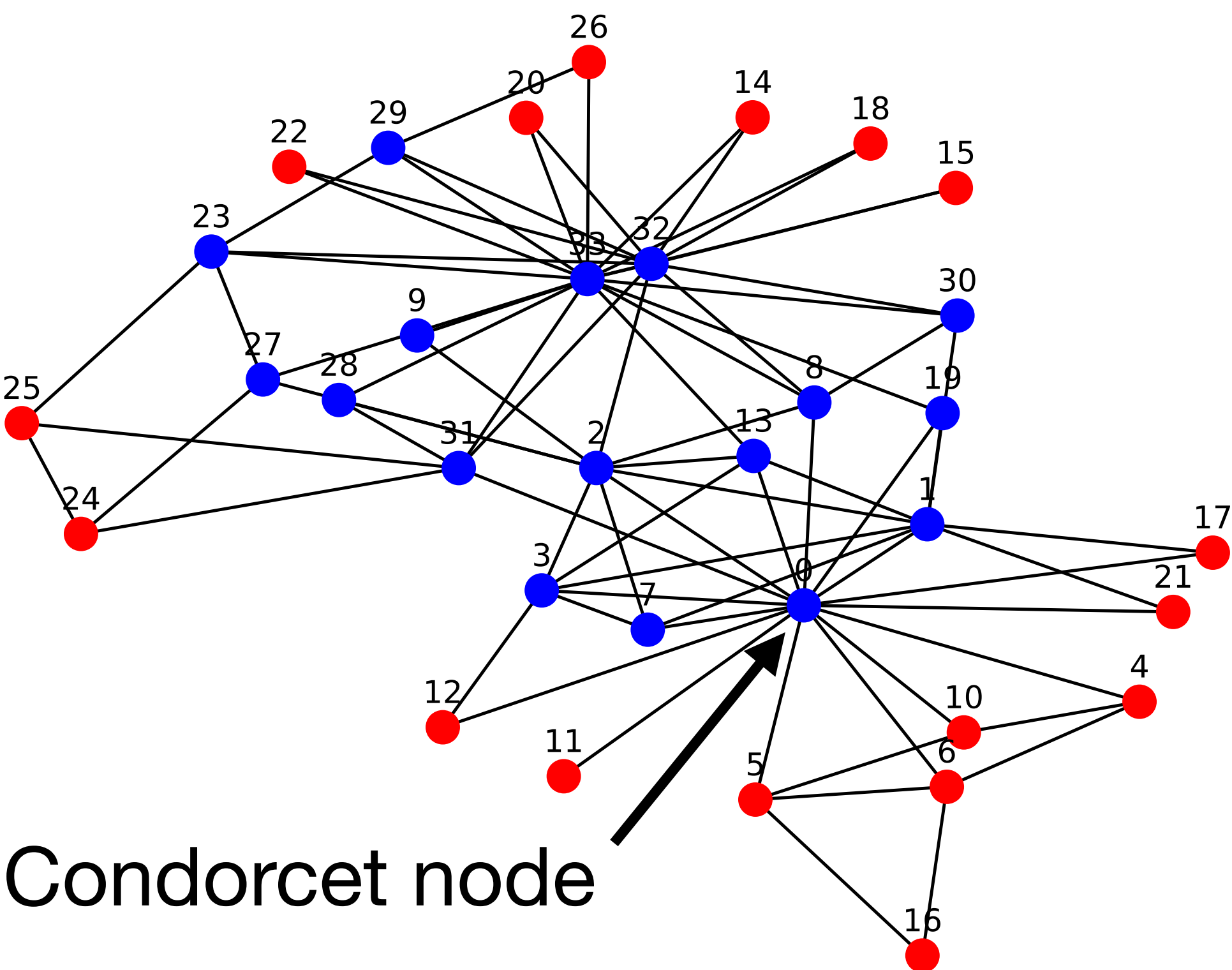
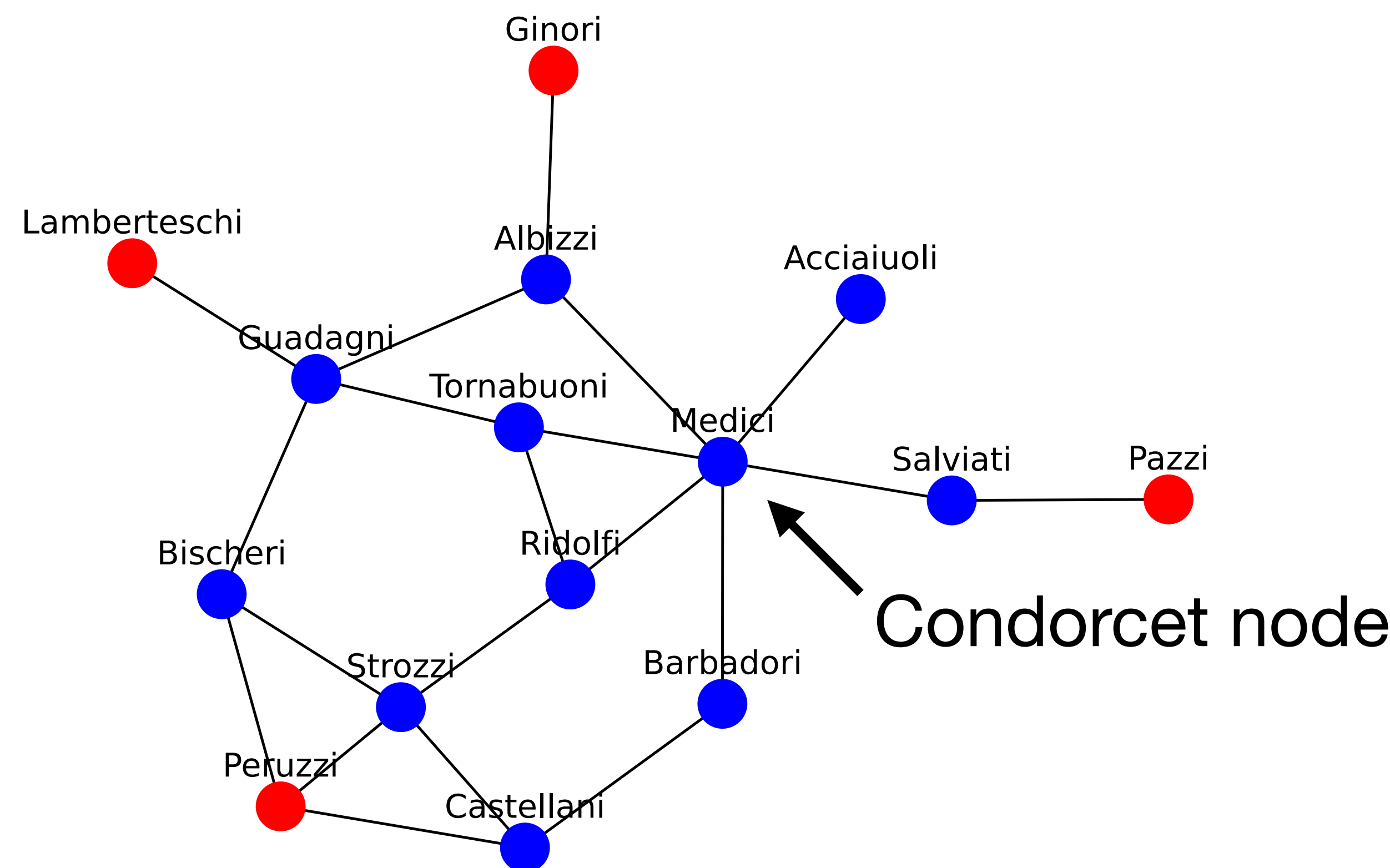
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# Other results

- Properties of exclusion zones
- Minimal IRV exclusion zones of paths, stars, bistars, and perfect binary trees
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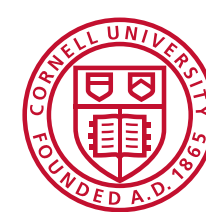
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# Open questions

- Do other voting rules have nontrivial exclusion zones with 1-Euclidean preferences?  $d$ -Euclidean? Graphs?
- In higher dimensions, are there “natural” voter distributions with nontrivial IRV exclusion zones?

# Thank you!

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**Jon Kleinberg**  
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**Exclusion Zones of Instant Runoff Voting, [arxiv.org/abs/2502.16719](https://arxiv.org/abs/2502.16719)**



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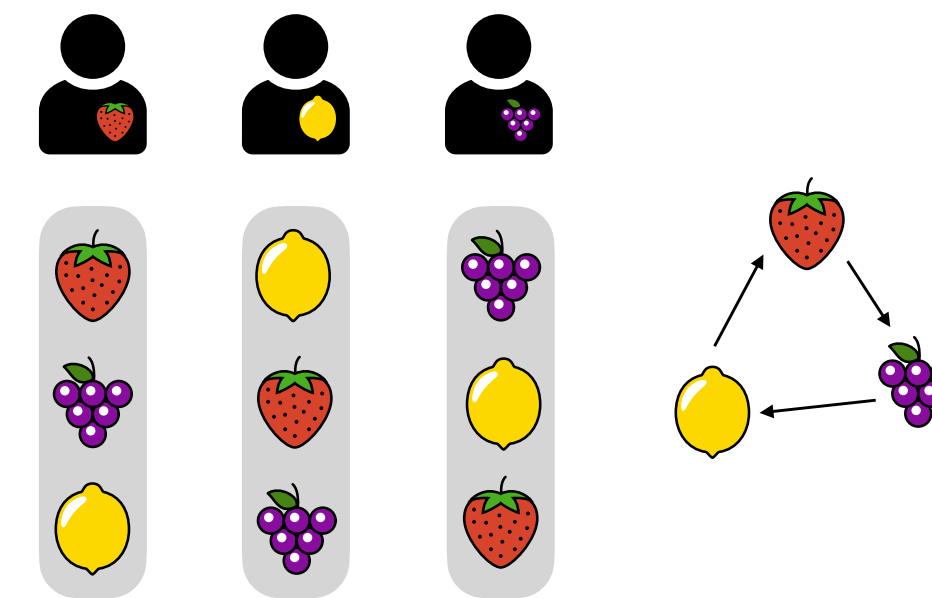
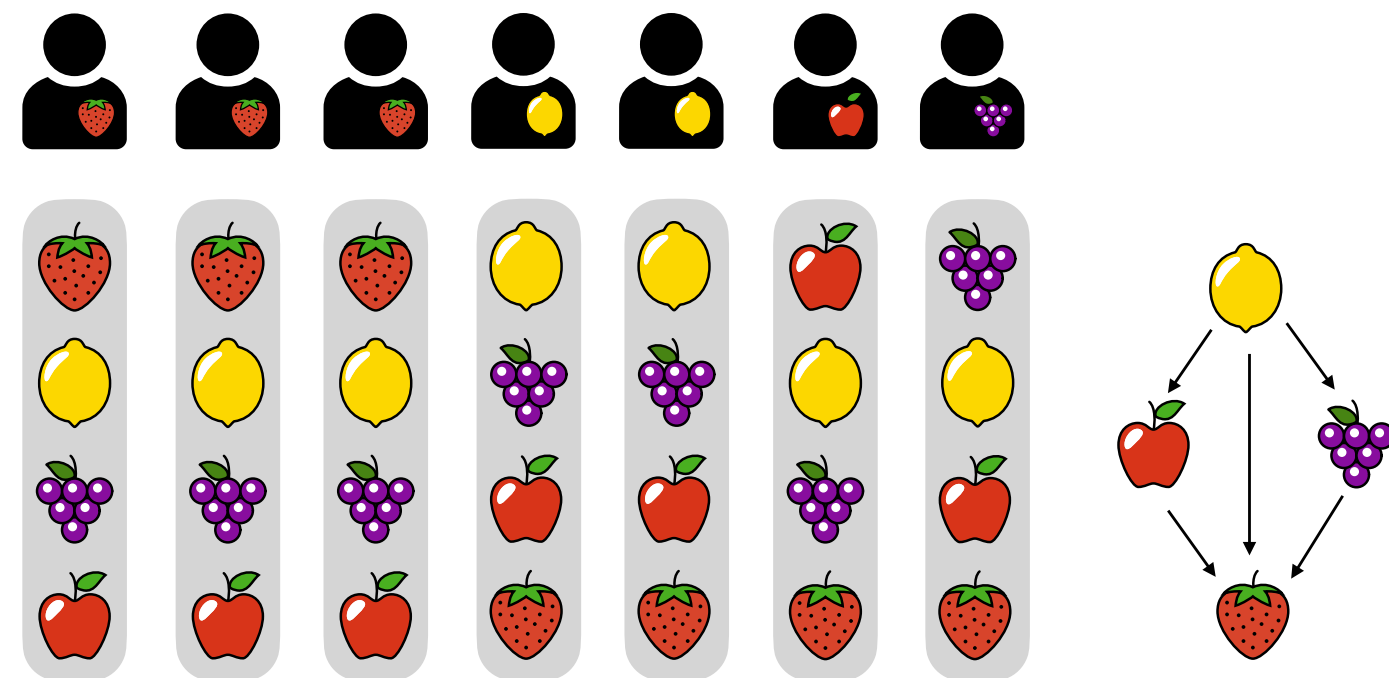
# Background: Condorcet winners

## Definition

A winner of every pairwise contest is a **Condorcet winner**.  
A **Condorcet method** elects the Condorcet winner when one exists.



Nicolas de Condorcet  
(1743 - 1794)



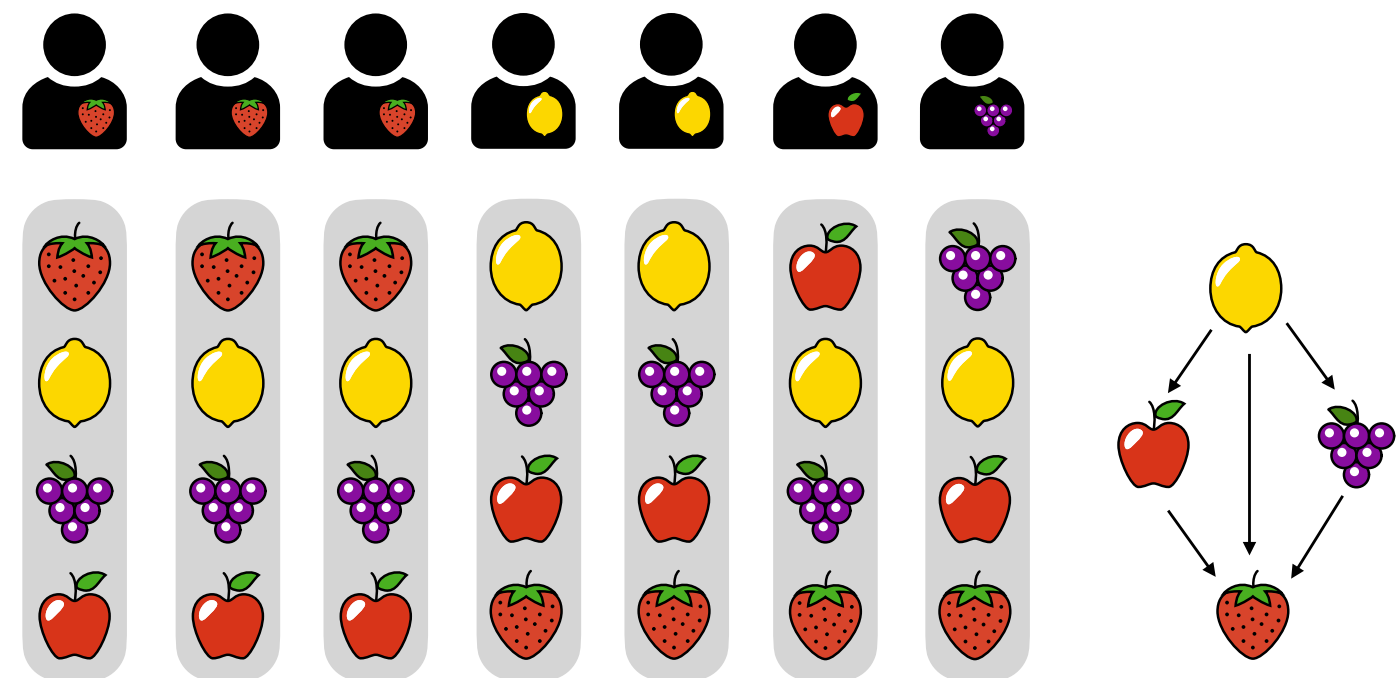
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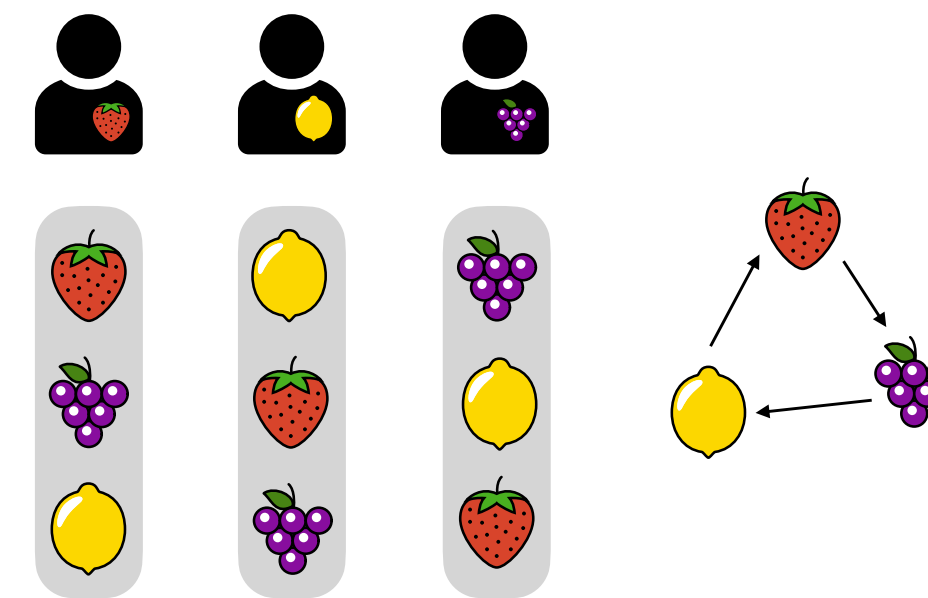
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Condorcet Winner: 🍋



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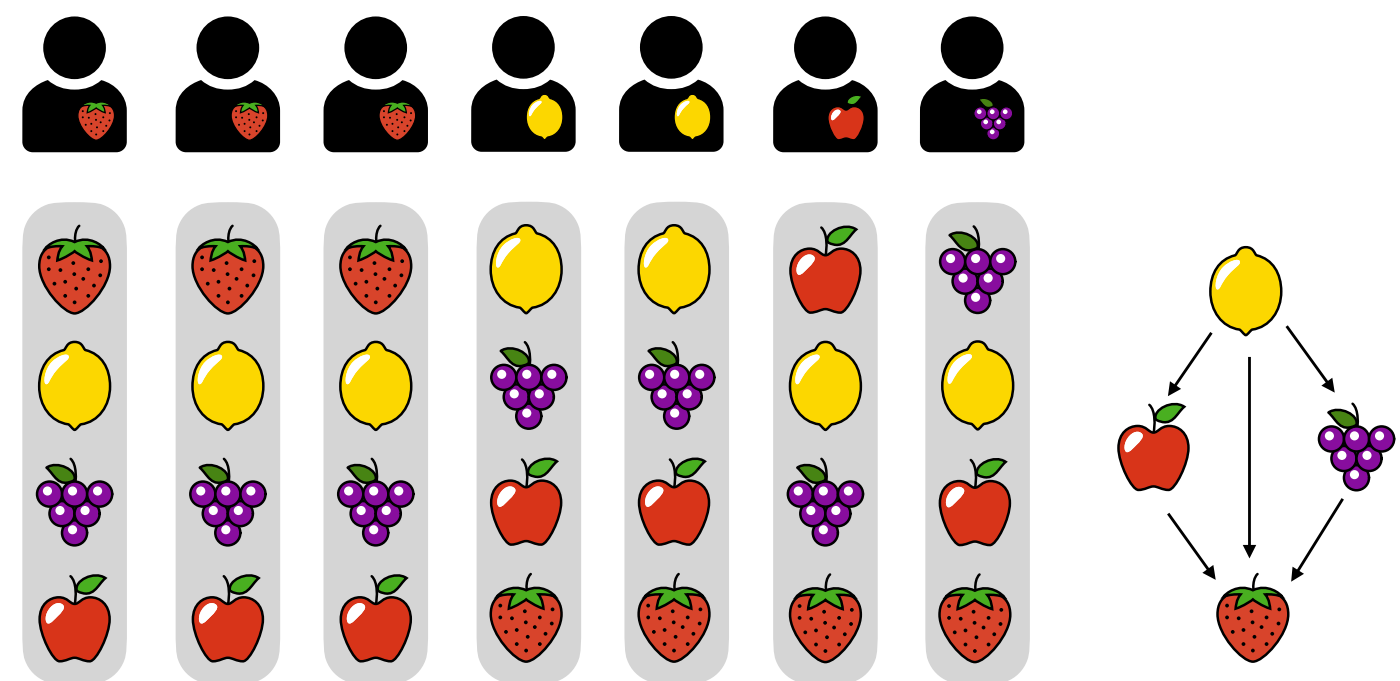
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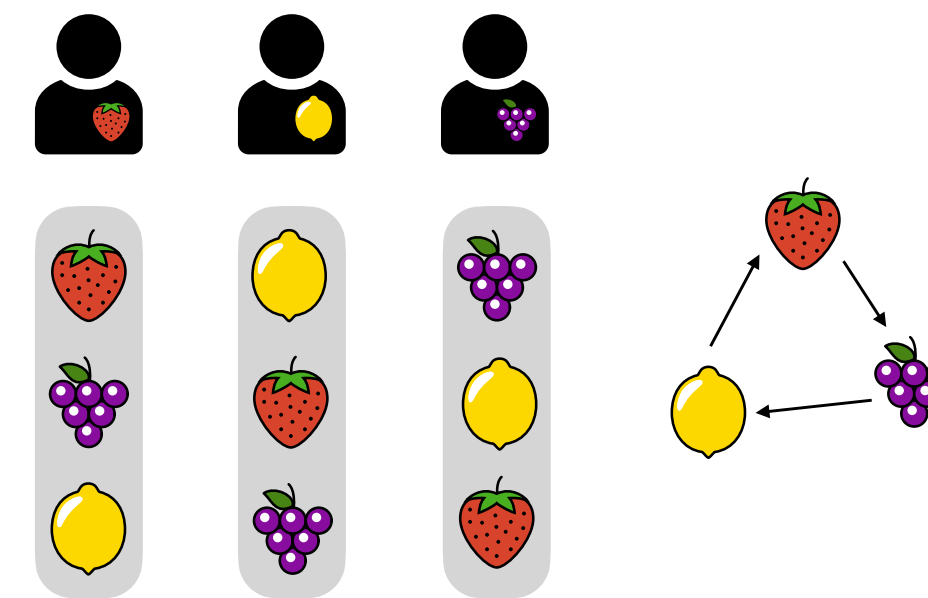
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# A recipe for proving the minimal exclusion zone is trivial

## Proposition

For any exclusion zone  $S \subseteq M$ , if there is some election including  $x \in S$  where  $y$  wins, then  $y \in S$ .

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## Condorcet Chain Lemma

Given an election setting, if there exist elections  $C_1, \dots, C_n$  with candidates  $w_1 \in C_1, \dots, w_n \in C_n$  such that:

1.  $C_1$  includes a weak Condorcet position, but a different candidate  $w_1$  wins
  2. each  $C_{i+1}$  includes  $w_i$ , but some other candidate  $w_{i+1}$  wins
  3.  $w_n$  is a weak anti-Condorcet position,
- then the election setting has no nontrivial exclusion zones.*

# Condorcet and anti-Condorcet positions

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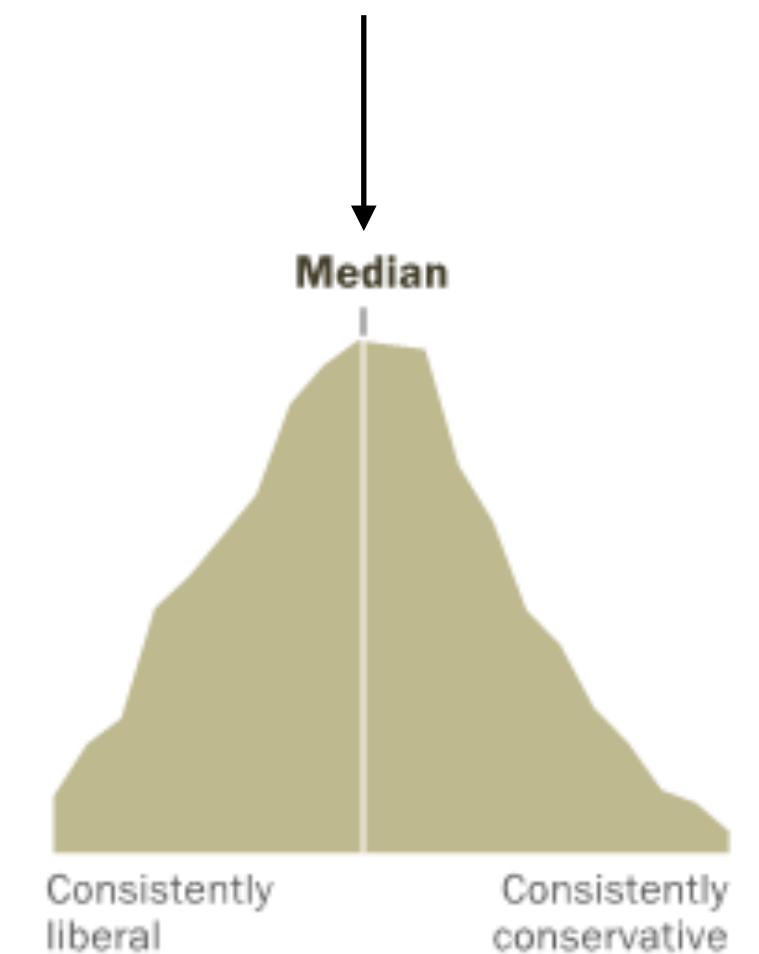
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(aka the **core**; strong with  $>$  half).

strong Condorcet position



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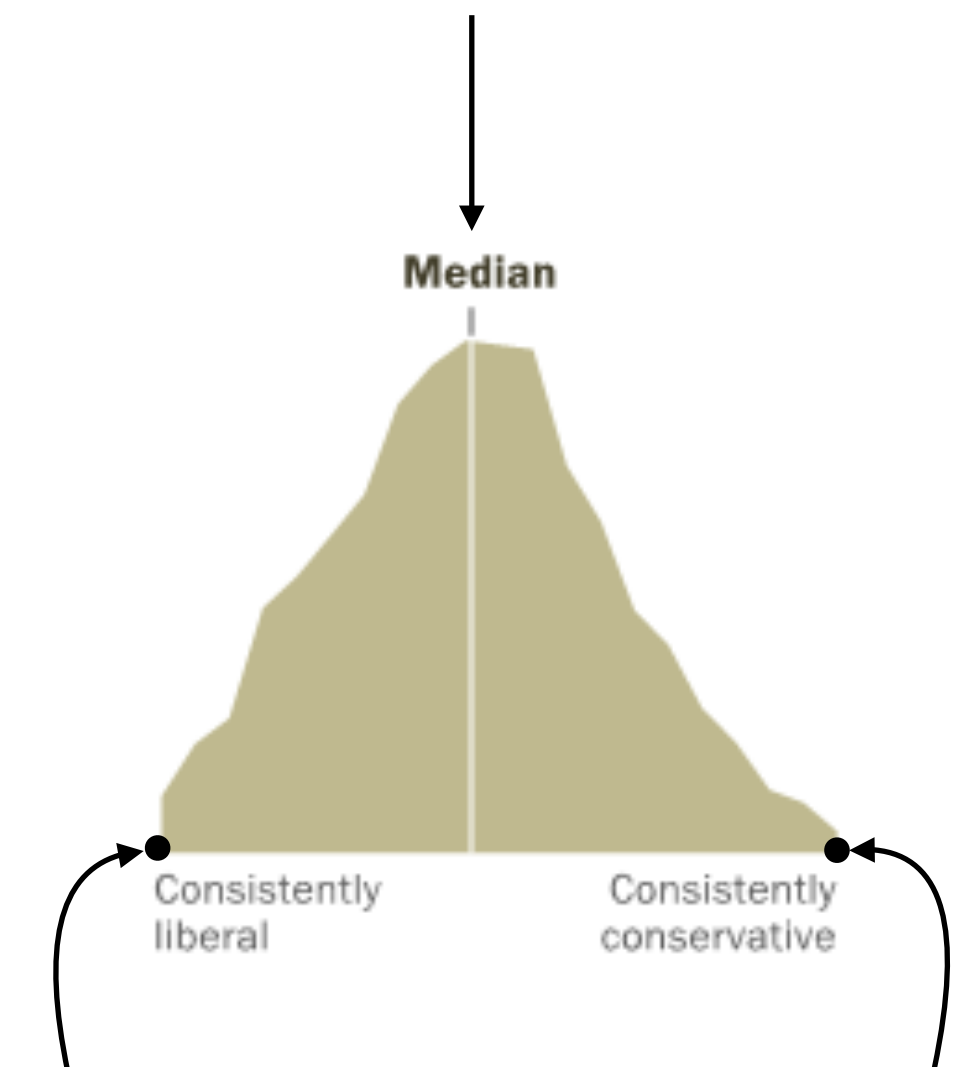
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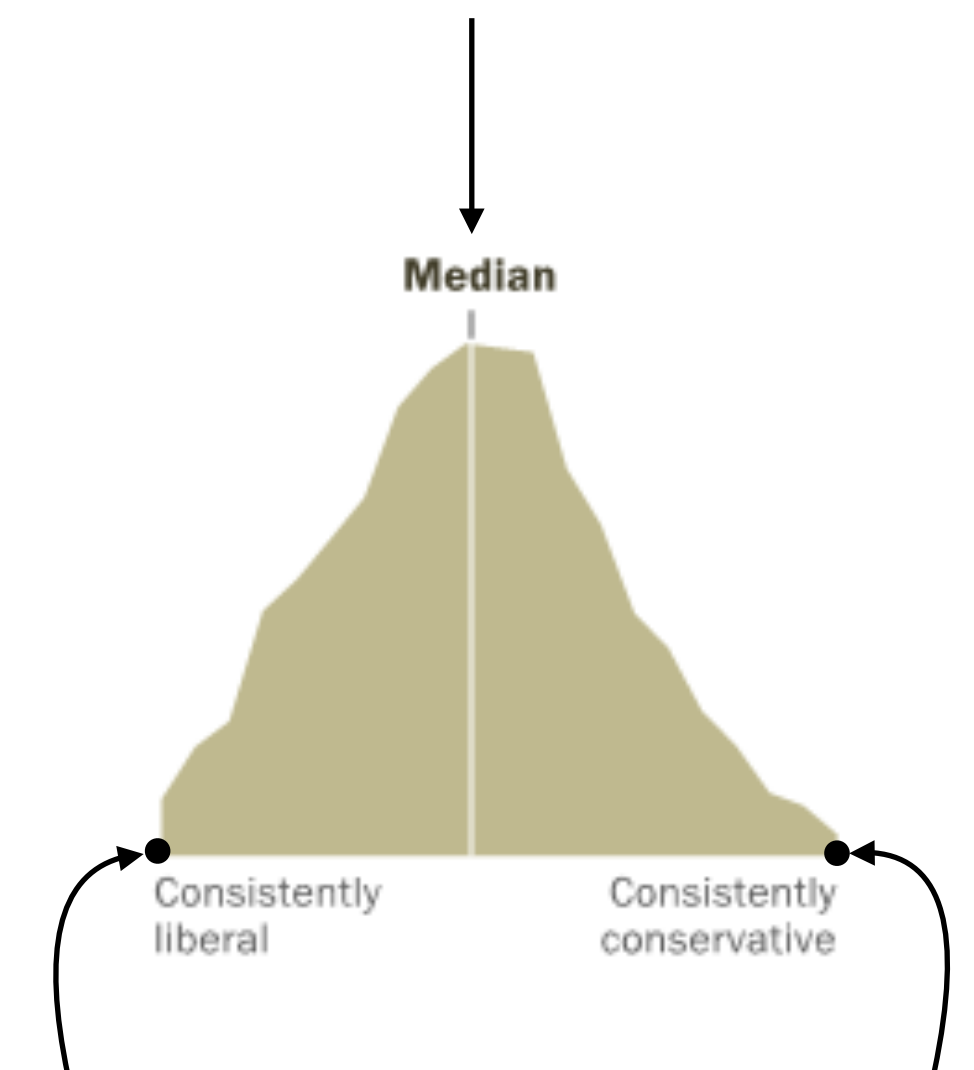
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## Proposition

For any reasonable\* voting rule:

1. Any weak Condorcet position is in the minimal exclusion zone.
2. The only exclusion zone containing weak anti-Condorcet positions is trivial.

# Condorcet and anti-Condorcet positions

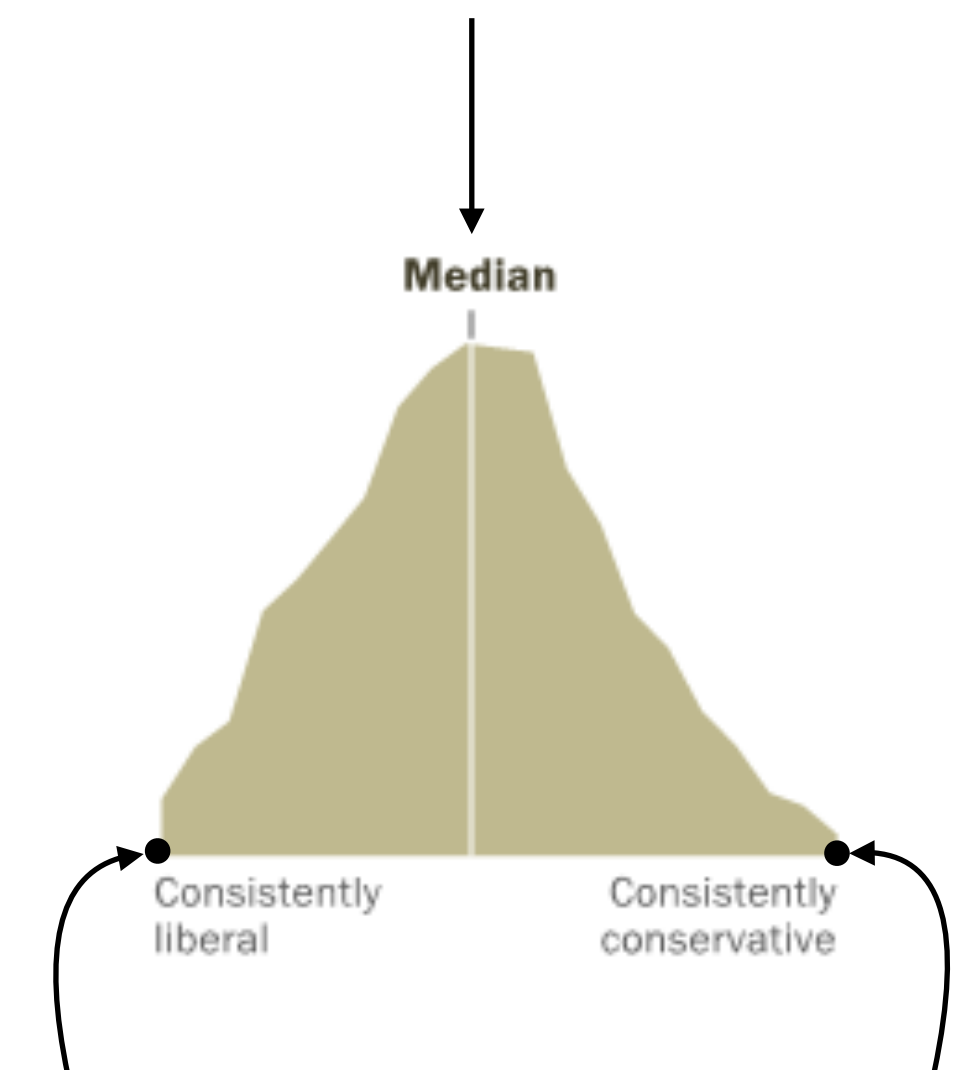
## Definition

Given a metric space  $M$  and a voter distribution:

$x \in M$  is a **weak Condorcet position** if for any other  $y \in M$ , at least half of the voters are closer to  $x$  than to  $y$ .  
(aka the **core**; strong with  $>$  half).

$x \in M$  is a **weak anti-Condorcet position** if for any other  $y \in M$ , at least half of the voters are closer to  $y$  than to  $x$ .

strong Condorcet position



weak anti-Condorcet positions

(assuming this dsd is symmetric)

## Proposition

For any reasonable\* voting rule:

1. Any weak Condorcet position is in the minimal exclusion zone.
2. The only exclusion zone containing weak anti-Condorcet positions is trivial.

\*satisfies majority criterion in two-candidate elections