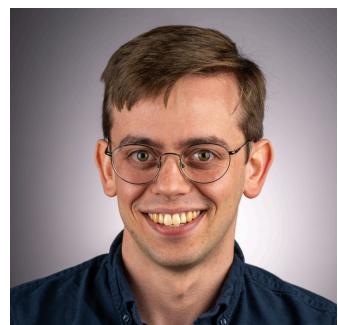


Exclusion Zones of Instant Runoff Voting



Kiran Tomlinson
Microsoft Research

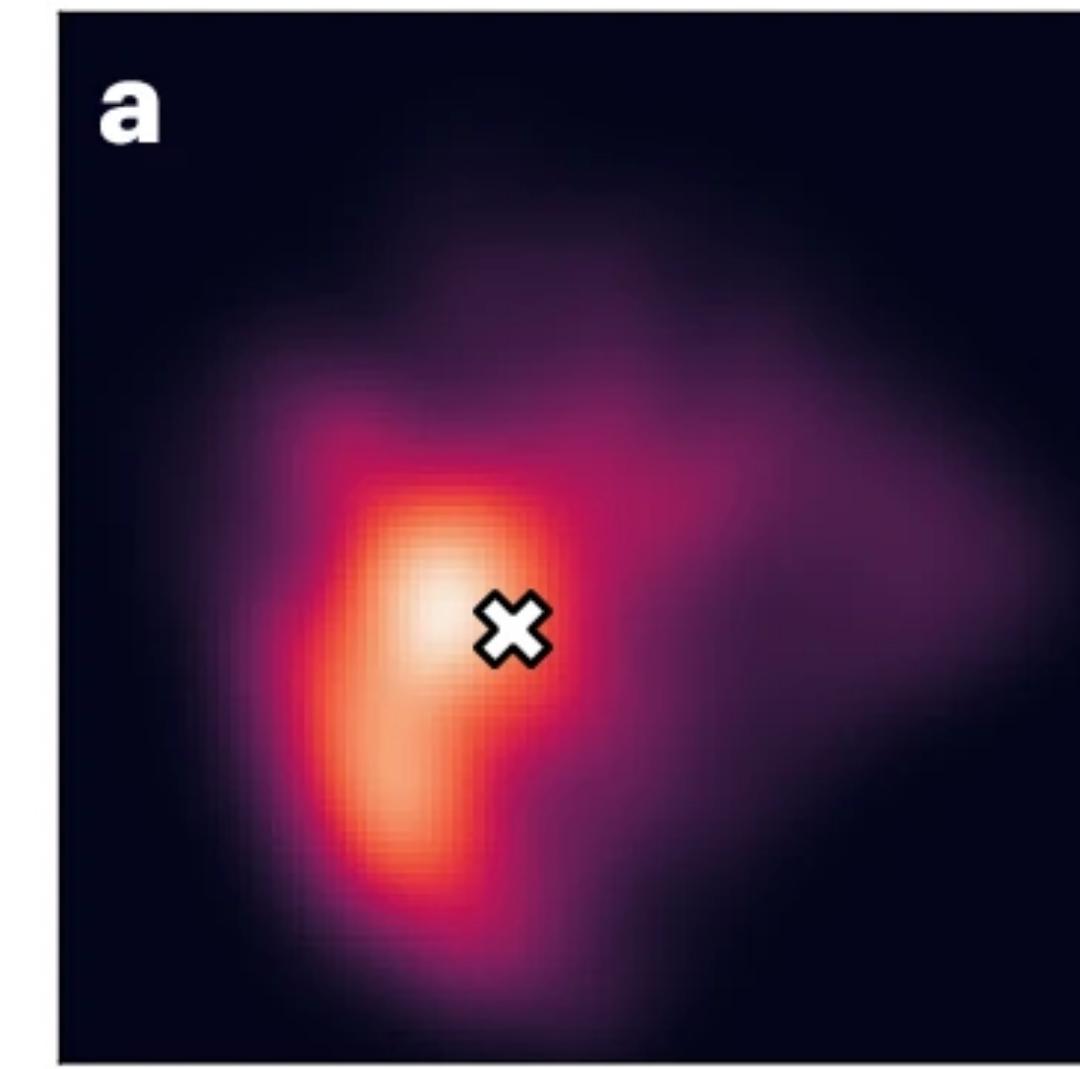
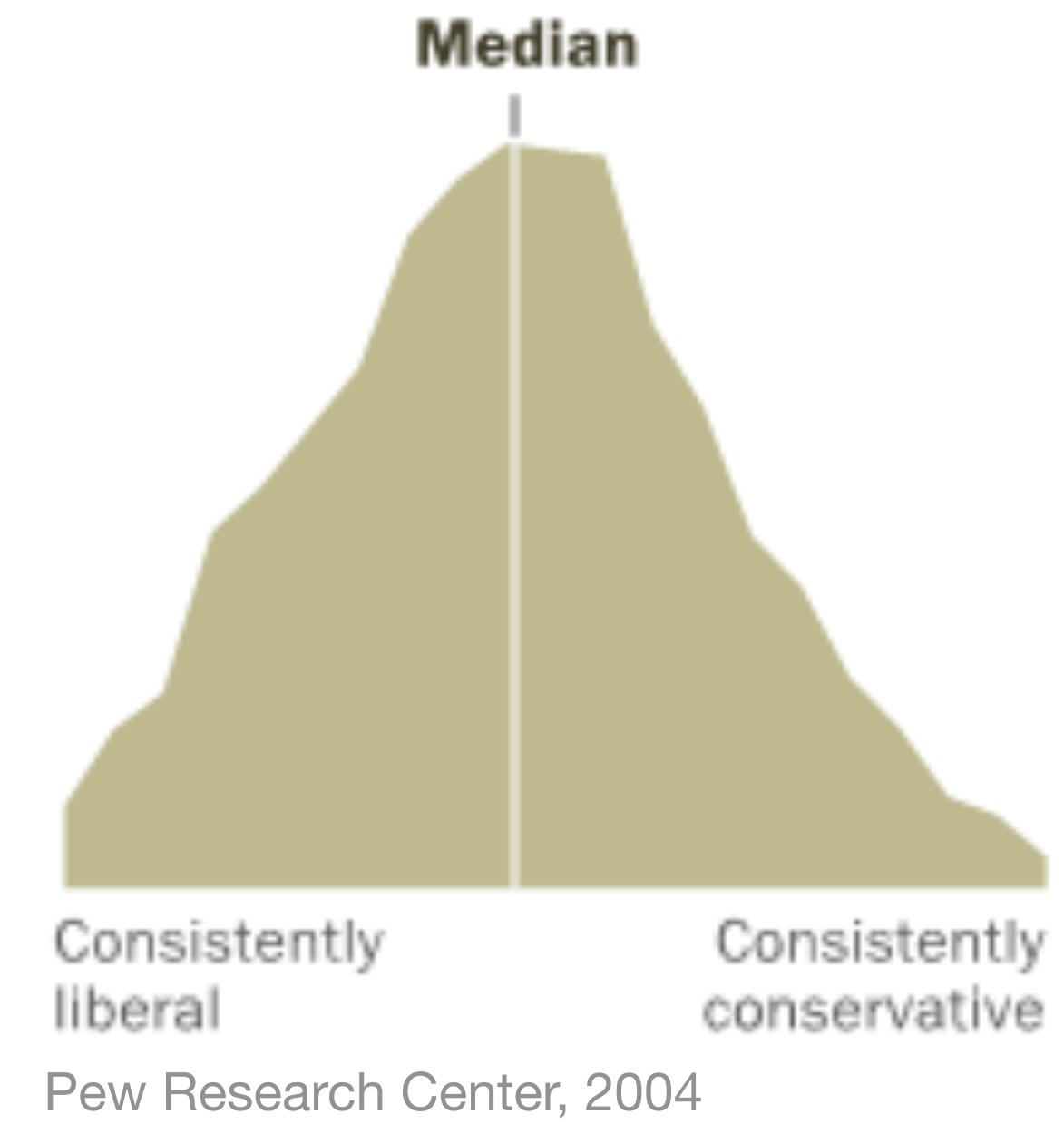


Johan Ugander
Yale University



Jon Kleinberg
Cornell University

Given a distribution of voters in a metric space, what regions in the space does a voting algorithm favor?

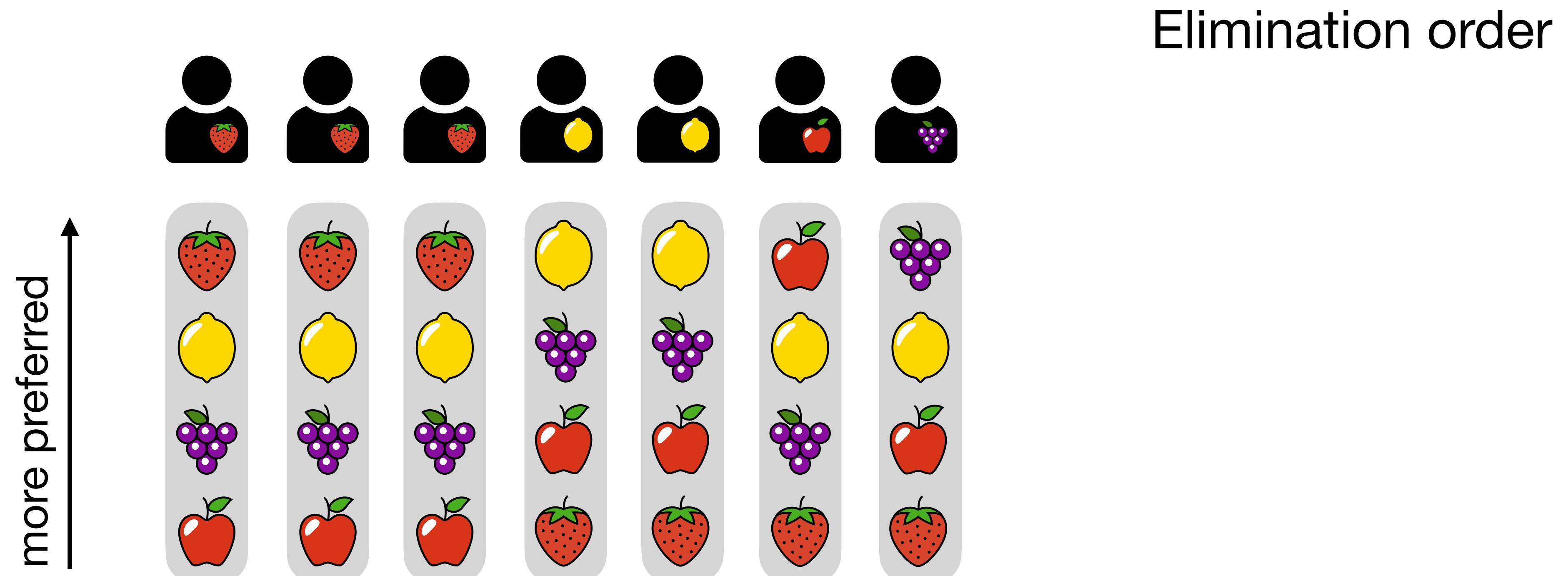


Ojer et al, *Nature Human Behavior*, 2025

E.g., will a given voting algorithm tend to elect moderates?

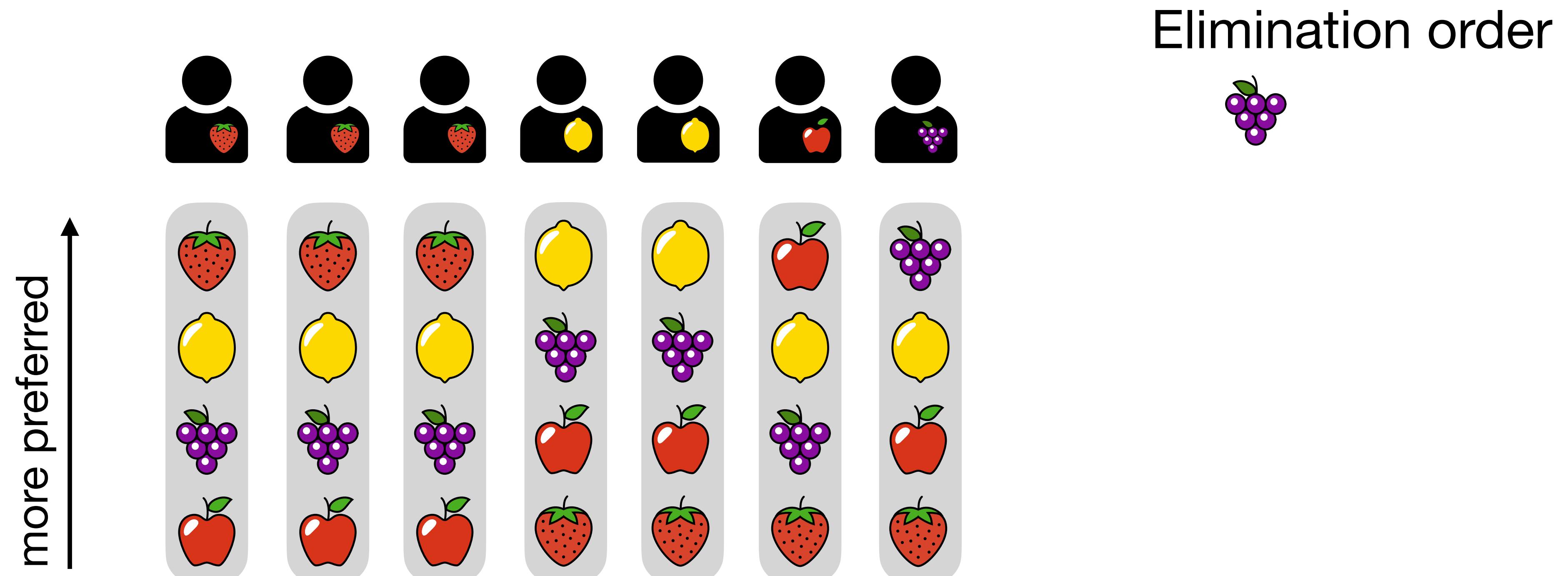
Instant runoff voting (IRV)

repeatedly eliminate the candidate with fewest first-place votes



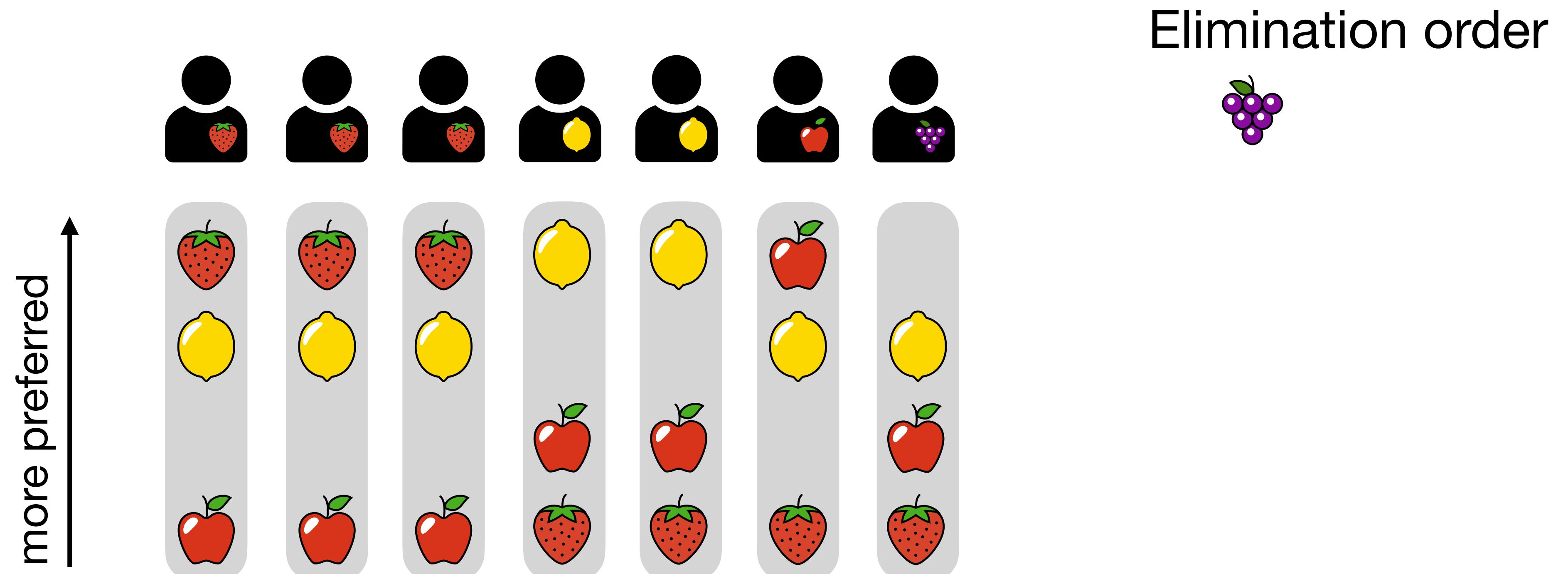
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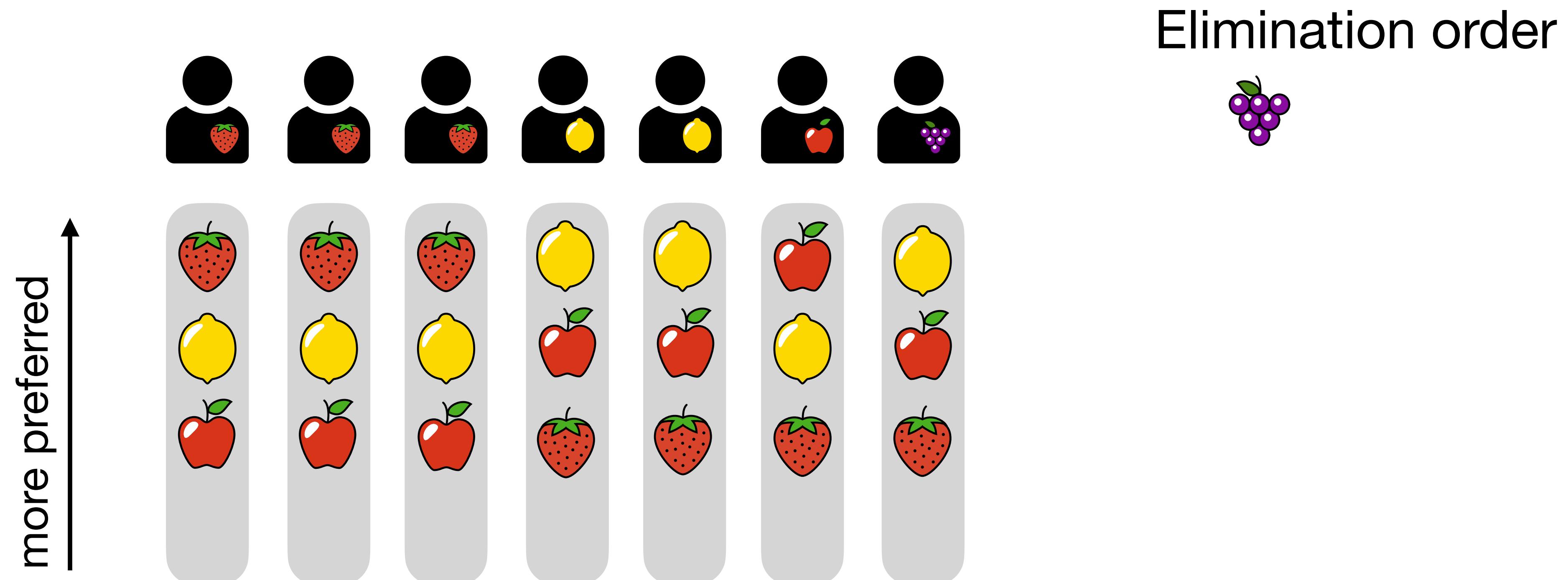
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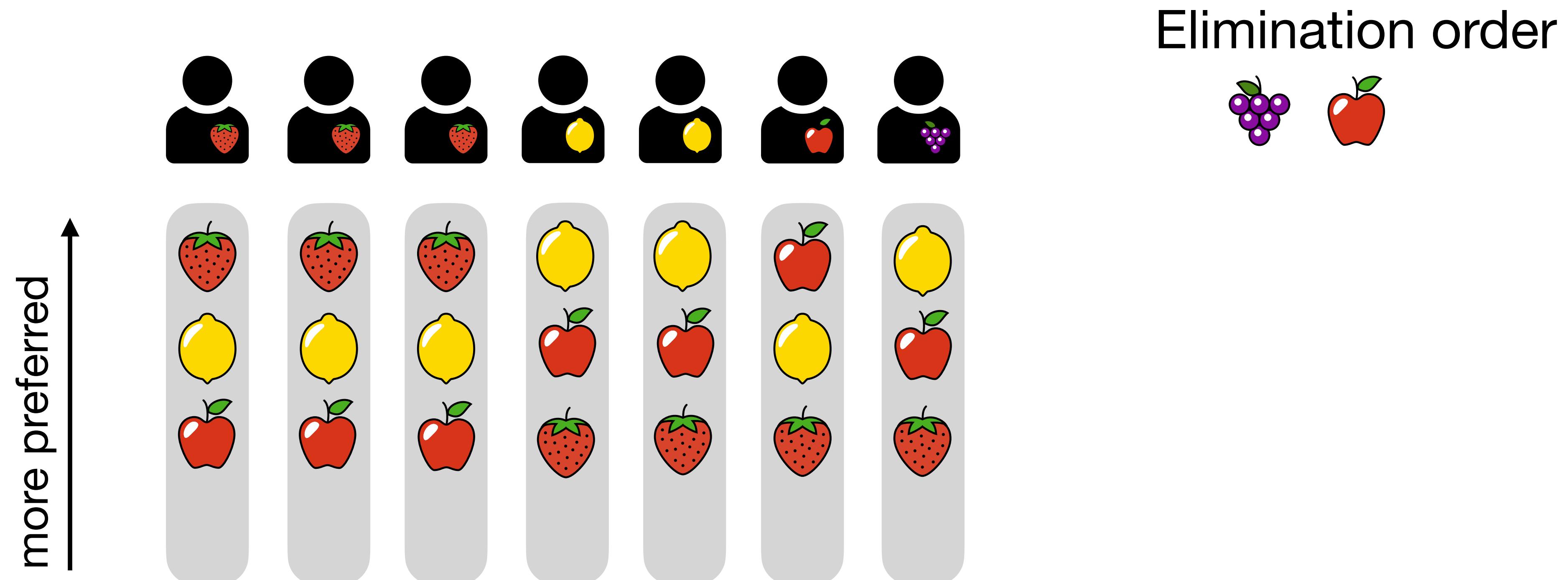
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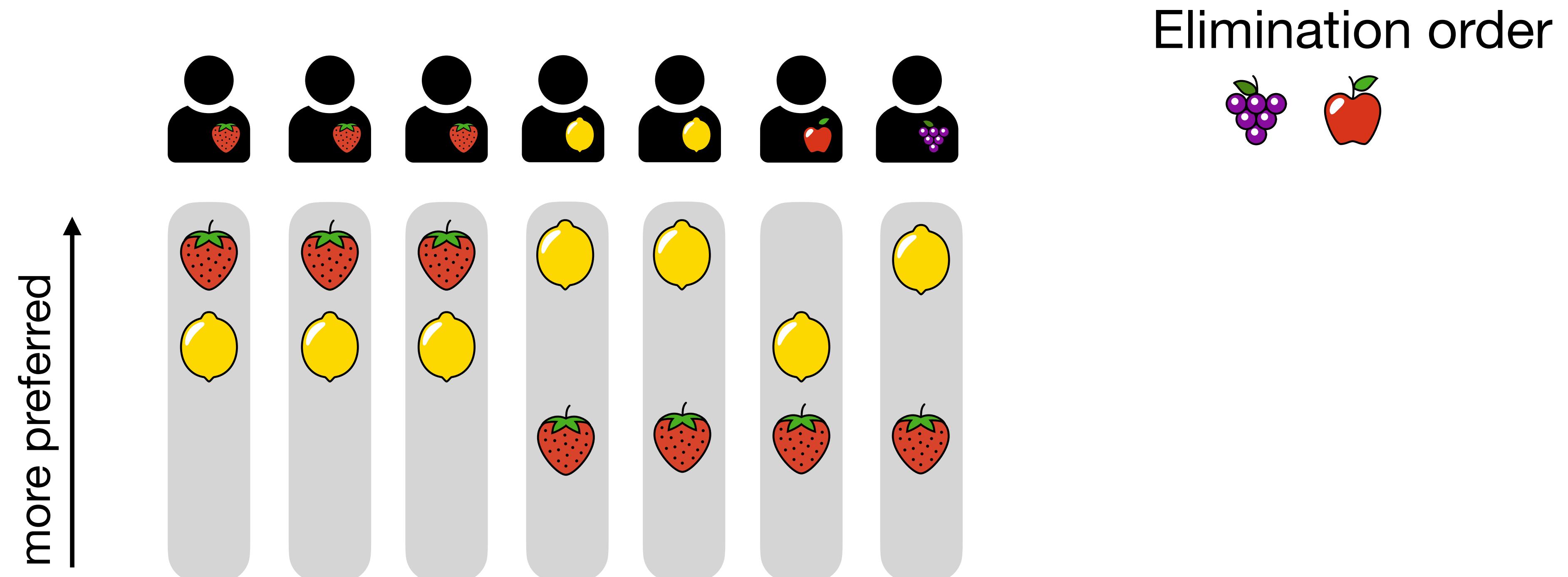
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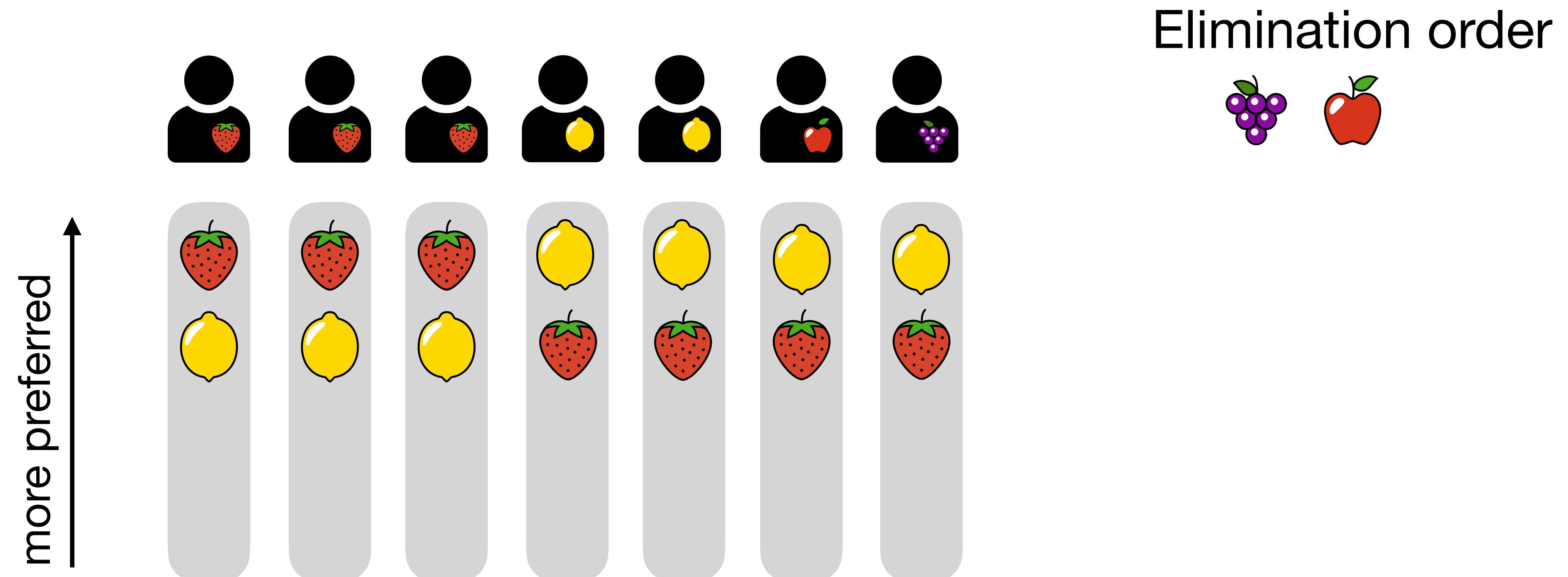
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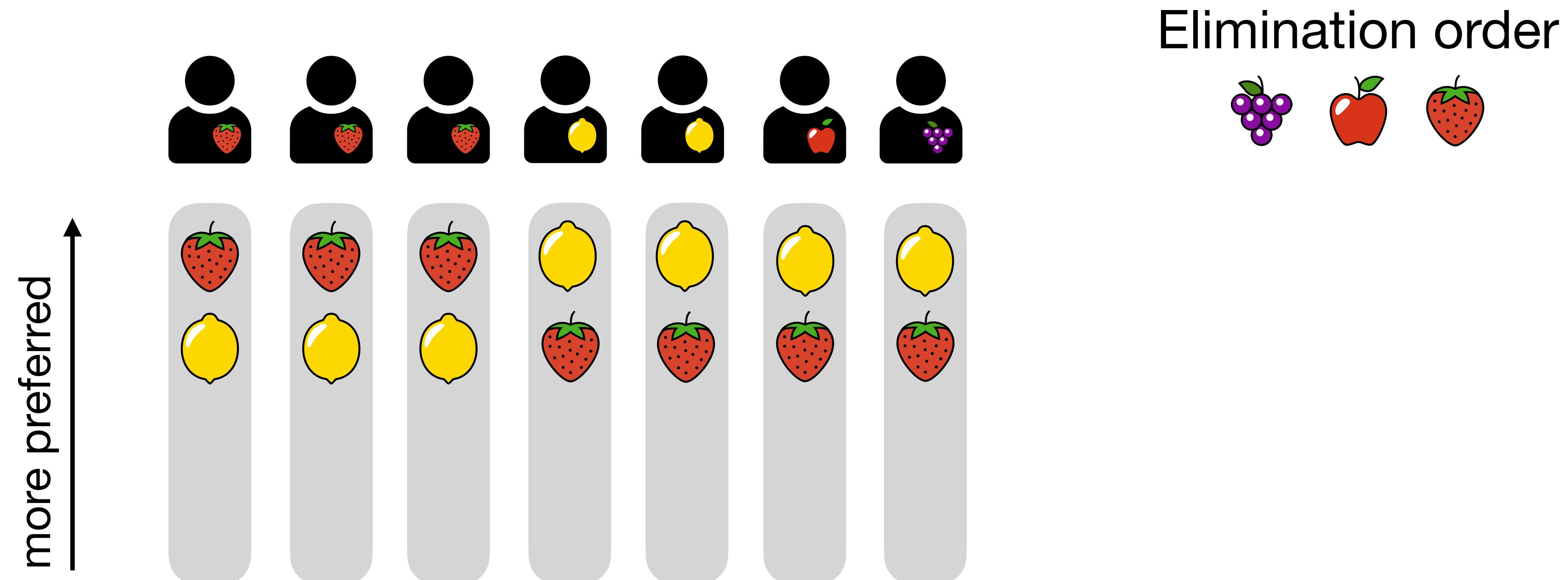
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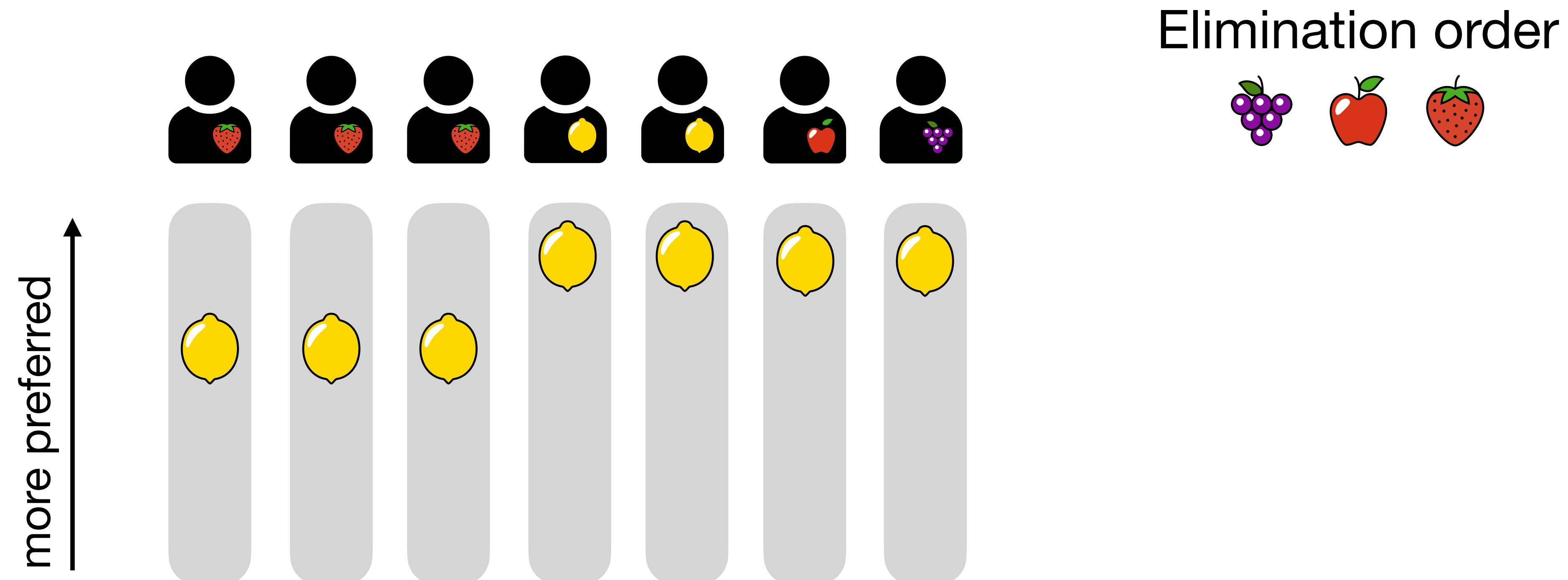
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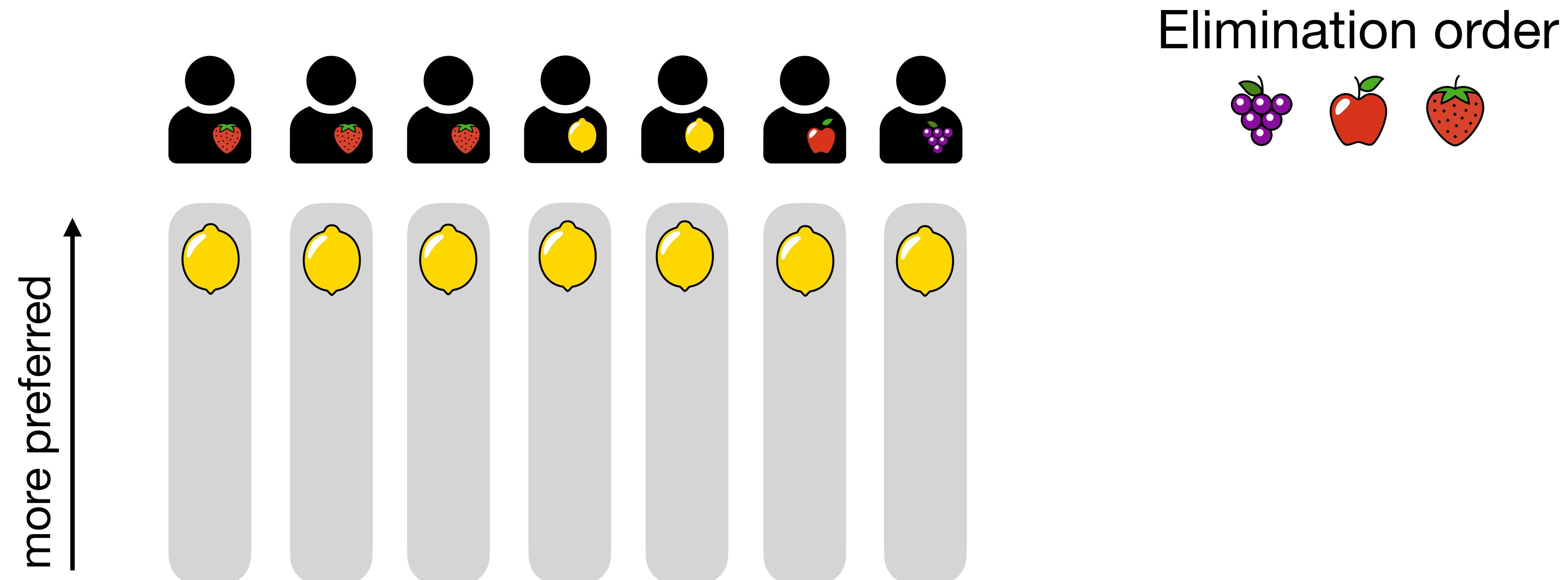
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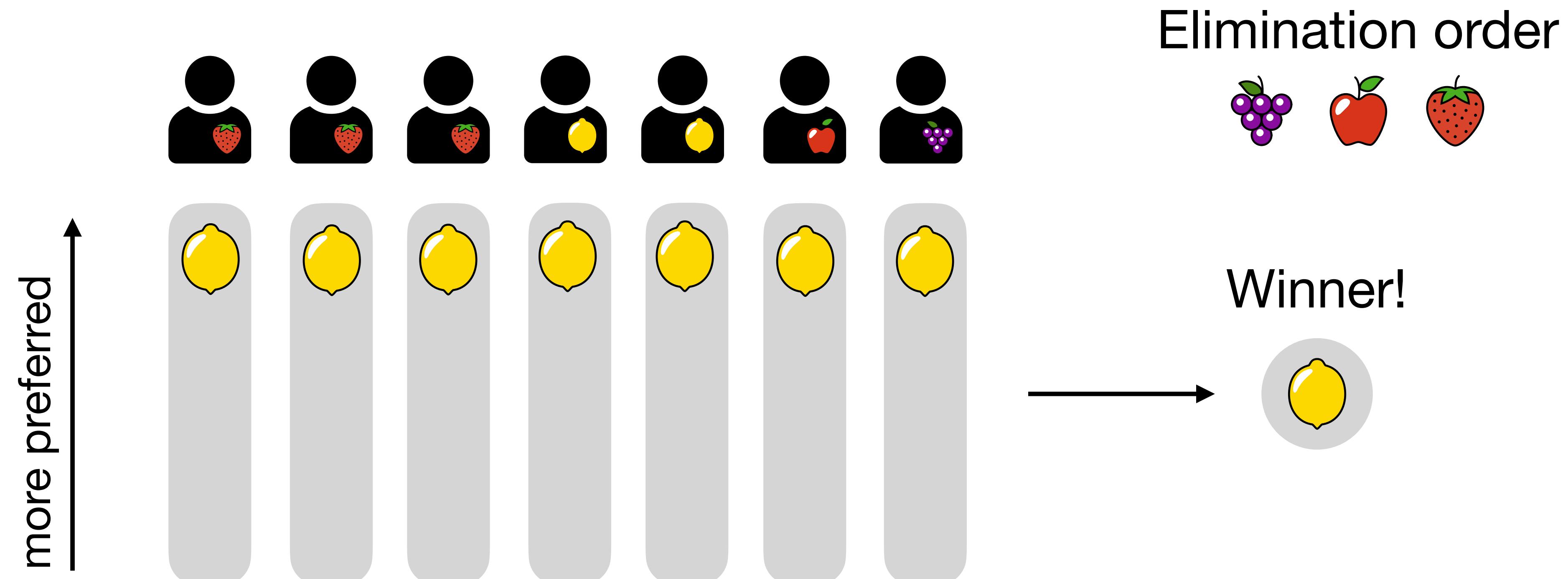
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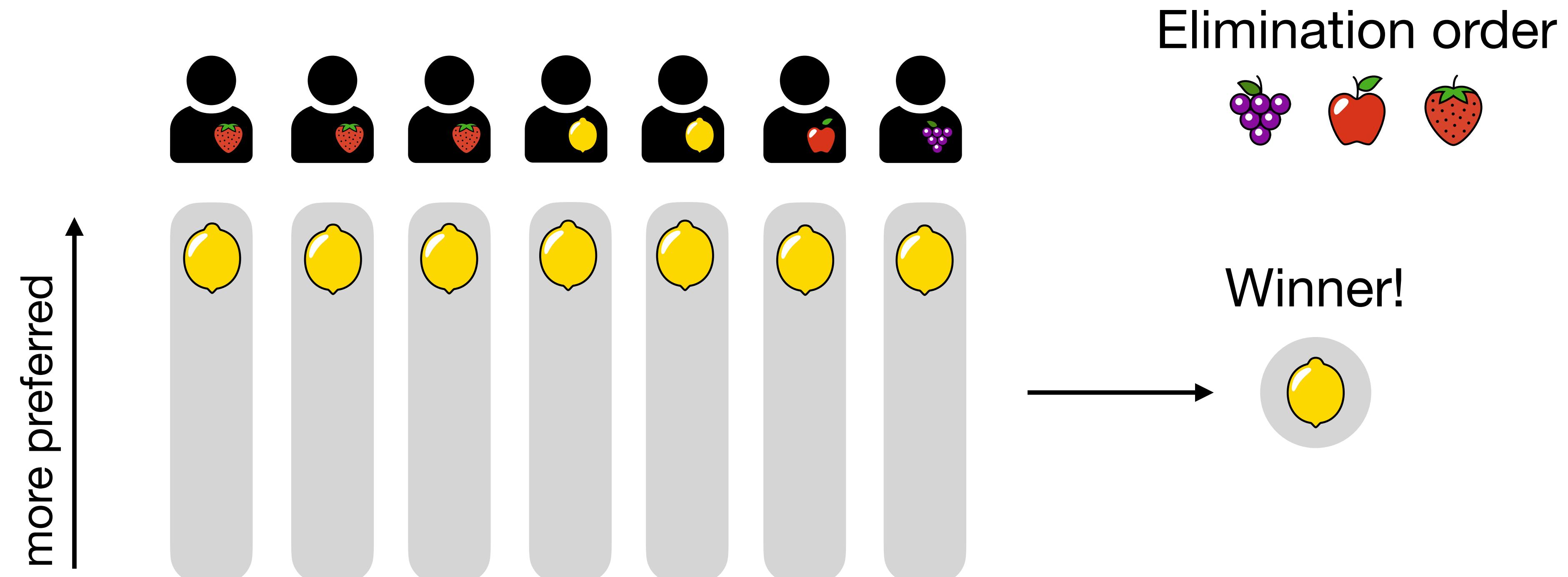
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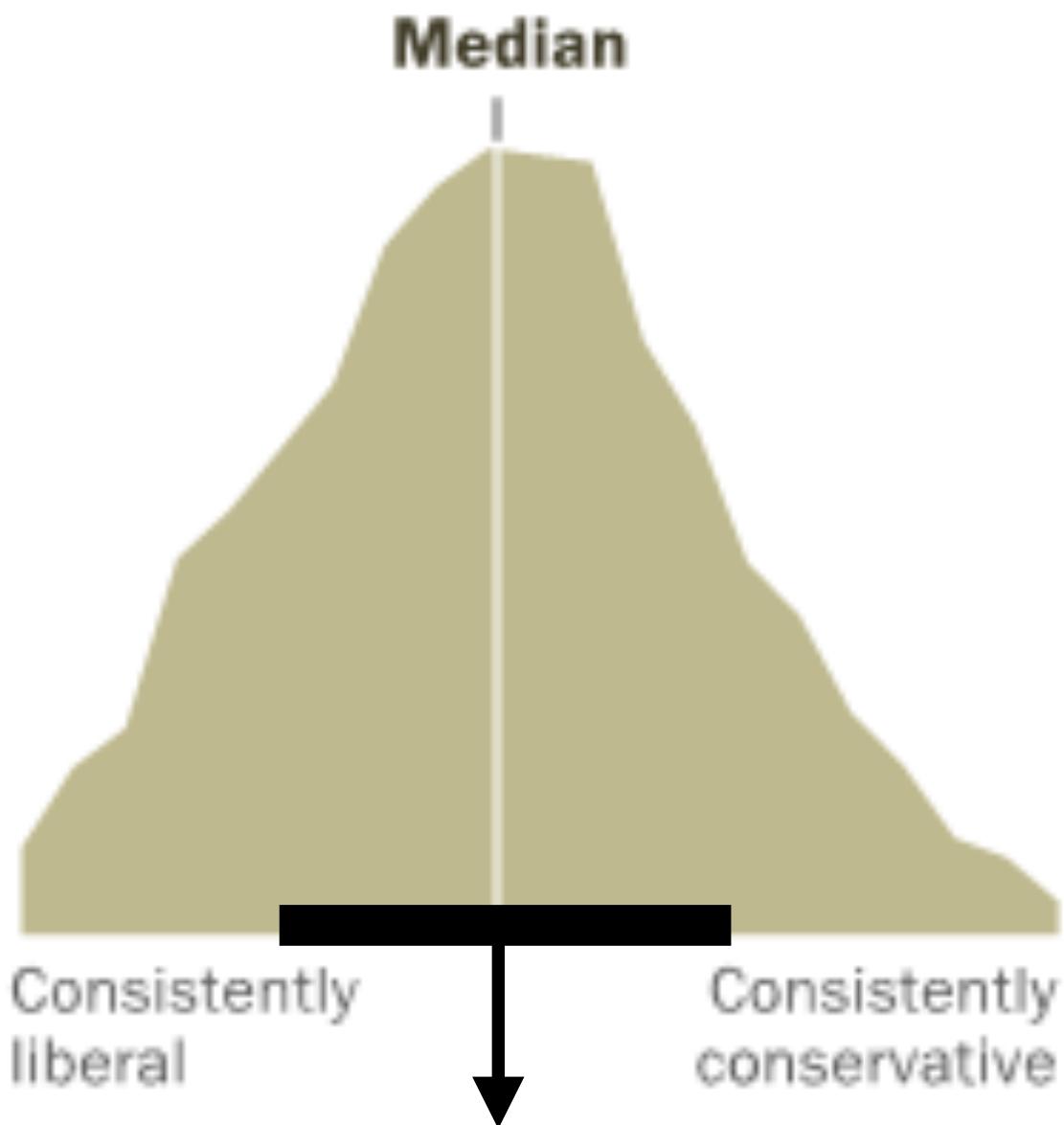
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a.k.a. RCV, STV, AV, Hare method, preferential voting

Two years ago....

Pew Research Center, 2004



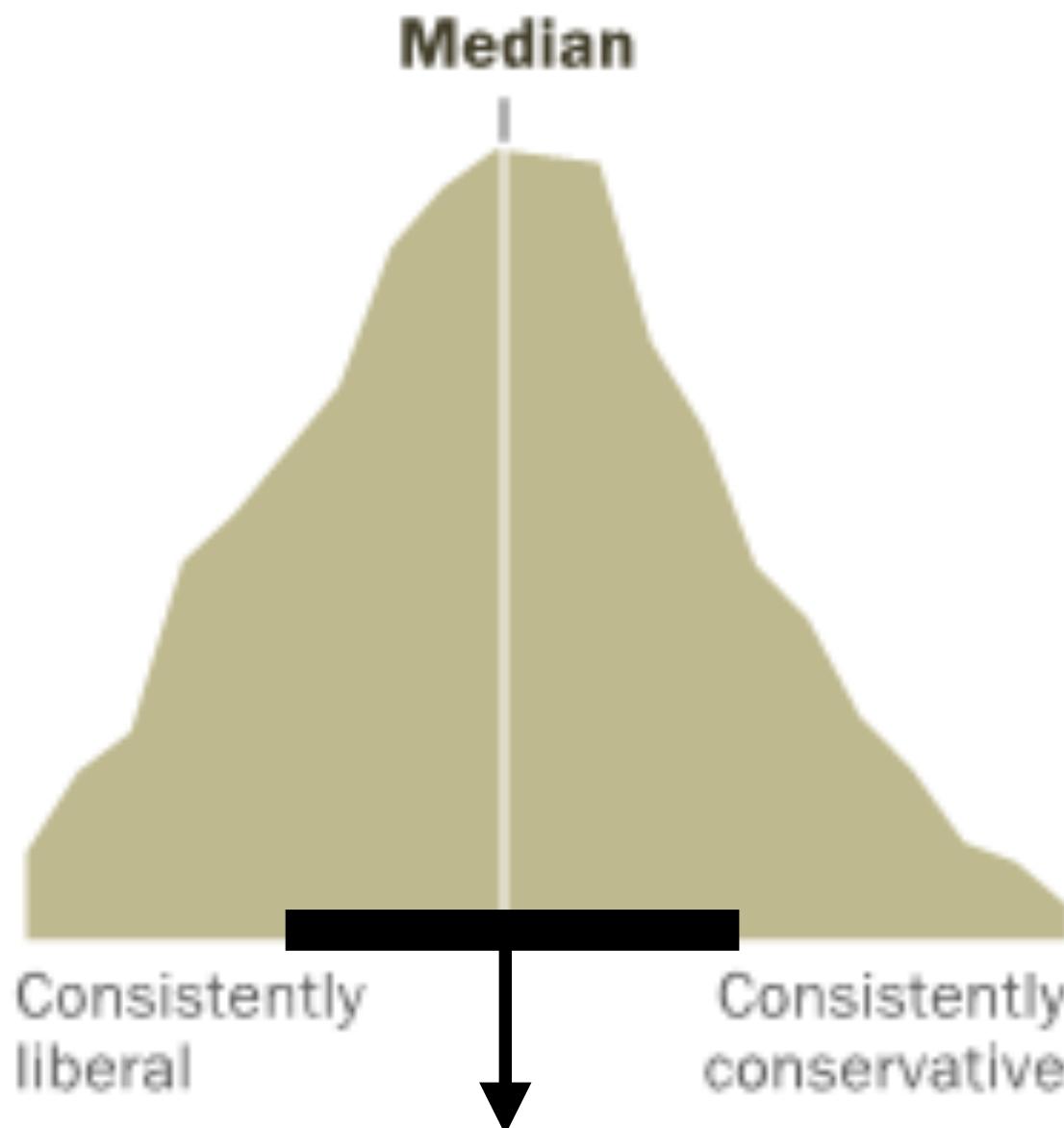
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The Moderating Effect of Instant Runoff Voting

Kiran Tomlinson¹, Johan Ugander², Jon Kleinberg¹

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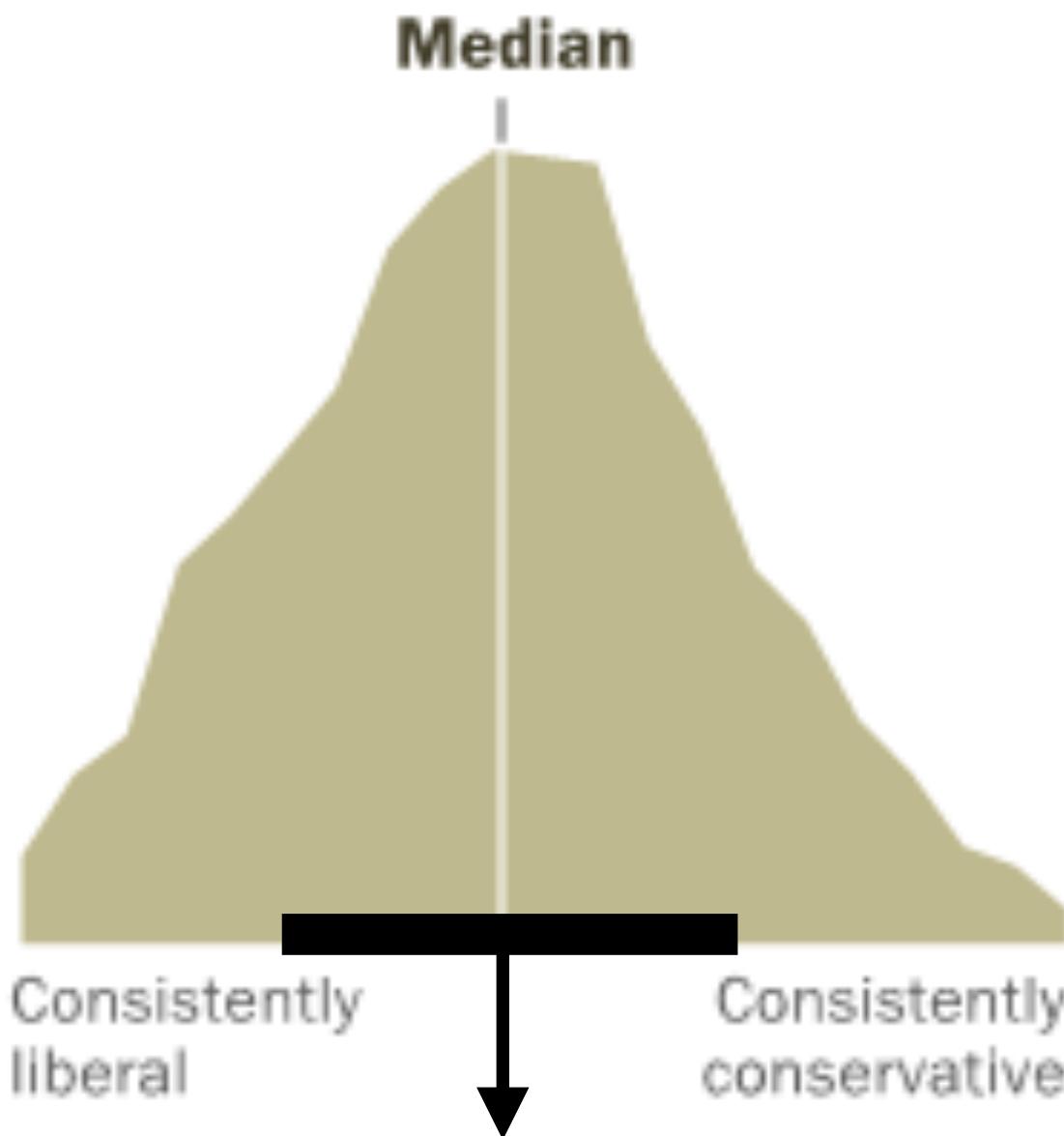
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Given a distribution of voters in a metric space M , a set $S \subseteq M$ is an **exclusion zone** of a voting system if the winner is guaranteed to come from S (unless no candidates come from S).

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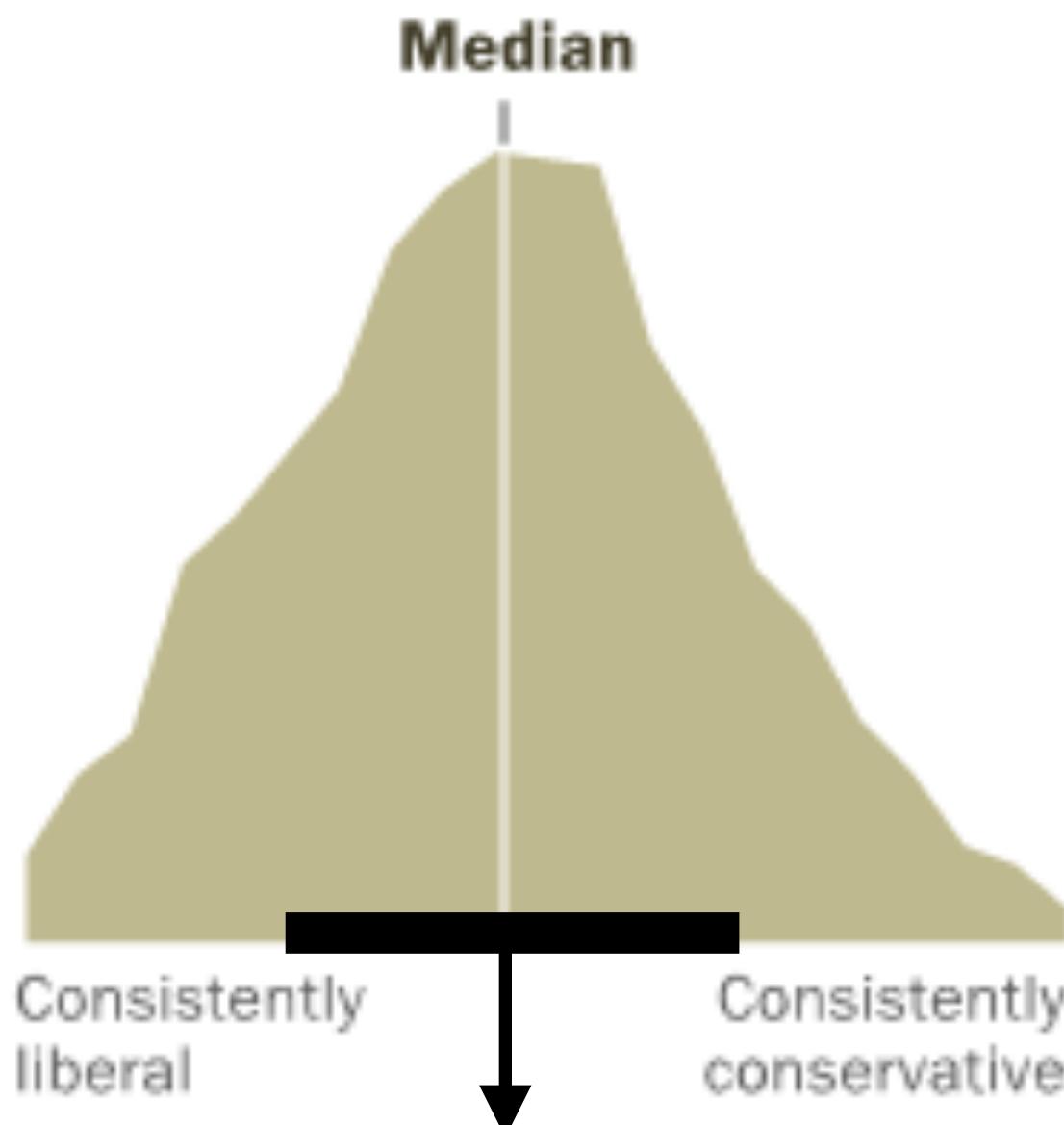
Theorem [TUK '24]

With uniform 1-Euclidean voters, $[1/6, 5/6]$ is an exclusion zone of IRV (and the smallest one).

symmetric unimodal distributions: $[F^{-1}(1/6), 1 - F^{-1}(1/6)]$

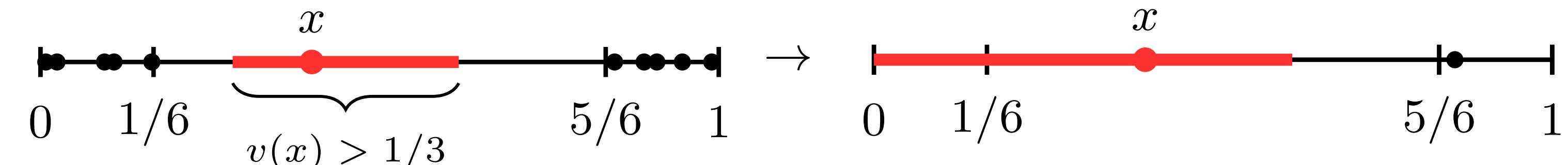
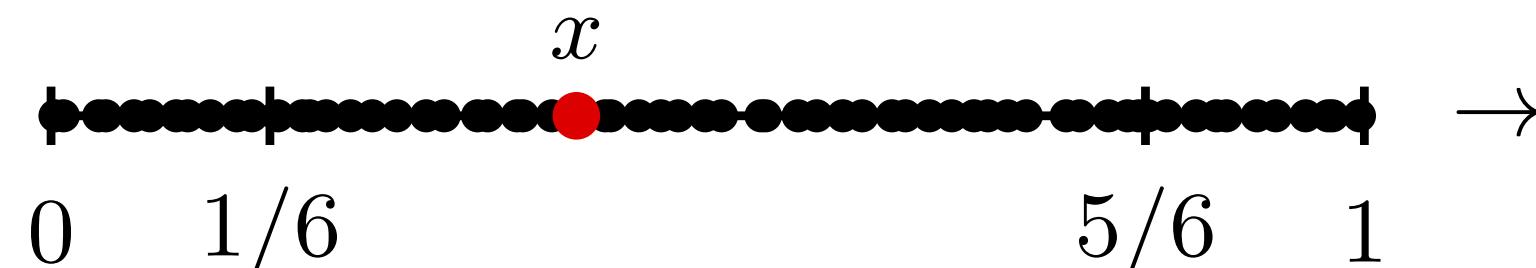
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IRV always favors candidates from here

Proof.



Kiran Tomlinson¹, Johan Ugander², Jon Kleinberg¹

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The space of all Condorcet method exclusion zones in 1d

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Condorcet methods with symmetric 1-Euclidean voters have the exclusion zones:

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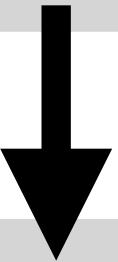
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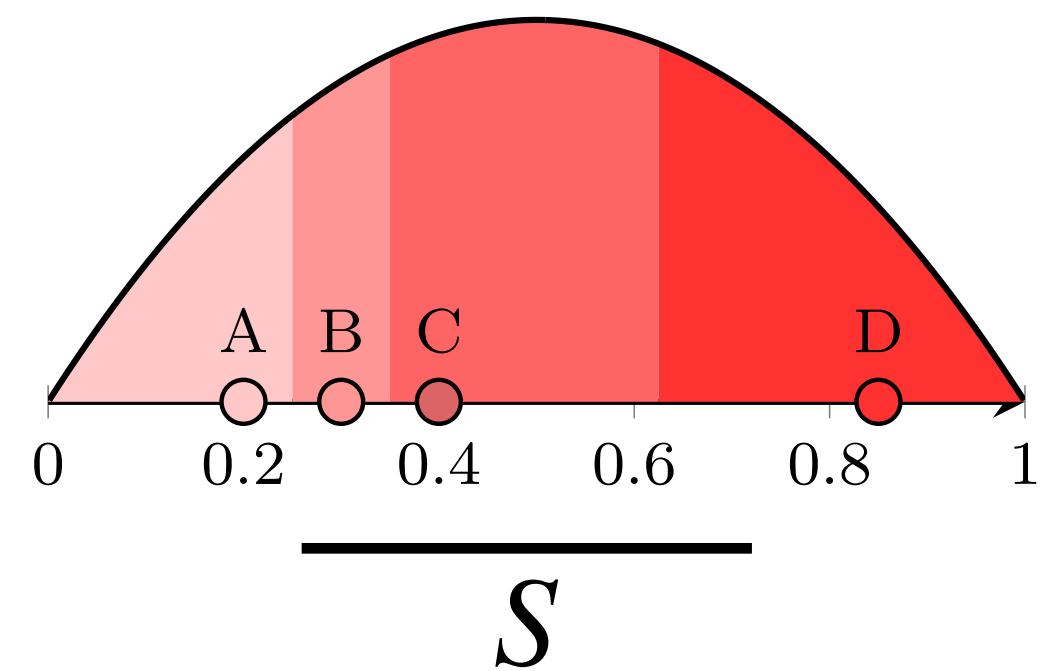
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Does the 1-Euclidean moderating effect for IRV extend to d -Euclidean space?

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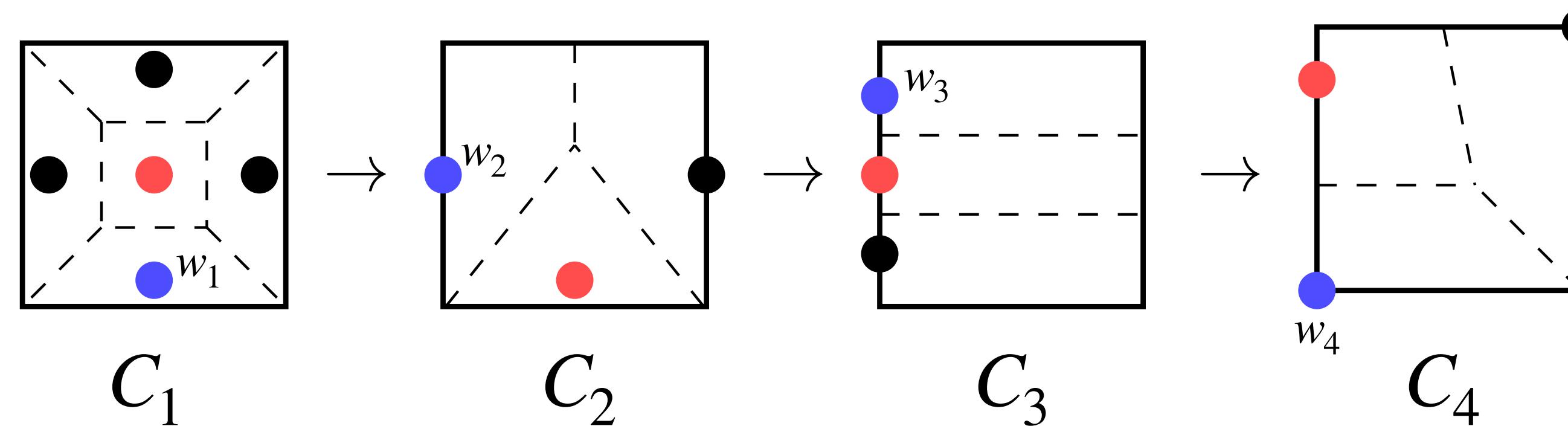
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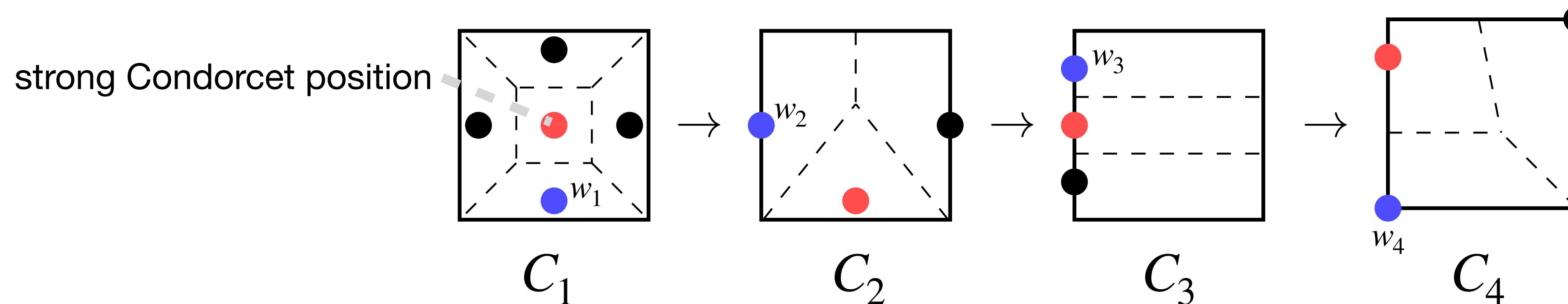


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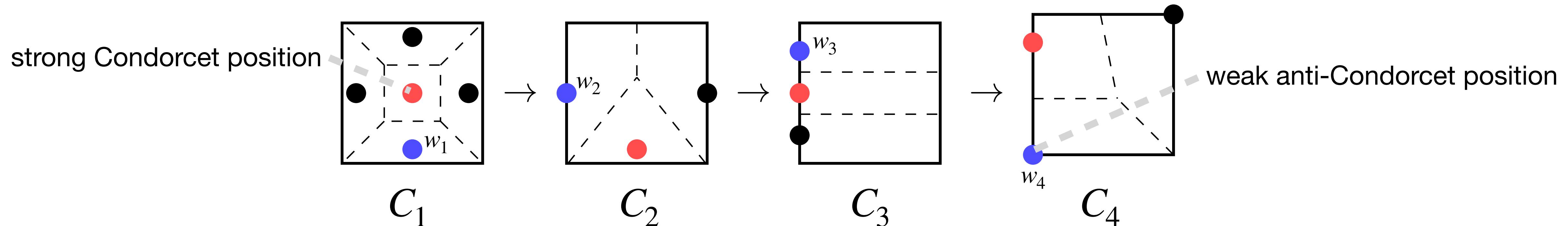


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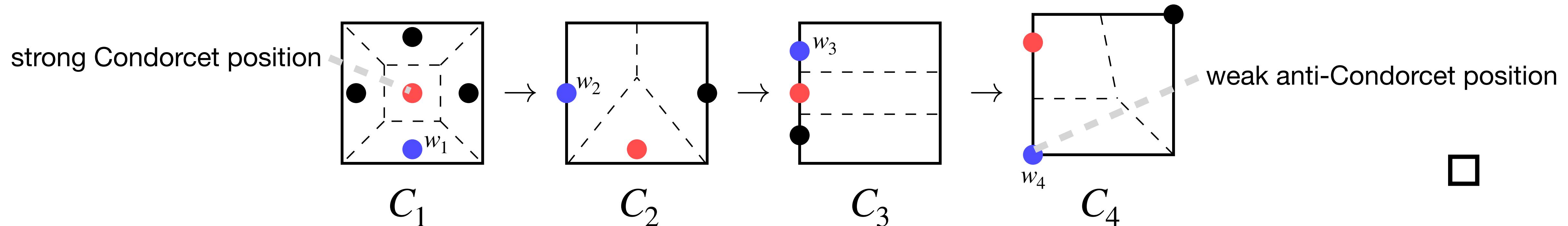


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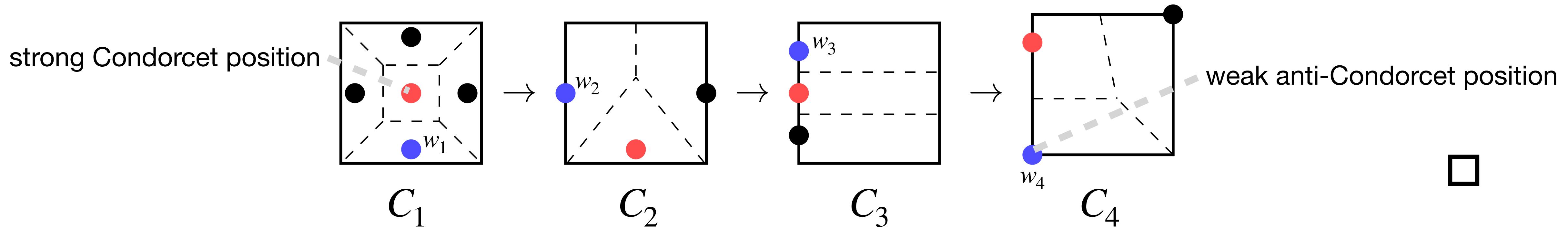


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Theorem

Every d -dimensional hyperrectangle ($d \geq 2$) with uniform L_1 or L_2 voters has no nontrivial IRV exclusion zone.

... So does IRV only have exclusion zones in one dimension?

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The shaded region is an IRV exclusion zone with uniform L_1 voters over this shape:



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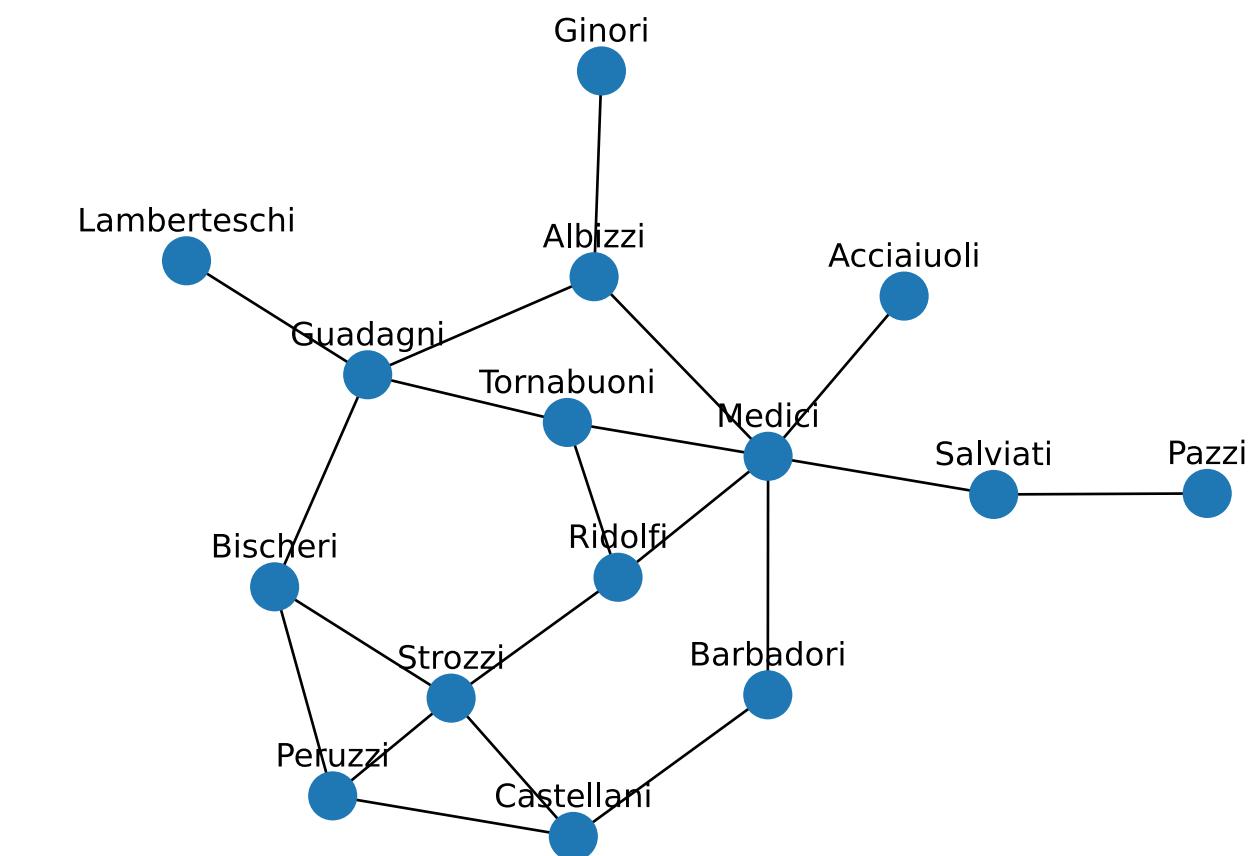
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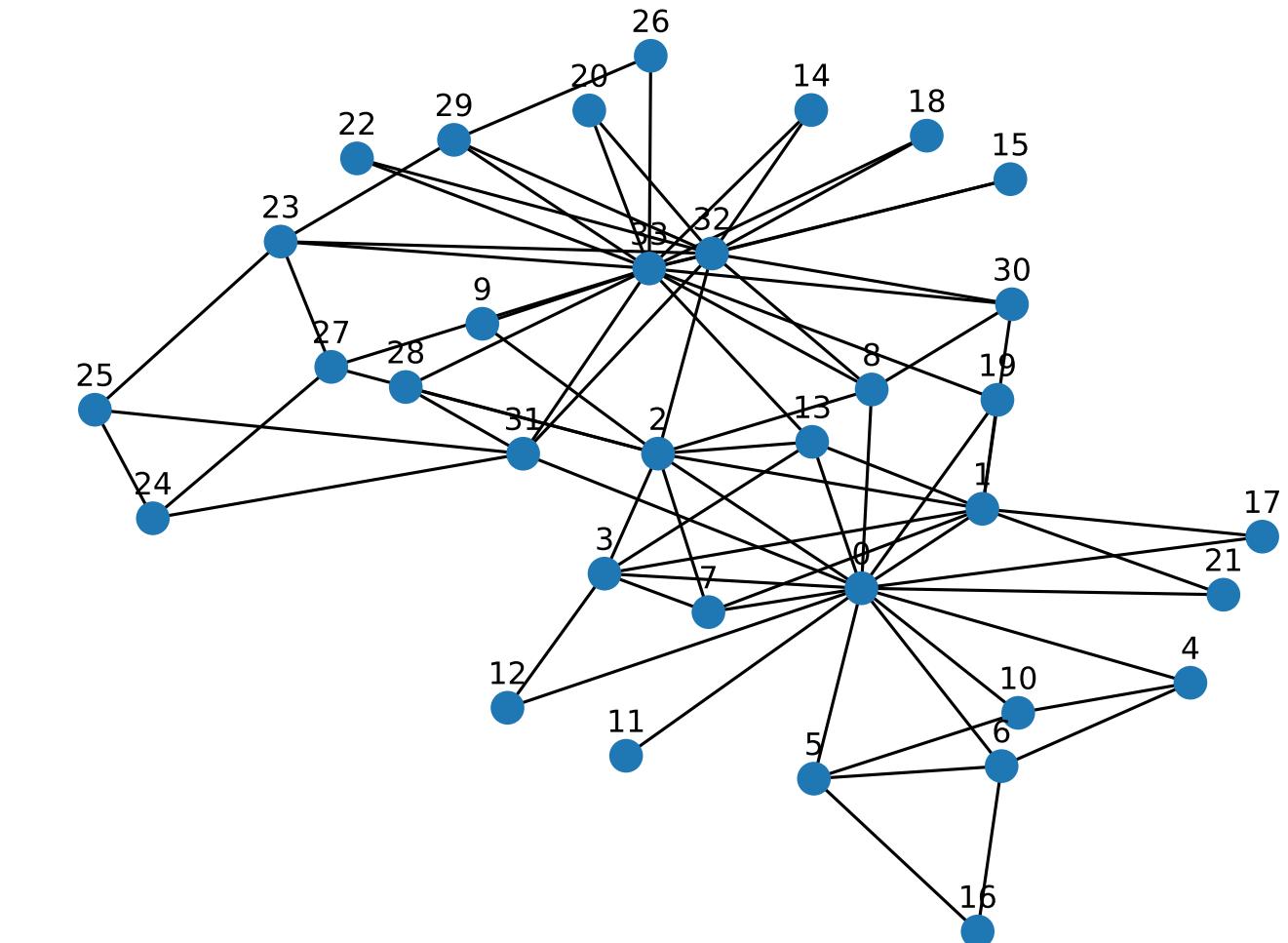
hyperrectangles have too many symmetries

Voting with the graph metric

- Nodes = voters
- Some subset of voters run for office
- Voters prefer closer candidates
- Resolve ties with Split-IRV (vote share 1 evenly split among equidistant candidates)



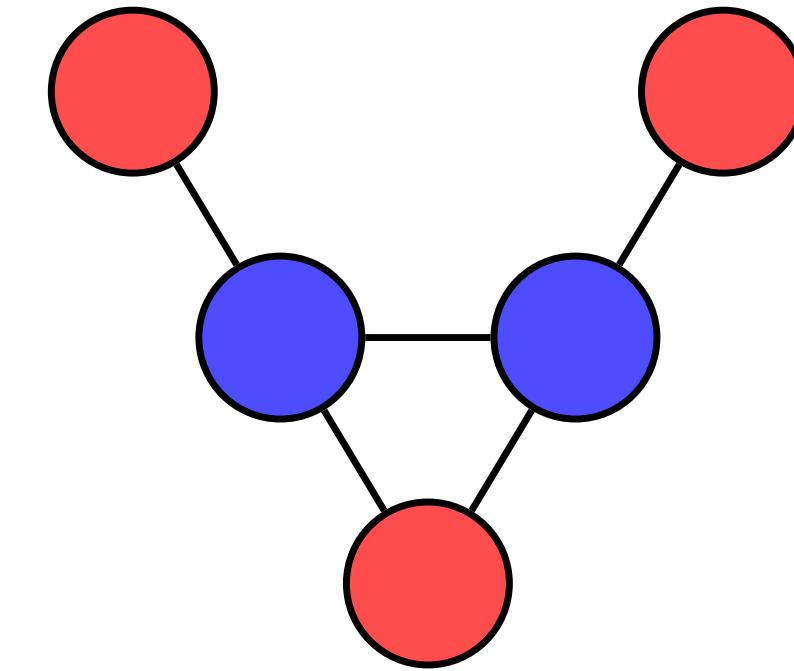
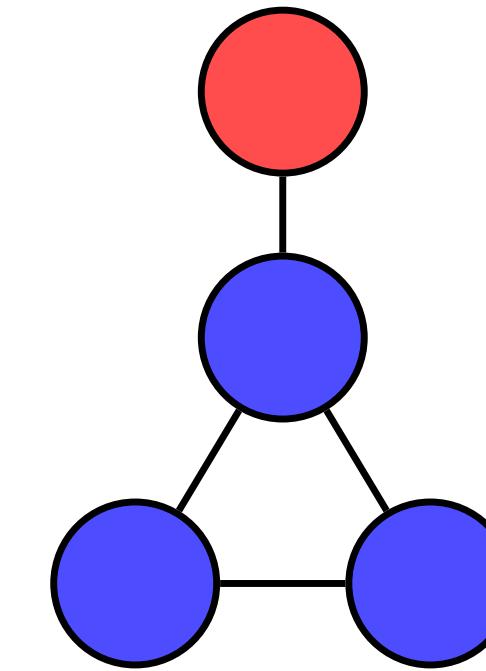
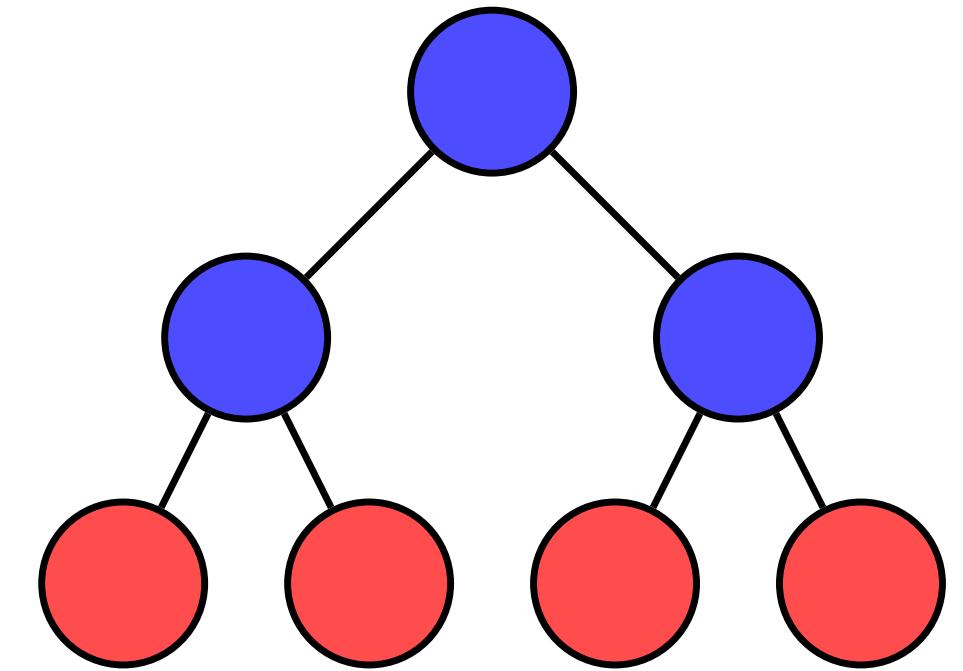
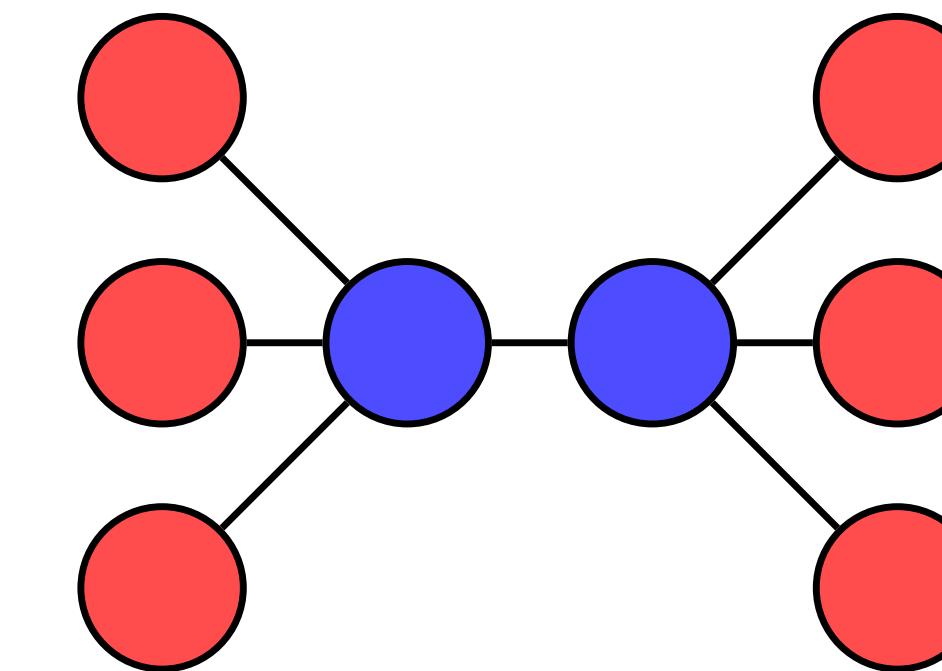
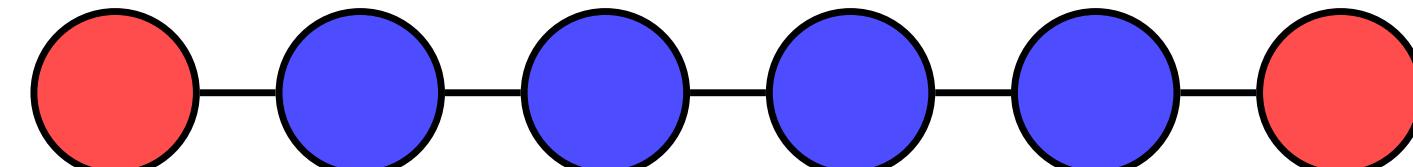
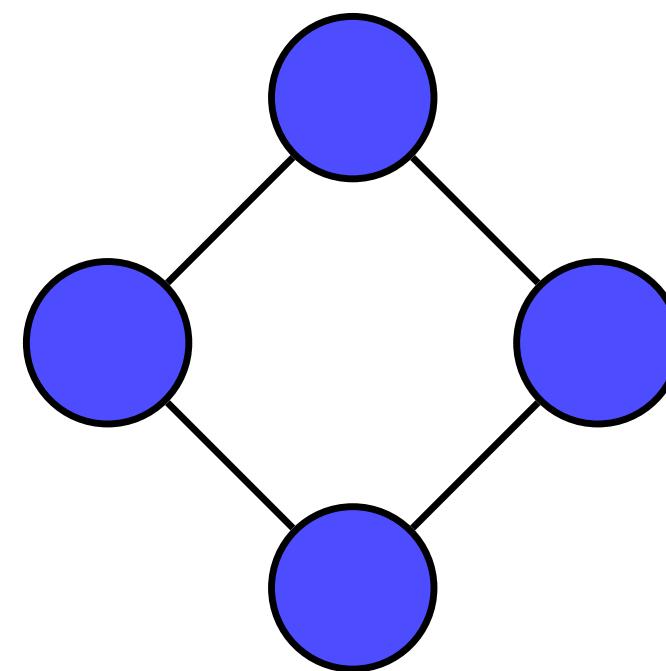
15th century Florentine marriages



Zachary's karate club

IRV exclusion zones in graphs

minimal exclusion zone



Finding IRV exclusion zones in graphs

IRV-Exclusion

Given a graph G and a set of nodes S , is S an IRV exclusion zone of G ?

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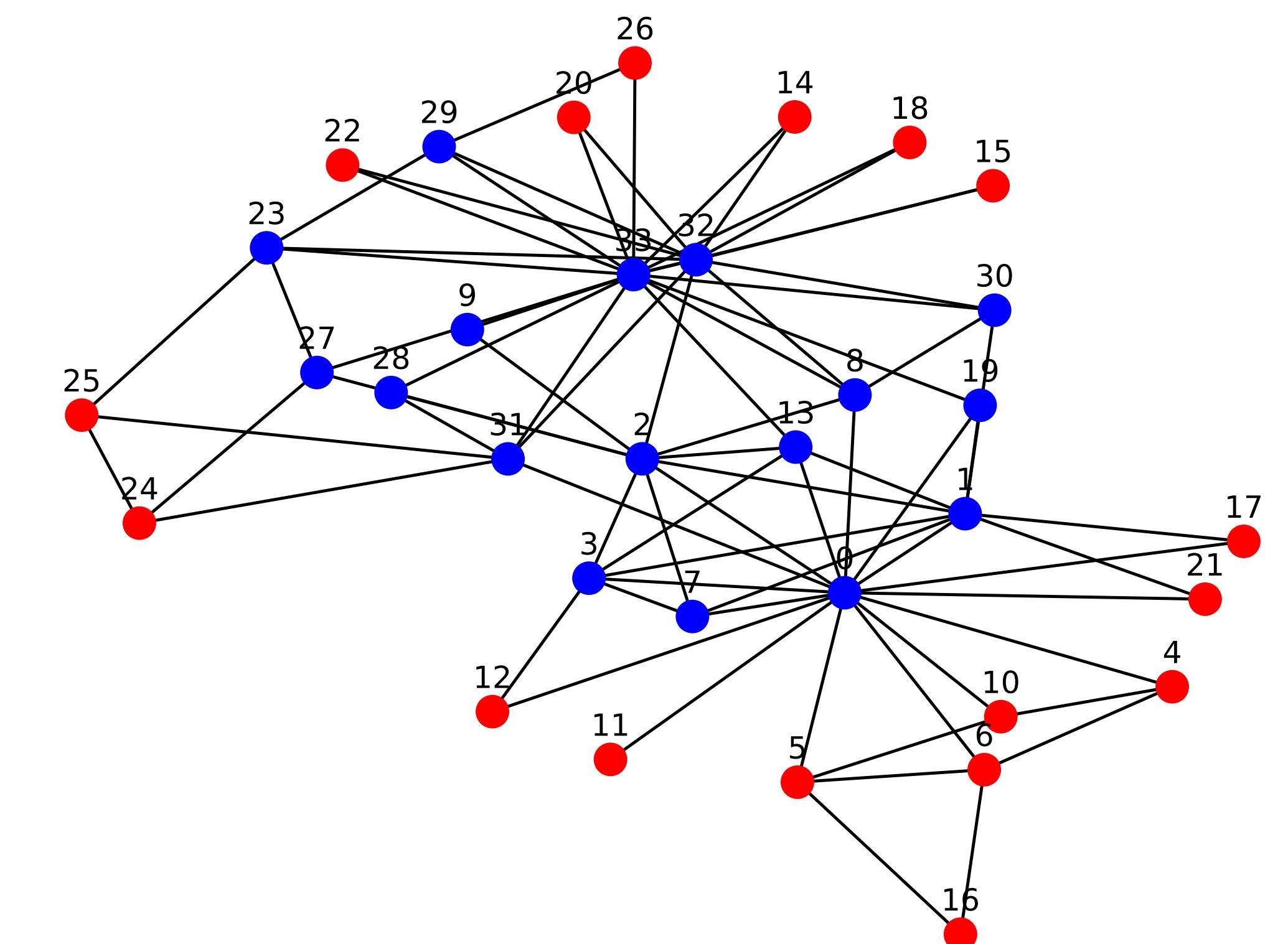
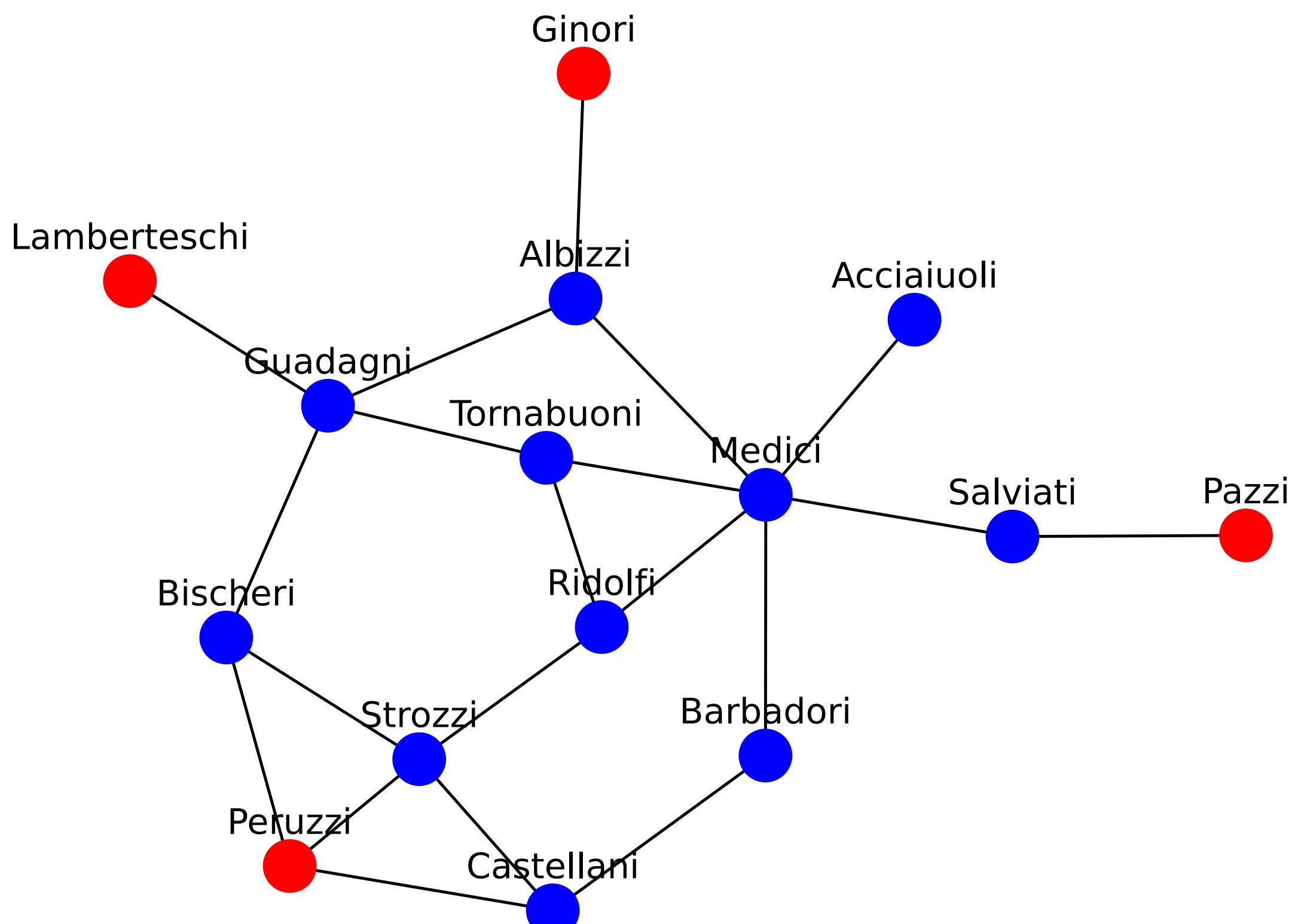
Theorem

Let G be a graph with n nodes and m edges. For any $\epsilon, \delta \in (0,1)$, there is a randomized algorithm returning a set S in time $O((n^3 + n^2m)\log(1/\delta)/\epsilon^2)$ s.t.

1. S is a subset of the minimal IRV exclusion zone of G and
2. S is a $(1 - \epsilon)$ -approximate IRV exclusion zone of G w.p. at least $1 - \delta$.

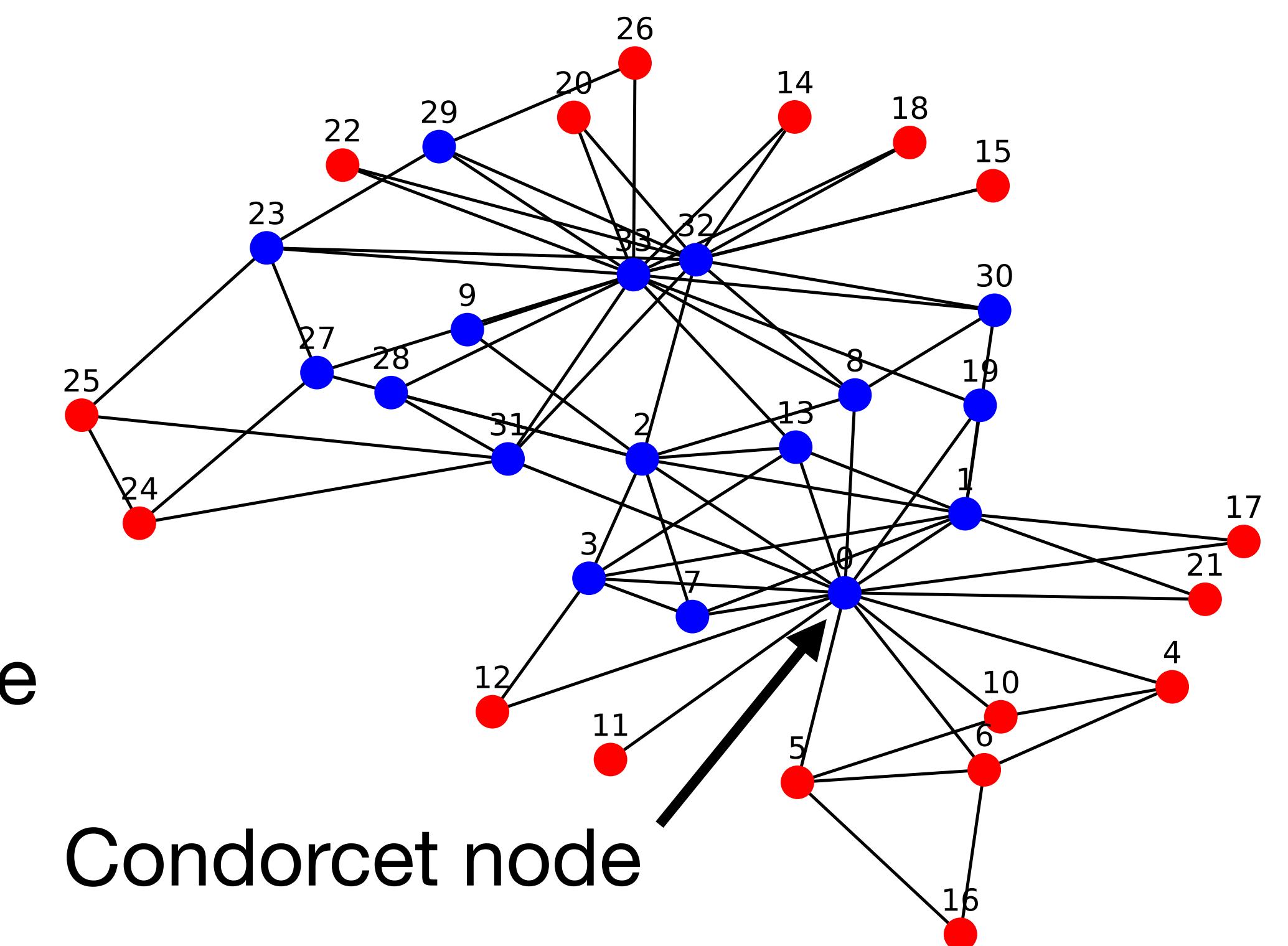
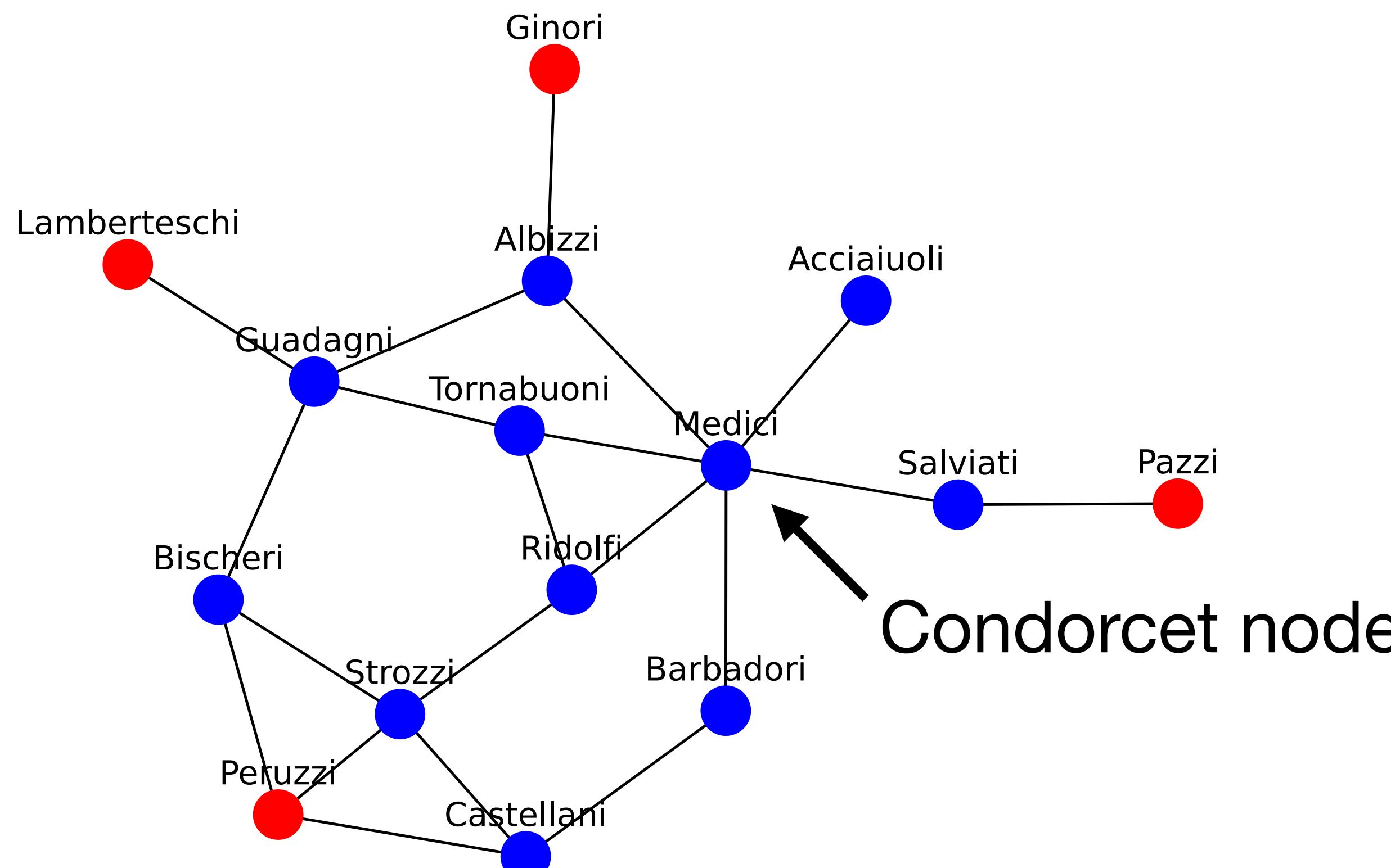
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Other results

- Properties of exclusion zones
- Minimal IRV exclusion zones of paths, stars, bistars, and perfect binary trees
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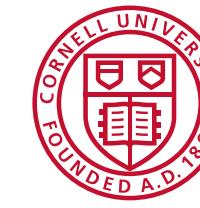
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Open questions

- Do other voting rules have nontrivial exclusion zones with 1-Euclidean preferences? d-Euclidean? Graphs?
- In higher dimensions, are there “natural” voter distributions with nontrivial IRV exclusion zones?

Thank you!

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Microsoft



Kiran Tomlinson
Microsoft Research
kitomlinson@microsoft.com



Johan Ugander
Yale University



Jon Kleinberg
Cornell University

Exclusion Zones of Instant Runoff Voting, arxiv.org/abs/2502.16719



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Background: Condorcet winners

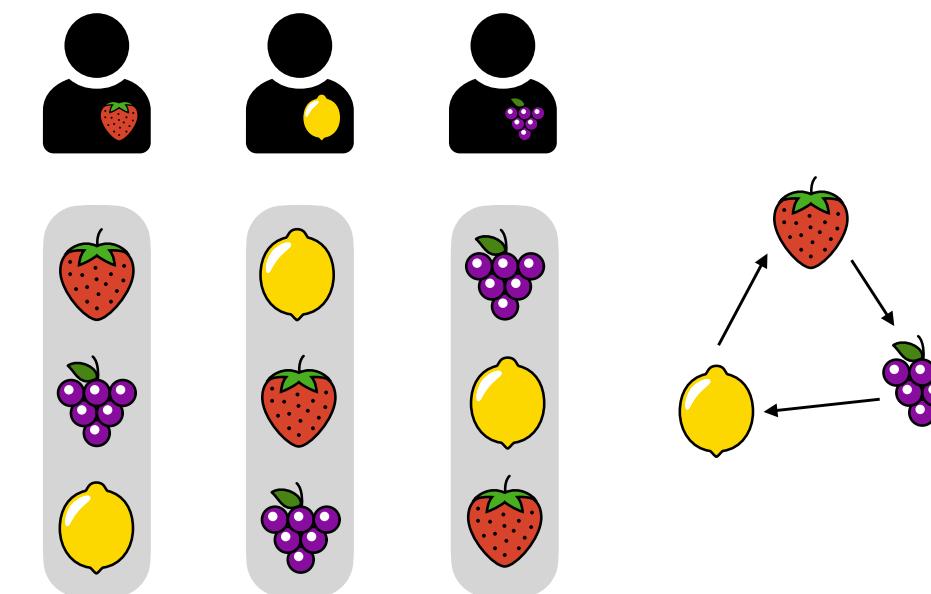
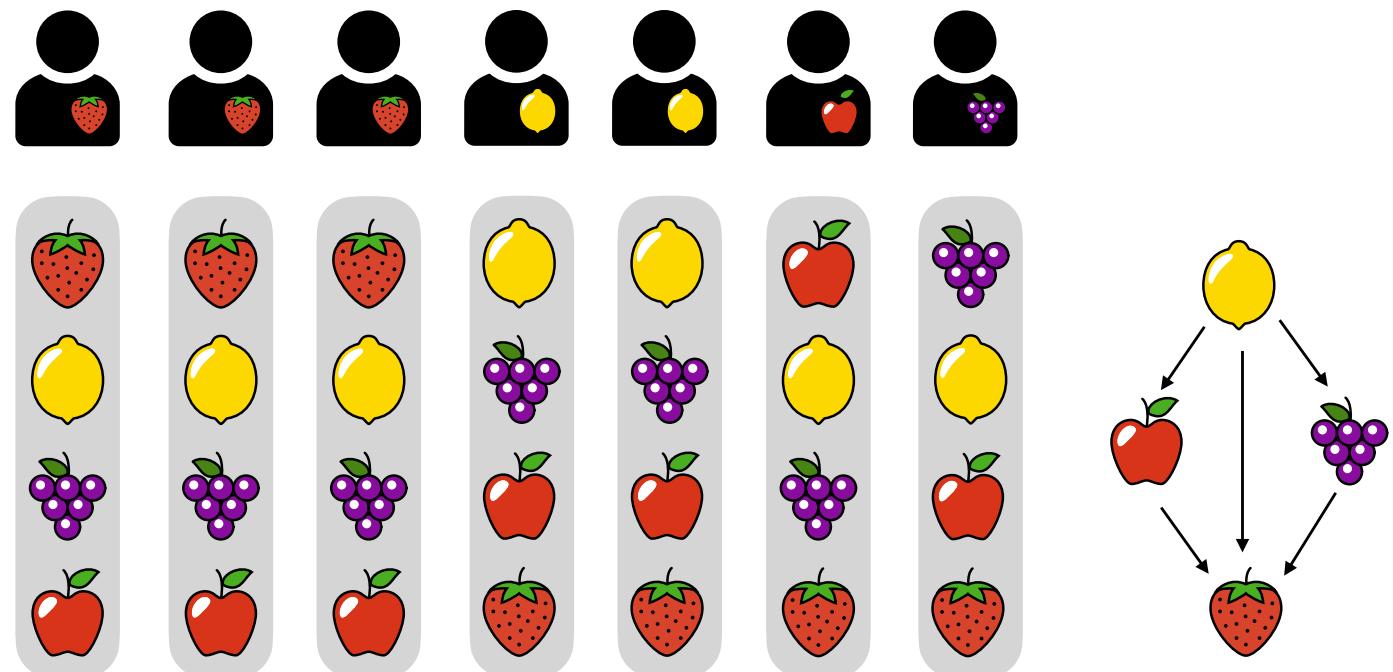
Definition

A winner of every pairwise contest is a **Condorcet winner**.

A **Condorcet method** elects the Condorcet winner when one exists.



Nicolas de Condorcet
(1743 - 1794)



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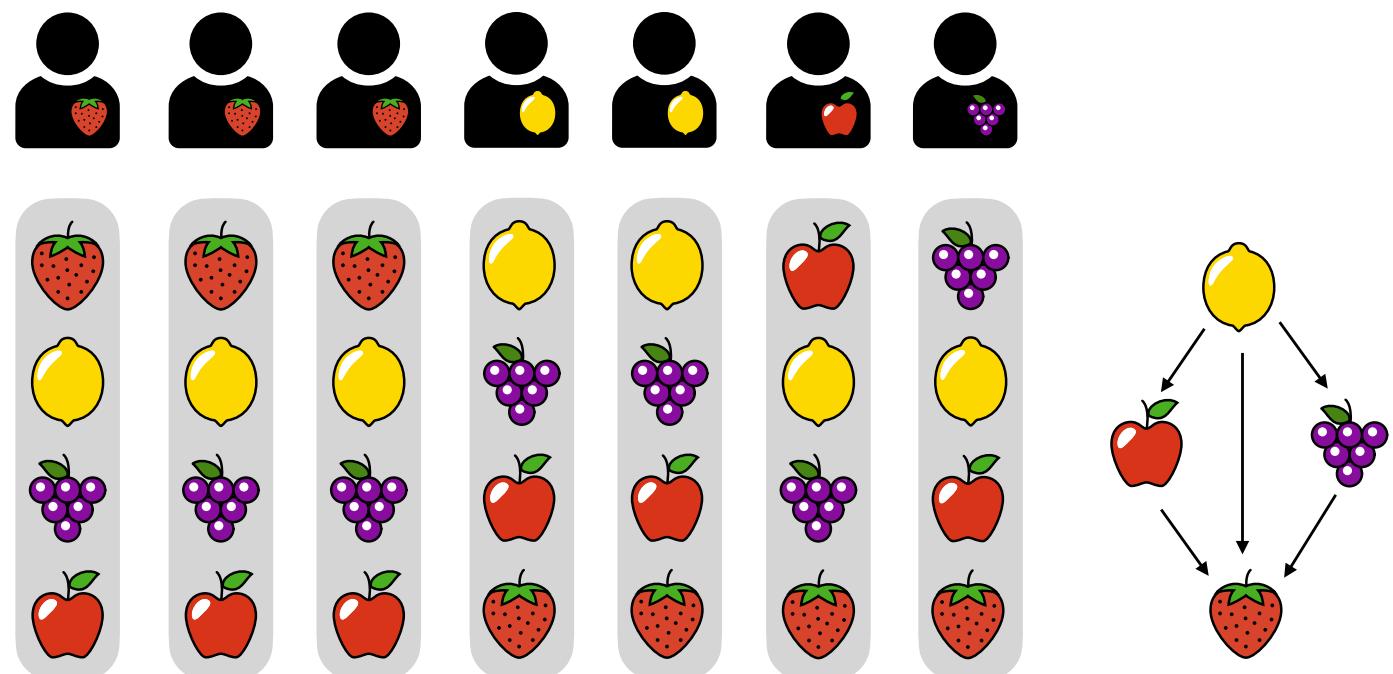
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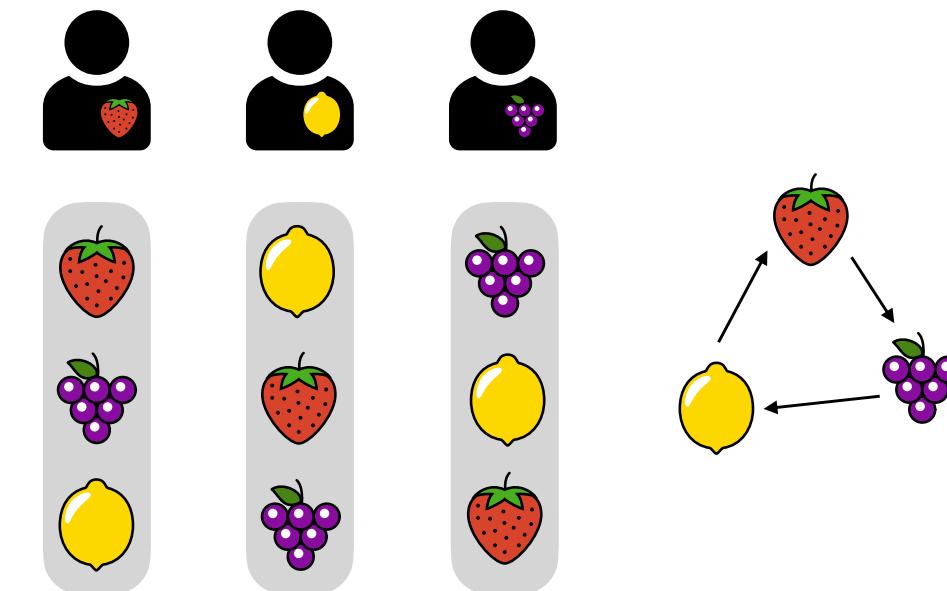
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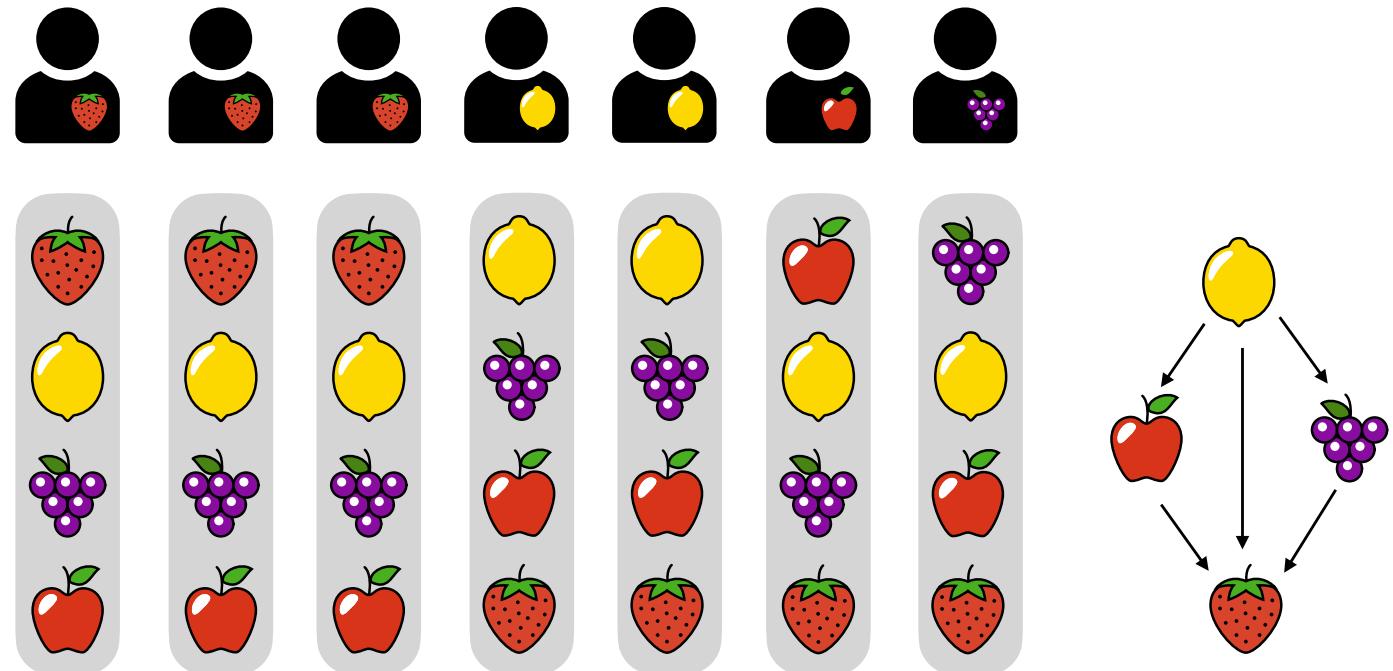
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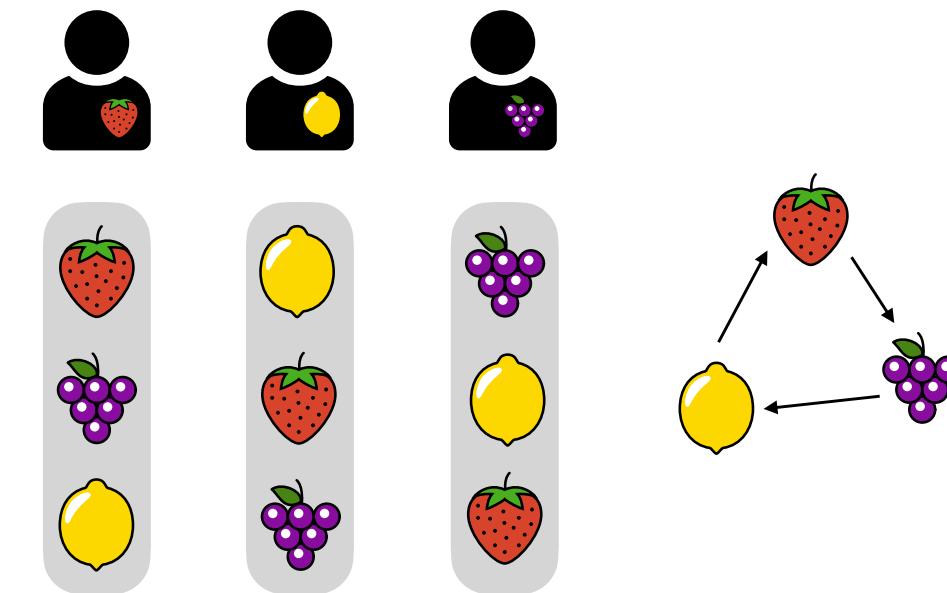
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With 1-Euclidean preferences, the candidate closest to the median voter is the Condorcet winner.

A recipe for proving the minimal exclusion zone is trivial

Proposition

For any exclusion zone $S \subseteq M$, if there is some election including $x \in S$ where y wins, then $y \in S$.

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Condorcet Chain Lemma

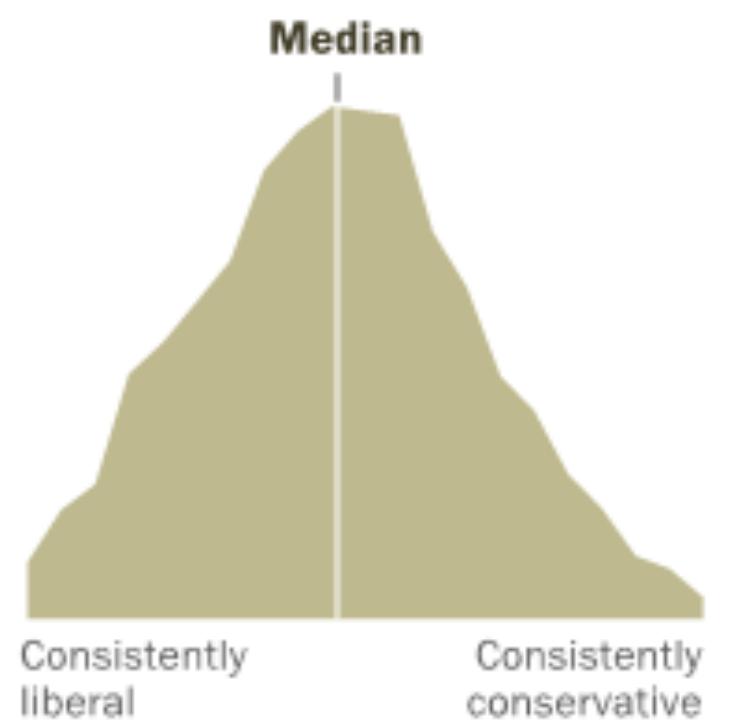
Given an election setting, if there exist elections C_1, \dots, C_n with candidates $w_1 \in C_1, \dots, w_1 \in C_n$ such that:

1. C_1 includes a weak Condorcet position, but a different candidate w_1 wins
2. each C_{i+1} includes w_i , but some other candidate w_{i+1} wins
3. w_n is a weak anti-Condorcet position,
then the election setting has no nontrivial exclusion zones.

Condorcet and anti-Condorcet positions

Definition

Given a metric space M and a voter distribution:



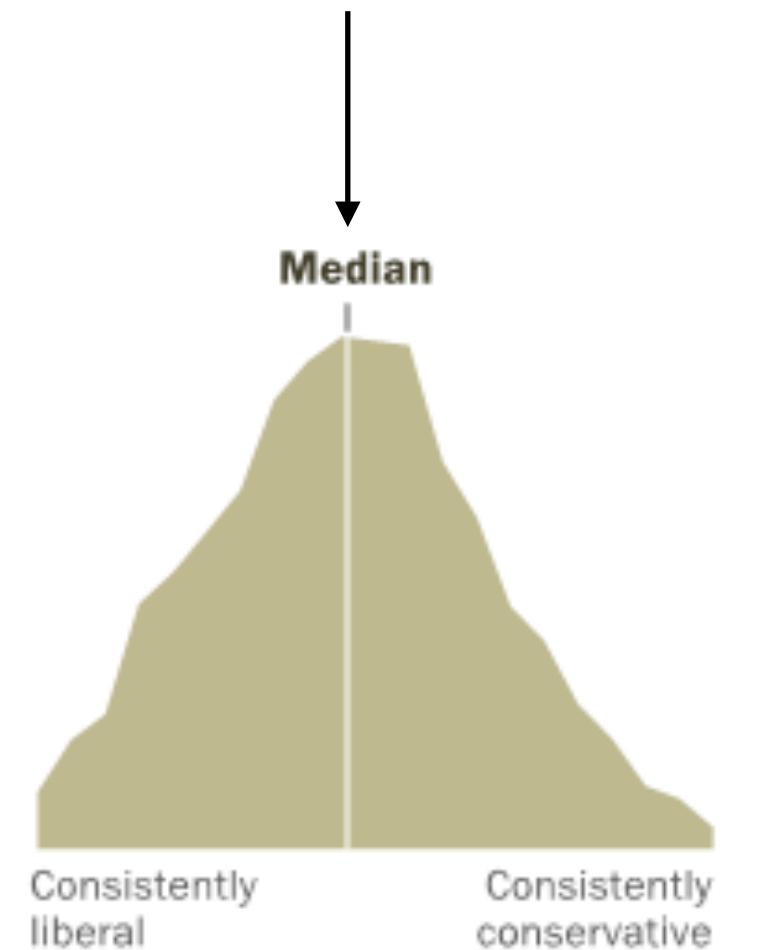
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$x \in M$ is a **weak Condorcet position** if for any other $y \in M$, at least half of the voters are closer to x than to y . (aka the **core**; strong with $>$ half).

strong Condorcet position



Condorcet and anti-Condorcet positions

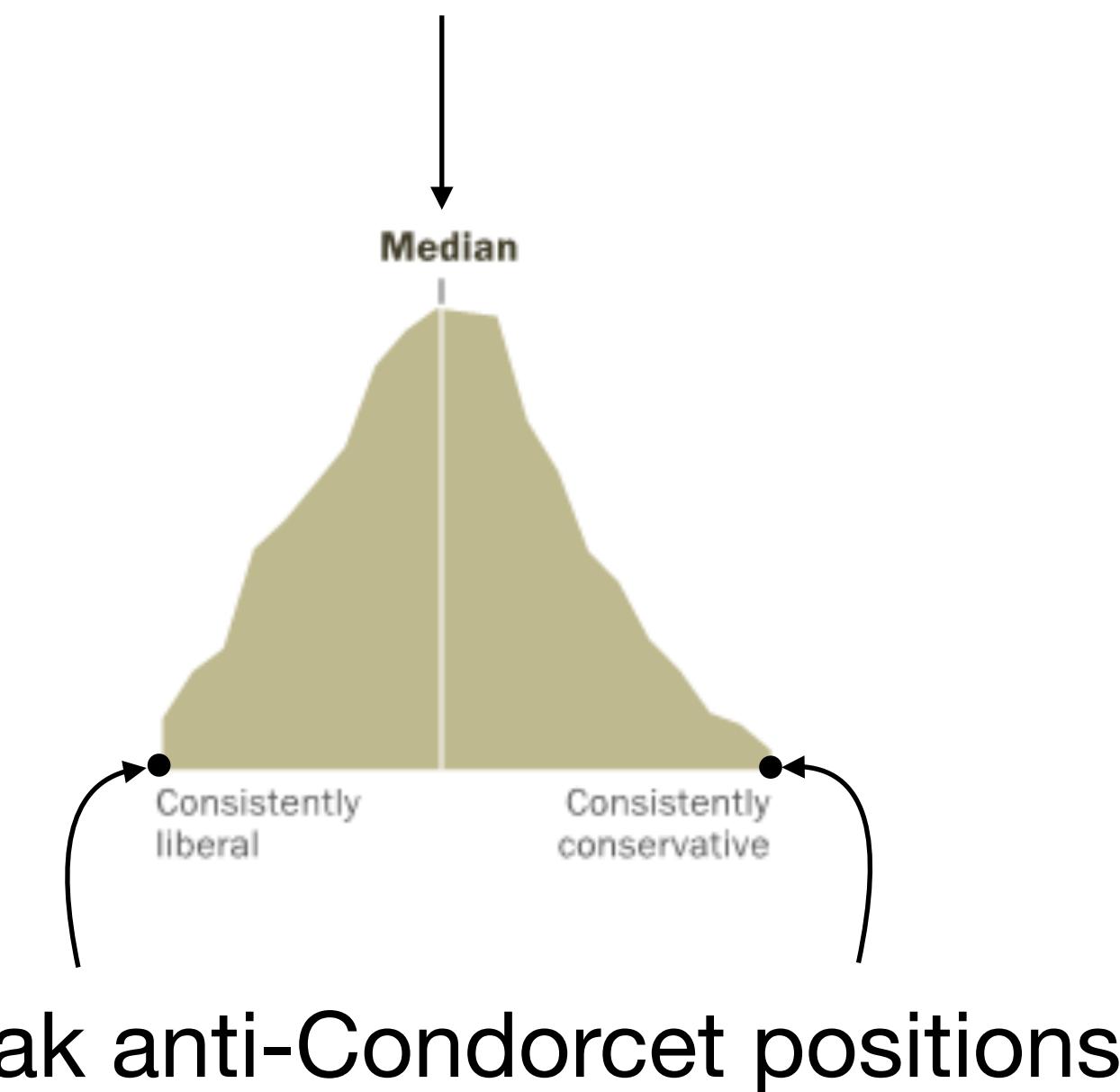
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(assuming this dsn is symmetric)

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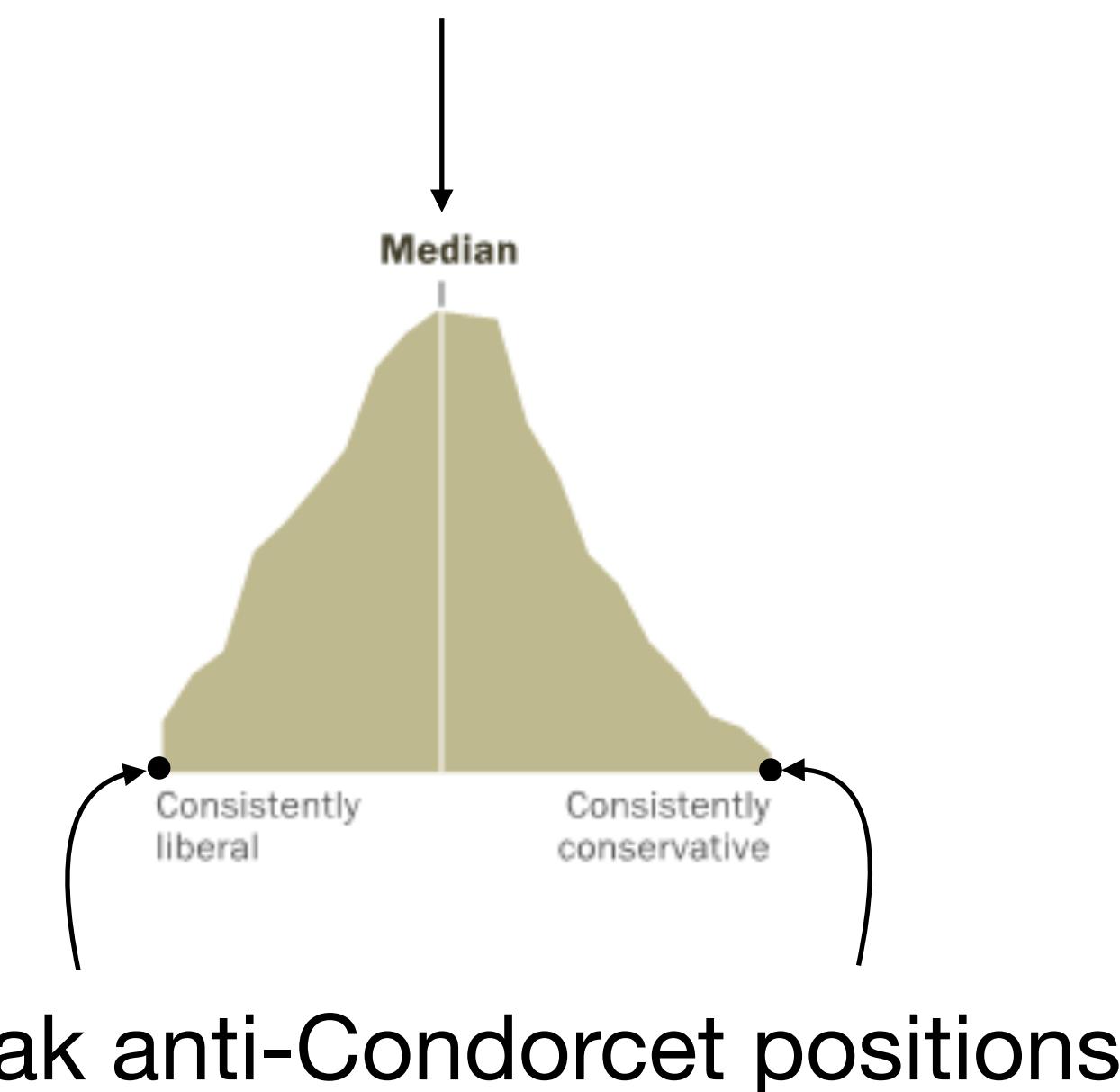
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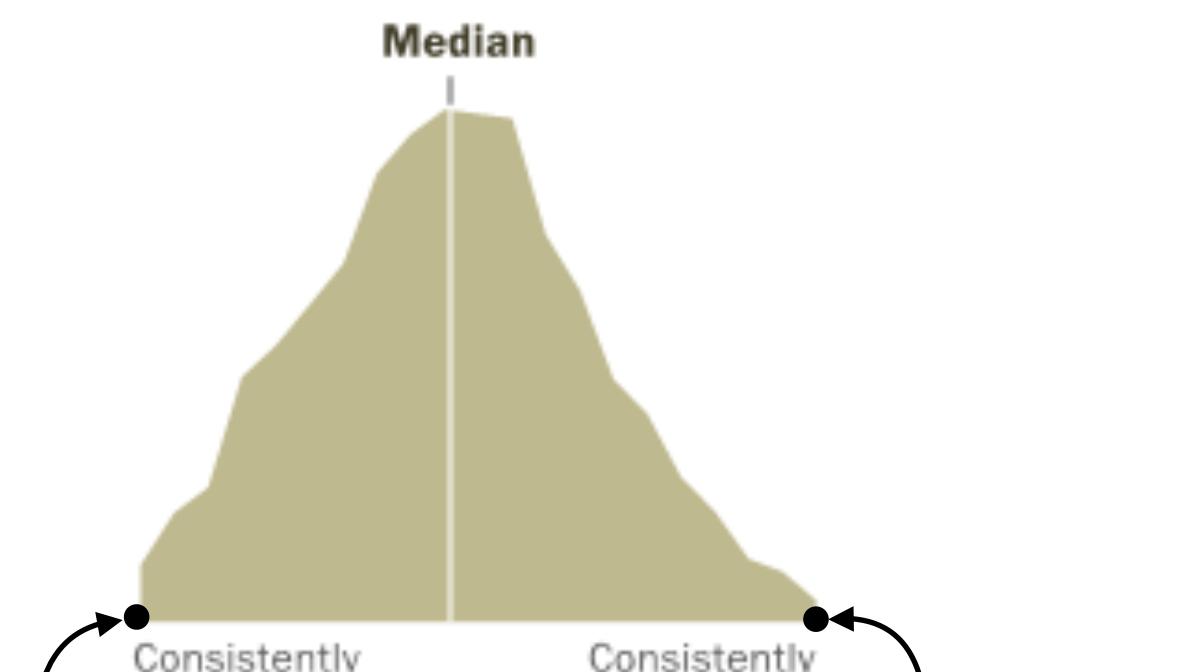
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